UNIVERSITY OF MARYLAND
BALTIMORE
INVITATION FOR BIDS (IFB)

GAMBLING SURVEY
IFB88820HR

Issued: November 6, 2019
Pre-Bid Conference: November 15, 2019
Deadline for Questions: November 20, 2019
Due Date for Bids: December 10, 2019

Issued by:
University of Maryland, Baltimore
Strategic Sourcing and Acquisition Services
Saratoga Street Offices
Level 02 Room # 02-100
220 Arch Street
Baltimore, MD 21201-1531
Attn: Hanta Ralay
410-706-2094 (voice)
410-706-8577 (fax)

Deliver to:
Same as above
Building can be accessed during the hours
of 8:00 am to 5:00 pm, Monday through Friday.

Pre-Bid Conference:
Same address as above
Room # 02-101

SPECIAL ACCESS: Anyone requiring special assistance in obtaining a copy of the solicitation, in attending
a Pre-Bid conference or in delivering a Bid are requested to contact the Buyer listed above at least 48 hours in
advance.
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GAMBLING SURVEY

SECTION I: SUMMARY INFORMATION

A. SUMMARY STATEMENT

The University of Maryland, Baltimore (herein called the “University” or “UMB”) intends to seek a Contractor to conduct a statewide survey to estimate the prevalence of gambling and to measure gambling-related behaviors and attitudes in the state of Maryland.

B. ISSUING OFFICE

Hanta Ralay
University of Maryland, Baltimore
Strategic Sourcing and Acquisition Services
Saratoga Street Offices
Level 02 Room 02-100
220 Arch Street
Baltimore, Maryland 21201-1531
410-706-2094 PH
410-706-8577 FX
hralay@umaryland.edu

The sole point of contact in the University for purposes of this IFB is the issuing office. Any questions with regard to any aspect of this Invitation for Bids must be directed to Hanta Ralay in writing.

C. QUESTIONS AND INQUIRIES

Questions and inquiries should be directed to the individual referenced with the Issuing Office above. All such questions and inquiries must be received by the close of business Wednesday, November 20, 2019 by 5 pm. Inquiries will receive a written reply. Copies of replies will also be posted on the University’s website: www.umaryland.edu/procurement/ebid-board.

D. DELIVERY OF BIDS

The bids must be delivered in a sealed envelope, clearly marked with the solicitation ID No. IFB88820HR by the due date and time indicated to the following address:

The University of Maryland, Baltimore
Strategic Sourcing and Acquisition Services
Saratoga Street Offices
Level 02 Room 02-100
220 Arch Street
Baltimore, MD 21201-1531
E-mailed bids and late responses will not be considered. It is the responsibility of the Contractor to confirm that their response was delivered to the correct location and received on time.

E. BID OPENING DATE

In order to be considered, the original, one copy of the original, and one electronic version on electronic storage (CD or flash drive) of each Bid must arrive at the issuing office by, Tuesday, December 10, 2019 no later than 2:00 p.m. Vendors mailing bids must allow sufficient mail delivery time to insure timely receipt by Strategic Sourcing and Acquisition Services (SSAS). Vendors utilizing the U.S. Mail or similar methods which would not deliver directly to Room 02-100 of the Saratoga Street Offices are cautioned to allow sufficient time for the delivery to Room 02-100. Delivery of proposals to the campus mailroom or any location other than this office will NOT be considered “delivered” until they have physically arrived and have been clocked-in at Strategic Sourcing and Acquisition Services. Bids or unsolicited amendments to bids arriving after the closing date and time will not be considered.

F. ACCESS TO ISSUING OFFICE

The Saratoga Street Offices, which houses Strategic Sourcing and Acquisition Services, is accessible by the general public between the hours of 8:00 a.m. until 5:00 p.m. Monday through Friday with exception of legal holidays. There is a guard in the lobby area and vendors are required to sign in at the guard’s desk and gain permission to enter the Office Levels of the building. Office Level 2 (13th floor), where Strategic Sourcing and Acquisition Services is located is accessible to the public by two elevators located in the main lobby of the building. If you park in the Saratoga Street Garage and Office building, you must take the garage elevators down to the ground level and then enter the Lobby where the guard’s desk is located and utilize the elevators located in the Lobby in order to ascend to the Office Level 2. Vendors must allow sufficient time in delivering replies to solicitations to insure timely receipt by the Issuing Office.

G. PRE-BID CONFERENCE

There will be a Pre-Bid Conference held in conjunction with the IFB. Attendance at the Pre-Bid conference is not mandatory. The conference will be held on Friday, November 15, 2019 at 10 am at Strategic Sourcing and Acquisition Services, Saratoga Street Offices, Level 02, Room 02-101, 220 Arch Street, Baltimore, MD 21201-1531.

While attendance at the Pre-Bid Conference is not mandatory, information presented may be very informative; therefore, all interested vendors are encouraged to attend in order to be able to better prepare acceptable bids. If your firm plans to send representatives, please call the issuing office by Wednesday, November 13, 2019 by 5 pm. We ask that a maximum of two (2) representatives from each company attend this meeting.
At the Pre-Bid conference, potential offerors will have an opportunity to: (1) ask and receive answers to all questions regarding the specifications and general conditions, and (2) receive any additional information relating to this contract.

Appropriate auxiliary aids and services for qualified individuals with disabilities will be provided upon request. Please call Hanta Ralay at 410-706-2094 with specific requests at least two (2) business days prior to conference.

**H. DURATION OF OFFER**

Bids are to be held valid for 90 days following the closing date for this IFB. This period may be extended by mutual agreement between the vendor and the University.

**I. TERM OF CONTRACT**

The contract shall be for one year **upon contract award**. The University shall have the option to renew the contract for **three (3) additional one-year term** said option(s) to be exercised at the sole discretion of the University. Rates shall be firm during each year contract year.

Contractor may submit a written request annually for rate adjustment not more than ninety (90) days prior to the Contract anniversary date. All other terms and conditions shall remain the same.

UMB will use the U.S. Bureau of Labor Statistics, Producer Price Index (PPI-U) to monitor any such rate adjustment requests for reasonableness. UMB will use the All Urban Consumers (PPI-U), U.S. City Average for management and technical consulting services (WPU454) data, not seasonally adjusted, and will use the **August 2019 index of 104.3** as the benchmark. All such rate adjustment requests will be calculated using a simple percentage method. In all instances UMB will use the base period data in effect at the time of the receipt of responses to the IFB.

**J. EVALUATION OF BIDS/METHOD OF AWARD**

Award will be made to the responsive and responsible bidder that meets the entire requirement of the solicitation and provides the lowest total price for the services listed in Attachment F – Price Sheet. A single award will be made as a result of this solicitation.

**K. BID ACCEPTANCE**

The University reserves the right to accept or reject any and all bids, in whole or in part, received as a result of this IFB, to waive minor irregularities, to negotiate in any manner necessary to best serve the interest of the University. Further, the University reserves the right to make a whole award, multiple awards, a partial award or no award at all. The University reserves the right to increase or decrease the quantities of any materials, equipment, supplies or services.
L. FORMATION OF AGREEMENT/CONTRACT OR ISSUANCE OF PURCHASE ORDER

The Contract to be entered into as a result of this IFB (the “Contract”) shall be by and between the bidder as Contractor and the University in the form of either a University Purchase Order or Contract and shall contain the provisions included herein as Appendix A (Schedule B) or Appendix C (Service Contract) as well as any additional terms required by the University of Maryland, Baltimore or the State of Maryland. By submitting an offer, the Contractor warrants that they have reviewed Appendix C (Services) and will execute a contract on that form upon request by University of Maryland, Baltimore.

M. BID AFFIDAVIT AND CERTIFICATIONS

State procurement regulations require that bids contain certifications regarding non-collusion, debarment, cost and price, etc. The affidavit form, which should be completed by all respondents and returned with their respective responses, is included as Appendix B of the IFB.

N. CERTIFICATION REGARDING INVESTMENT ACTIVITIES IN IRAN

Offerors must complete, sign and return Appendix E, Certification Regarding Investment Activities in Iran with their solicitation response. Companies appearing on the Investment Activities in Iran list are ineligible for award.

O. ELIGIBILITY TO PURCHASE - N/A
GAMBLING SURVEY

SECTION II: GENERAL INFORMATION FOR VENDORS

A. PURPOSE

The overall purpose of this IFB is to provide information to vendors interested in preparing and submitting bids to meet the requirements of conducting a survey described herein. Bids will be received for the services specified herein or attached hereto under the terms, conditions and general specifications of this IFB.

B. GENERAL INFORMATION FOR VENDORS

1. Bids must be made in the official name of the firm or individual under whom business is conducted (showing official business address) and must be signed by a duly authorized person.
2. Each bidder must furnish all information required by the IFB. Erasures or other changes must be initialed by the person signing the Bid. Bids signed by an agent of the corporation must be accompanied by evidence of their authority.
3. This Invitation for Bids creates no obligation on the part of the University to award the contract or to compensate offerors for Bid preparation expenses.

C. ADDENDA /AMENDMENT TO THE IFB

If it becomes necessary to revise any part of the IFB, addenda will be posted to the eBid Board at www.umaryland.edu/procurement/ebid-board. It remains the responsibility of prospective Offerors to check the website frequently until the Bid opening date for any addenda issued prior to the submission of bids.

An acknowledgement of the receipt of all amendments and addenda issued before the Bid due date shall be required from all vendors submitting a Bid.

D. CANCELLATION OF THE IFB

The University may cancel this IFB, in whole or in part, at any time.

E. INCURRED EXPENSES

The University will not be responsible for any costs incurred by any vendor in preparing and submitting a Bid, delivery of or return of representative samples (if applicable).
F. **ECONOMY OF PREPARATION**

    Bids should be prepared simply and economically, providing a straightforward, concise description of the vendor’s offer to meet the requirements of the IFB.

G. **ACCEPTANCE OF TERMS AND CONDITIONS**

    By submitting a Bid in response to this IFB, the firm accepts the terms and conditions set forth in this IFB.

H. **PROCUREMENT REGULATIONS**

    This IFB and any resulting contract shall be governed by the USM Procurement Policies and Procedures and the State Finance and Procurement Article of the Annotated Code of Maryland and by State Procurement Regulations, Code of Maryland Regulations Title 21, as applicable.

I. **MULTIPLE BIDS**

    Vendors may not submit more than one Bid.

J. **ALTERNATE SOLUTION BIDS**

    Vendors may not submit an alternate to the solution given in this IFB.

K. **CONTRACTOR RESPONSIBILITIES**

    The University shall enter into contractual agreement with the selected offering vendor(s) only. The selected vendor(s) shall be responsible for all products and/or services required by this IFB. Subcontractors, if any, shall be identified and a complete description of their role relative to the Bid shall be included. The University’s intent is not to direct the use of any particular vendor, however, the vendor will not contract with any such proposed person or entity to whom the University has a reasonable objection. Notification of such objection will be made by the University within fifteen (15) days of contract. The vendor shall be fully responsible for the acts and omissions of its subcontractors and of persons directly or indirectly employed by them.

L. **PUBLIC INFORMATION ACT**

    Offerors must specifically identify those portions of their Bids, if any, which they deem to contain confidential, proprietary information or trade secrets and must provide justification why such material should not, upon request, be disclosed by the University under the Public Information Act, , Title 4, General Provisions Article, Annotated Code of Maryland.
Vendors must clearly indicate each and every section that is deemed to be confidential, proprietary or a trade secret (it IS NOT sufficient to preface your Bid with a proprietary statement). This confidential and/or proprietary information should be identified Failure to comply may result in rejection of your Bid.

M. MINORITY BUSINESS ENTERPRISE NOTICES

Minority Business Enterprises (MBE) are encouraged to respond to this solicitation notice.

N. ARREARAGES

By submitting a response to this solicitation, a vendor shall be deemed to represent that it is not in arrears in the payment of any obligation due and owing the State of Maryland, including the payment of taxes and employee benefits and that it shall not become so in arrears during the term of the contract if selected for contract award.

O. TAXES

The University of Maryland, Baltimore is exempt from Federal Excise Taxes, Maryland Sales and Use Taxes, and the District of Columbia Sales Taxes and Transportation Taxes, except as noted in applicable sections of COMAR. Exemption Certificates shall be provided upon request. Where a Contractor is required to furnish and install material in the construction or improvement of real property in performance of a contract, Contractor shall pay the Maryland Sales tax and the exemption does not apply.

P. IFB RESPONSE MATERIALS

All written materials submitted in response to this IFB become the property of the University and may be appended to any formal documentation, which would further define or expand the contractual relationship between the University and the successful vendor(s).

Q. BID SECURITY - N/A

R. MARYLAND PUBLIC ETHICS LAW, TITLE 5

The Maryland Public Ethics Law prohibits, among other things: State employees or officials (and in some cases, former employees) and businesses in which such an individual is employed or holds a financial interest from (i) submitting a Bid or proposal, (ii) negotiating a contract, and (iii) entering into a contract with the governmental unit with which the individual is affiliated per §5-502 of the Maryland Public Ethics Law, Title 5, General Provisions Article, Annotated Code of Maryland.
If the bidder/offeror has any questions concerning application of the State Ethics law to the bidder/offeror’s participation in this procurement, it is incumbent upon the bidder/offeror to see advice from the State Ethics Commission; Office of the Executive Director, 9 State Circle, Suite 200, Annapolis, MD 21401, 410-974-2068 or toll free 1-877-669-6085.

The procurement officer may refer any issue raised by a Bid or proposal to the State Ethics Commission. The procurement officer may require the bidder/offeror to obtain advice from the State Ethics Commission and may reject a Bid or proposal that would result in a violation of the Ethics Law.

The resulting contract is cancelable in the event of a violation of the Maryland Public Ethics Law by the vendor or any State of Maryland employee in connection with this procurement.

S. HIPAA

Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191, ("HIPAA") and Maryland Confidentiality of Medical Records Act (Annotated Code of Maryland, Health – General Article '4-301 et seq. ("the Act"). Contractor acknowledges that the University of Maryland Baltimore is a HIPAA hybrid covered entity. Contractor agrees that Contractor's access to and use of protected health information (as that term is defined by HIPAA), if any, under this Agreement will be conducted in accordance with the requirements of the Act and HIPAA, including the terms of a HIPAA Business Associate Agreement if so required by UMB. Such Business Associate Agreement is either attached hereto as Schedule H or Contractor shall promptly execute such Business Associate Agreement upon the University's request. Contractor shall also cause any subcontractor, agent, or party under Contractor's direction or control that is participating in this Agreement to promptly execute a standard HIPAA Business Associate Agreement if so requested by UMB.
GAMBLING SURVEY

SECTION III: SPECIFICATIONS/SCOPE OF WORK

A. BACKGROUND

The University of Maryland, Baltimore is a public institution with the multiple missions of education, research, and service. The 34-acre complex is located in downtown Baltimore, a city that has become a model for urban rebirth and vitality. The UMB complex has over 5,000 employees and approximately 6,000 students enrolled in seven professional schools: School of Dentistry, School of Law, School of Medicine, School of Nursing, School of Pharmacy, School of Social Work, and Community Planning and the Graduate School.

During the 2007 special session of the Maryland General Assembly, Senate Bill 3 was enacted relating to the legalization of video lottery terminals (VLTs), contingent on passage of a constitutional amendment to authorize video lottery gaming in Maryland. The amendment to Senate Bill 3 was ratified by the voters of Maryland at the general election on November 4, 2008. The resulting legislation was added to the Annotated Code of Maryland (Health-General Article § 19-804)

§ 19-804: “The Secretary shall conduct a prevalence study and replication prevalence studies to measure the rate of problem and pathological gambling in the State….Replication prevalence studies shall be conducted no less than every 5 years with measures taken to permit comparisons between the initial prevalence study and subsequent replication prevalence studies.”

The University of Maryland Center of Excellence (COE) on Problem Gambling’s Research Program has the responsibility for ensuring that statewide prevalence studies of gambling behavior are conducted in compliance with Maryland law. Because a 6th and final casino was scheduled to open by 2016, the Secretary requested in 2014 that the 2nd statewide prevalence study be delayed until the final casino was operational. The request was approved. The results of the 2017 statewide prevalence study were presented to the legislator in 2018 and it was determined that the frequency of conducting future statewide prevalence studies would move to every other year.

To meet the objectives of completing the third and subsequent statewide gambling prevalence studies, the COE’s Research Program on Gambling (RPG) seeks a Contractor for implementation of a survey in English as well as in Spanish.

B. CONTRACTOR PROFILE

1. Contractor must demonstrate a minimum of three (3) years of experience and expertise in developing, implementing, and conducting a survey as outlined in this solicitation in scope and size. Contractor must provide three (3) references from customers who are capable of documenting the Contractor’s ability to meet this
requirement by completing Appendix G – Contract Experience/Reference Form and submit with their Bid response.

2. Contractor must have designated key staff assigned to this contract and designate a Contractor Liaison who will be responsible for all coordination and working with the COE. Contractor must provide resumes of key staff and Contractor Liaison who will be assigned to this project.

C. SCOPE OF PROJECT

Contractor to conduct a statewide survey to estimate the prevalence of gambling and to measure gambling-related behaviors and attitudes in the state of Maryland. The scope of work includes but is not limited to the following:

1. Contractor must develop a sample frame with sample size estimates for each of 4 Maryland Regions:
   a. **Central**: Baltimore City, Baltimore, Harford, and Howard counties
   b. **Western**: Garrett, Allegany, Washington, Frederick, Carroll, and Montgomery counties
   c. **Southern**: Anne Arundel, Prince George’s, Calvert, Charles, and St. Mary’s counties
   d. **Eastern**: Cecil, Kent, Queen Anne’s, Caroline, Talbot, Dorchester, Somerset, Wicomico, and Worcester

2. Contractor must develop methods of recruitment to reach the desired sample size of Maryland residents in each region and provide a full written description of all sampling methods.

3. Contractor must provide a report with one (1) full written description of methods used to derive sample weights.

4. Contractor must conduct survey data collection of 6,000 randomly selected Marylander residents over the age of 18 (minimum 4,000 respondents). The survey can be conducted over the phone, via US postal mail, and/or via the internet. A Spanish version of the survey must be made available should the participant requests it. Contractor must provide complete data set with sample weights for analysis to the RPG.

5. Contractor must provide one (1) report with a full written documentation and program files used to derive the final dataset. This includes, but is not limited to, a description of the methods and procedures used to derive sample weights, a full description of all methods used in survey conduct and administration, a full description of survey response rates, and any systematic differences in the response rates of individuals in the sampling frame.

6. Contractor must provide a report with one (1) full written description of the data cleaning procedures.

7. Contractor to discuss plan with UMB and provide schedule for UMB review and approval within five (5) business after discussion. The raw data from each participant and methodology reports from number 3, 5, and 6 above must be
returned to the RPG by July 1, 2020 and the first day of the fiscal year of any other surveys.
8. Contractor must utilize the survey questionnaire provided in Appendix – H.

D. INSURANCE

1. a. The Contractor shall not start work under this contract until the Contractor has obtained at its own expense all of the insurance called for hereunder and such insurance has been approved by the procurement officer; nor shall the Contractor allow any subcontractor to start work on any subcontract until all insurance required by the subcontract has been obtained and approved by the Contractor and UMB. Approval of insurance required of the Contractor and subcontractors for the University will be granted only after submission to the University of original certificates of insurance signed by an authorized representative of the insurers or, alternately, at the University’s request, certified copies of the required insurance policies.

b. The Contractor shall require all subcontractors to maintain during the term of this agreement, Commercial General Liability insurance, Business Automobile Liability insurance, Workers Compensation and Employers Liability insurance, in the same manner, including the additional insured requirements in paragraph O.1.e., as specified for the Contractor. The Contractor shall furnish subcontractors’ certificates of insurance to the University immediately upon request.

c. All insurance policies required hereunder shall be endorsed to include the following provision; “It is agreed that this policy is not subject to cancellation, non-renewal, material change, or reduction in coverage until forty-five (45) days prior written notice has been given to the University.”

d. No acceptance and/or approval of any insurance by University of Maryland, Baltimore shall be construed as relieving or excusing the Contractor, or the surety or bond, if any, from any liability or obligation imposed upon either or both of them by the provision of the Contract Documents.

e. NAMED ADDITIONAL INSURED – The University of Maryland, Baltimore and the State of Maryland (including their elected or appointed officials, agents and employees) are to be named as additional insured under all coverages except Workers Compensation, and the certificates of insurance (or the certified policies, if requested) must so indicate through inclusion of appropriate endorsement. Coverage afforded under this paragraph shall be primary to any other insurance of self-insurance, whether or not such other insurance or self-insurance is stated as primary, excess or contingent, as respects the above additional insured, their elected and appointed officials, agents and employees.

f. Insurance coverage required in these specifications shall be in force throughout the Contract Term. Should the Contractor fail to provide acceptable evidence of current insurance within ten (10) days of receipt of written notice at any time during the contract term, the University shall have the absolute right to terminate the Contract without any further obligation to the Contractor, and the Contractor shall be liable to the University for the entire additional cost of
procuring substitute performance and the cost of performing the incomplete portion of the Contract at time of termination.

g. Contractual and other liability insurance provided under this Contract shall not contain a supervision, inspection or engineering service exclusion that would preclude UMB or participation institutions from supervising or inspecting the operations of the contractors as the end result.

h. The Contractor shall assume all on-the-job responsibilities as to the control of persons directly employed by it and of agents or subcontractors and anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable. The Contractor shall be as fully responsible to the University for the acts and omissions of its subcontractors and of persons employed by them as it is for acts and omissions of persons directly employed by the Contractor.

i. All required insurance coverages must be acquired from insurers allowed to do business in the State of Maryland and acceptable to University. The insurers must have a policyholders’ rating “A-” or better, and a financial size of “Class VII” or better in the latest edition of Best’s Insurance Reports.

j. UMB will consider deductibles or self-insured retention as part of its review of the financial stability of the bidder. Any deductibles or self-insured retention shall be disclosed in the Contractor’s Bid and shall be assumed by the Contractor.

2. The Contractor must purchase the following insurance coverages:

a. **Commercial General Liability Insurance** or its equivalent, for bodily injury, personal injury and property damage, including loss of use. It is preferred that coverage be provided on an “occurrence” basis. If “claims made” forms are submitted, the requirements noted in section 5 must be met. Such Commercial General Liability policy shall include the following extensions:

i. It is preferred that the general aggregate limit applies separately to this project:

ii. Premises/Operations:

iii. Actions of Independent Contractors:

iv. Products/completed Operations to be maintained for two (2) years after completion of the contract.

v. Contractual Liability including protecting for the Contractor for claims arising out of liability assumed under this contract.

vi. Personal injury liability including coverage for offenses related to employment, and for offenses assumed under this contract (delete any standard employment and contractual exclusions if contained in the personal injury coverage section):

b. **Business Automobile Liability**, which will pay for liabilities arising out of accidents involving the ownership, operation, maintenance or use of any owned, hired or non-owned motor vehicles, uninsured motorist’s insurance and automobile contractual liability.
NOTE: INSURANCE MUST BE ON A PRIMARY BASIS. CONTRACTUAL REQUIREMENTS MUST BE CLEARLY INDICATED ON CERTIFICATE OR BY ENDORSEMENTS.

C. Workers Compensation – statutory benefits are required by Maryland law or other laws as required by labor union agreements, including standard Other States coverage; Employers Liability coverage.

3. The coverage listed in 2. a., b., and c. (above) shall be written for not less than the following limits of liability. Limits can be furnished by a combination of primary and excess (umbrella) policies.
   a. Commercial General Liability Insurance including all extensions –
      $2,000,000 each occurrence;
      $2,000,000 personal injury;
      $2,000,000 products/completed operations;
      $2,000,000 general aggregated
   b. Business Automobile Liability - $2,000,000 each accident
   c. Workers Compensation insurance – statutory requirements. Employer’s liability insurance - $1,000,000 each accidental injury; and $1,000,000 policy limit for disease.

4. Tort- Claim Act – It is agreed that the Contractor and its insurers will not raise or use, in the adjustment of claims or in the defense of suits against any participating USM institution, any immunity of the insured from tort liability, (including Maryland Tort Claim Act), including any limitation of liability, unless requested by any participating institution.

5. NOTE: If insurance required in terms 2.a.iv. and v. above has been issued on a “claims made” basis, the Contractor must comply with the following additional conditions. The limits of liability and the extensions to be included as described above remain the same. The Contractor must either:
   a. Agree to provide certificates of insurance evidencing the above coverages for a period of three (3) years after final payment for the contract. Such certificates shall evidence a retroactive date no later than the beginning of the Contractor’s or Subcontractor’s work under this contract, or
   b. Purchase an extended (minimum three (3) years) reporting period endorsement for the policy or policies in force during the term of this contract and evidence the purchase of this extended reporting period endorsement by means of a certificate of insurance or a copy of the endorsement itself, and
   c. The “retroactive date” must be effective prior to the inception of the work under this contract, and
   d. No “sunset” clauses shall apply.

A CERTIFICATION FROM AN AUTHORIZED BROKER OR AGENT THAT ALL REQUESTED COVERAGES ARE AVAILABLE AND WILL BE PROVIDED TO THE CONTRACTOR UPON AWARD OF THIS CONTRACT MUST BE PROVIDED WITH ANY BID.
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SECTION IV. BID FORMAT

A. **ONE PART SUBMISSION**

Bidders shall submit one (1) original, one (1) copy of the original, and one (1) electronic version of their entire Bid on electronic storage (CD or flash drive) in a single sealed package/envelope.

Each bidder is required to label the sealed Bid. The Bid shall bear the IFB title and number, name and address of the Bidder, and closing date and time for receipt of the Bids.

B. **REQUIRED BID SUBMISSION**

Bidders shall include the following with their Bid:

a. **Transmittal Letter:** A transmittal letter prepared on the vendor’s business stationery must accompany the Bid. The purpose of this letter is to transmit the Bid; therefore, it should be brief. The letter must be signed by an individual who is authorized to bind the firm to all statements, including services and prices, contained in the Bid. The letter must include the following:
   - Name and address of the Bidder;
   - Name, title, email address, and telephone number of primary contact for the Bidder;
   - Solicitation Title and Solicitation Number that the Bid is in response to;
   - Signature, typed name, and title of an individual authorized to commit the Bidder to its Bid;
   - Federal Employer Identification Number (FEIN) of the Bidder, or if a single individual, that individual’s Social Security Number;
   - Bidder’s MBE certification number (if applicable);
   - Any information which is claimed to be confidential is to be noted by reference and included in the Transmittal Letter. Each page that is confidential or proprietary should be marked.

b. Appendix F- Price Sheet
c. Appendix B- Bid Affidavit
d. Appendix E- Certification Regarding Investment Activities in Iran
e. Three (3) references are requested from customers who are capable of documenting the bidder’s ability to provide the services as detailed in Section III-B Contractor Profile by completing Appendix G – Contract Experience/Reference Form.
g. Per Section III-B Contractor Profile resumes of key staff and Contractor Liaison who will be assigned to the contract.
h. Insurance Certificate
i. Acknowledgement of Amendment (if any)
GAMBLING SURVEY

SECTION V. APPENDICES AND ATTACHMENTS

APPENDIX A - Schedule B – Terms and Conditions of Solicitation and Purchase Order Terms and Conditions
APPENDIX B - Bid/Proposal Affidavit
APPENDIX C - Service Contract
APPENDIX D - Contract Affidavit
APPENDIX E - Certification Regarding Investment Activities in Iran
APPENDIX F - Price Sheet
APPENDIX G - Contract Experience/Reference Form
APPENDIX H - Survey Questionnaires
APPENDIX A

SCHEDULE B
University of Maryland, Baltimore
SOLICITATION TERMS & CONDITIONS
November 2006

Definitions:
(a) "Vendor" or "Contractor" means a person, partnership, corporation or other entity submitting an offer in response to a University solicitation.
(b) "Offer" means a quote submitted by a vendor.
(c) "UMB" means the University of Maryland, Baltimore or any other component of the University System of Maryland (USM) on behalf of which this procurement is made by UMB.
(d) "Contract" an agreement entered into by UMB for the acquisition of supplies, services, construction, architecture services and engineering services.

Terms:
1. Reservation of Rights. This solicitation creates no obligation on the part of UMB. This solicitation may be cancelled at any time prior to opening of offers. UMB reserves the right to increase or decrease the quantities of any materials, equipment, supplies or services described in the solicitation. Offers may be modified or withdrawn by written notice received prior to the time and date set for opening. UMB reserves the right to accept or reject any and all offers in whole or in part. The University reserves the right to make awards by item, groups of items, multiple awards, or on an all or none basis as best serves the interests of the University.

2. Pricing. The unit price shall be considered as the offer price, which will be evaluated by UMB. Separate unit prices shall be submitted for each item; extensions shall be indicated where applicable and total offer price shown when requested. Unless otherwise provided in the solicitation, offer prices are irrevocable for a period of 90 days following the date set for offer opening.

3. Specifications; Equivalents. All materials, equipment, supplies or services shall conform to applicable Federal and State laws and regulations and to the specifications contained in the solicitation. Any manufacturer's names, trades names, brand names, information and/or catalog numbers listed in a specification are for information and are not intended to limit competition. The vendor may offer any brand which meets or exceeds the specification for any item(s). If offers are based on equivalent products, the vendor shall indicate on the offer form the manufacturer's name and product number and shall submit with the offer cuts, sketches, and descriptive literature and/or complete specifications. Reference to literature submitted with a previous offer shall not satisfy this provision. The vendor shall also explain in detail the reason(s) why the proposed equivalent will meet the specifications and not be considered an exception thereto. UMB reserves the right to determine acceptance of any items proposed as equivalent. Offers which do not comply with these requirements are subject to rejection. Offers lacking any written indication of intent to offer an alternate brand shall be received and considered in complete compliance with the specifications as listed on the solicitation form.

4. Samples. UMB reserves the right to request and be furnished samples, at no expense to UMB, prior to or after the award, for the purpose of quality and specification evaluation. Samples shall be returned, upon request, at the vendor's expense. UMB does not guarantee that Samples returned will be in the same condition as when submitted.

5. Vendor's Terms and Conditions. The Purchase Order issued by UMB shall constitute the contract between the parties. A VENDOR'S PROPOSED TERMS ARE NOT PART OF THE CONTRACT UNLESS SPECIFICALLY ACCEPTED IN WRITING BY THE PROCUREMENT OFFICER. NO OTHER UNIVERSITY EMPLOYEE CAN ACCEPT OR EXECUTE A CONTRACT FORM OR ACCEPT A VENDOR'S TERMS. Any terms and conditions, including any form contracts, which the vendor proposes to use, shall be submitted (a) by the solicitation closing date in the case of a single step procurement or (b) by the closing date for technical offers, in the case of a multi-step procurement. If a vendor does not submit any proposed terms on a timely basis, its offer will be deemed an offer to contract on UMB's terms. If a vendor timely proposes any non-UMB terms or conditions, the vendor must indicate clearly in writing whether or not its offer is contingent upon the acceptance of any or all of the vendor's terms and conditions. UMB may reject any offer made contingent upon University acceptance of a vendor's terms and conditions.

   (a) Minority business enterprises are encouraged to respond to this solicitation
   (b) Refer to the specifications of the solicitation for identification of Minority Business Enterprise "MBE" participation goal.

7. Public Information Act Notice. Offerors shall give specific attention to the identification of those portions of their responses that they deem to be confidential, proprietary information or trade secrets and shall provide justification why such materials, upon request, should not be disclosed by UMB under the Public Information Act, Part III, Title 4, General Provisions Article, Annotated Code of Maryland.
8. **Arrearages.** By submitting a response to this solicitation, a vendor shall be deemed to represent that it is not in arrears in the payment of any obligation due and owing the State of Maryland, including the payment of taxes and employee benefits, and that it shall not become so in arrears during the term of the contract if selected for contract award.

9. **Bid/Proposal Affidavit.** The attached Bid/proposal affidavit shall be completed and submitted by the vendor with the Bid or proposal. The terms and conditions of the affidavit will be incorporated into and made a part of any contract resulting from this solicitation.

10. **Bid Security.** Solicitations for construction contracts reasonably expected by the procurement officer to exceed $100,000 shall require a Bid security in an amount equal to at least 5% of the amount of the Bid or price proposal. For all other non-construction contracts, refer to the specifications of this solicitation addressing Bid security. Absence of any such reference shall mean that no Bid security is required. Notwithstanding the above, notice of a Bid security is required if a federal law or condition of federal assistance for the contract requires it.

11. **Ethics.** The Vendor is responsible to assure compliance with the Maryland Public Ethics Law, Title 5, General Provisions Article, Annotated Code of Maryland. The Public Ethics Law prohibits, under certain circumstances, (i) present and former officials and employees of State agencies, or (ii) businesses in which those persons or their close relations hold employment or economic interests, from submitting bids or proposals, negotiating for themselves or others, or entering into contracts with the State. In the event a violation of the Maryland Public Ethics Law occurs in connection with a Vendor's response to this solicitation or a resulting contract award to a Vendor, the University reserves the right to reject the proposal or declare an event of default of the contract awarded to the Vendor. Any Vendor with concerns about compliance with the Maryland Public Ethics Law is encouraged to contact the State Ethics Commission for more information.

12. **Rights in Inventions.** For the consideration payable under this Contract, Vendor agrees to report any invention arising out of the Work required by this Contract to UMB. UMB shall have sole right and authority to seek statutory patent protection under United States and foreign patent laws and to enjoy the benefits of ownership of the invention, whether or not the invention was required of the Vendor as part of the performance of Work. Vendor hereby assigns all right, title and interest in and to inventions made in the course of the Work to UMB and agrees to execute and deliver all documents and do any and all things necessary and proper to effect such assignment.

13. **Copyrights.** For the consideration payable under this Contract, the work product required by this Contract shall be considered a work made for hire within the meaning of that term under the copyright laws of the United States, applicable common law and corresponding laws of other countries. UMB shall have sole right and authority to seek statutory copyright protection and to enjoy the benefits of ownership of the work. The party performing the work hereby assigns all right, title and interest in and to the work to the UMB.

14. **Acknowledgement of Addenda.** If it becomes necessary to revise any part of the Bid, addenda will be posted to the eBid Board website at [www.procurement.umd.edu](http://www.procurement.umd.edu). It is the responsibility of the bidder to check the website frequently until the opening date for addendums, amendments, and changes. A written acknowledgement of the receipt of all amendments, addenda, and changes issued shall be required from all vendors submitting a Bid.

15. **Conflict of Interest.** An individual or a person that employs an individual who assists the University in the drafting of specifications, an invitation for bids, a request for proposals for a procurement or the selection or award made in response to an invitation for bids or request for proposals may not: (1) submit a Bid or proposal for that procurement; or (2) assist or represent another person, directly or indirectly, who is submitting a Bid or proposal for that procurement.

16. **Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191.** Contractor acknowledges that the University of Maryland Baltimore is a HIPAA hybrid covered entity. Contractor agrees that Contractor's access to and use of protected health information (as that term is defined by HIPAA), if any, under this Agreement will be conducted in accordance with the requirements of the Act and HIPAA, including the terms of a HIPAA Business Associate Agreement if so required by UMB. Such Business Associate Agreement is either attached hereto as Schedule H or Contractor shall promptly execute such Business Associate Agreement upon the University's request. Contractor shall also cause any subcontractor, agent, or party under Contractor's direction or control that is participating in this Agreement to promptly execute a standard HIPAA Business Associate Agreement if so requested by UMB.

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**PURCHASE ORDER TERMS & CONDITIONS**

March 2007

1. **Parties.** The parties to this Contract are the Contractor identified on the Purchase Order ("P.O.")/Contract and UMB. In contractual matters, UMB shall be represented by a Procurement Officer designated in writing by UMB. The original Procurement Officer for this Contract is named on the P.O., which designation may be changed from time to time by UMB. If UMB is acting as procurement agent for another institution in the University System of Maryland, such institution is the party in interest under the Contract, and will be identified on the P.O. For such contracts, all rights and liabilities of UMB pursuant to the Purchase Order and applicable law shall be the rights and liabilities of that institution, which the UMB may exercise as agent, and UMB shall have no liability to the Contractor pursuant to the Contract. If more than one person or entity is identified on the P.O. as Contractor, each of them shall have joint and several liability for the performance of this Contract.
2. **Conflicting Terms.** Any proposal for terms in addition to or different from those set forth in this P.O. or any attempt by the Contractor to vary any of the terms of this P.O. by Contractor's acceptance shall not operate as a rejection of this offer, unless such variance is in the terms of the description, quantity, price or delivery schedule, but shall be deemed a material alteration thereof; and this offer shall be deemed acceptable by the Contractor without the additional or different terms. If this P.O. is an acceptance of a prior offer by the Contractor, the acceptance is expressly conditioned upon Contractor's assent to any additional or different terms contained herein. The Contractor understands and agrees that the terms and conditions of this P.O. may not be waived.

3. **Clauses Incorporation by Reference.** This Contract consists of the terms and conditions of this P.O. and any amendments of the P.O. All terms and conditions of the solicitation, and any amendment thereto, are made a part of this Contract.

4. **Invoices.** A separate invoice for this P.O. or for each shipment shall be rendered following shipment. All invoices must be forwarded directly to the Accounts Payable Department, University of Maryland, Baltimore, Saratoga Street Offices, Level 02 Room 02-123, 220 Arch St., Baltimore, Maryland 21201-1531. THE CONTRACTOR'S FEDERAL EMPLOYER IDENTIFICATION NUMBER OR SOCIAL SECURITY NUMBER MUST BE INCLUDED ON THE FACE OF ALL INVOICES BILLED TO UMB. Payments to the Contractor pursuant to this Contract shall be made no later than 30 days after the State's receipt of a proper invoice from the Contractor. Charges for late payment of invoices, other than as prescribed by Title 15, Subtitle 1, of the State Finance and Procurement Article, Annotated Code of Maryland, or by the Public Service Commission of Maryland with respect to regulated public utilities, as applicable, are prohibited.

5. **P.O. Number.** The P.O. number must be stated on all related invoices, delivery memoranda, bills of lading, packages, correspondence, and/or MSDS forms (see para. 18).

6. **Specifications.** All materials, equipment, supplies or services shall conform to federal and State laws and regulations and to the specifications contained in the solicitation.

7. **Delivery and Acceptance.** Delivery shall be made in accordance with the solicitation specifications. UMB, in its sole discretion, may extend the time of performance for excusable delays due to unforeseeable causes beyond the Contractor's control. UMB unilaterally may order in writing the suspension, delay, or interruption of performance hereunder. UMB reserves the right to test any materials, equipment, supplies, or services delivered to determine if the specifications have been met. The materials listed in the Bid or proposal shall be delivered FOB the point or points specified prior to or on the date specified in the Bid or proposal. Any material that is defective or fails to meet the terms of the solicitation specifications shall be rejected. Rejected materials shall be promptly replaced. UMB reserves the right to purchase replacement materials in the open market. Contractors failing to promptly replace materials lawfully rejected shall be liable for any excess price paid for the replacement, plus applicable expenses, if any.

8. **Delays.** The Contractor agrees to perform the work continuously and diligently, and no charges or claims for damages shall be made by Contractor for any delays or hindrances from any cause whatsoever during the progress of any portion of the work specified in the Contract.

9. **Tax Exemption.** UMB is generally exempt from federal excise taxes, Maryland sales and use taxes, District of Columbia sales taxes, and transportation taxes. Exemption Certificates shall be completed upon request. Where a Contractor is required to furnish and install material in the construction or improvement of real property in performance of a contract, the Contractor shall pay the Maryland Sales Tax and the exemption does not apply.

10. **Non-Hiring of Employees; Conflict of Interest.** (a) No official or employee of the State, as defined under State Government Article, SS15-102, Annotated Code of Maryland, whose duties as such official or employee include matters relating to or affecting the subject matter of this Contract shall, during the pendency or term of this contract and while serving as an official or employee of the State, become or be an employee of the Contractor or any entity that is a subcontractor on this contract.

11. **Non-Discrimination in Employment and Equal Opportunity.** (a) The Contractor agrees not to discriminate in any manner against an employee or applicant for employment because of race, color, religion, creed, age, sex, marital status, national origin, ancestry, or disability of a qualified individual with a disability and to post, and to cause subcontractors to post, in conspicuous places available to employees and applicants for employment, notices setting forth the substance of this clause.

12. **Financial Disclosure.** The Contractor shall comply with State Finance and Procurement Article, 13-221, Annotated Code of Maryland, which requires that every business that enters into contracts, leases or other agreements with the State and receives in the aggregate $100,000 or more during a calendar year, shall, within 30 days of the time when the $100,000 is reached, file with the Secretary of State certain specified information to include disclosure of beneficial ownership of the business.

13. **Political Contribution Disclosure.** Contractor shall comply with, and require its officers, directors, and partners to comply with, the provisions of Election Law Article, Annotated Code of Maryland, Section 14-101 et seq., which requires that every person doing public business (as there defined), and every individual whose contributions are attributable to the person entering into such an agreement, during a calendar year in which the person receives cumulative consideration of $200,000 or more from public business, shall file with the State Administrative Board of Election Laws a statement disclosing contributions in excess of $500 made during the reporting period to a candidate for elective office in any primary or general election. The statement shall be filed with the State Administrative Board of Election Laws: (1) before a purchase or execution of a lease or contract by the State, a county, an incorporated municipality, or their agencies, and shall cover the preceding two calendar years; and (2) if the contribution is made after the execution of a lease or contract, then twice a year, throughout the
lease or contract term on (a) February 5, to cover the 6-month period ending January 31; and (b) August 5, to cover the 6-month period ending July 31.

14. Anti-Bribery. The Contractor warrants that neither it nor any of its officers, directors, or partners, nor any employees who are directly involved in obtaining or performing contracts with any public body has been convicted of bribery, attempted bribery, or conspiracy to bribe, under the laws of any state or of the federal government or has engaged in conduct since July 1, 1977, which would constitute bribery, attempted bribery or conspiracy to bribe under the laws of any state or the federal government.

15. Corporate Registration. Pursuant to 7-201 et seq. of the Corporations and Associations Article of the Annotated Code of Maryland, corporations not incorporated in the State shall be registered with the State Department of Assessments and Taxation, 301 West Preston St., Baltimore, Maryland 21201, before doing any interstate or foreign business in this State. Before doing any intrastate business in this State, a foreign corporation shall qualify with the Department of Assessments and Taxation.

16. Contingent Fees. The Contractor warrants that it has not employed or retained any person, partnership, corporation, or other entity, other than a bona fide employee or agent working for the Contractor, to solicit or secure this agreement, and that it has not paid or agreed to pay any person, partnership, corporation, or other entity, other than a bona fide employee or agent, any fee or any other consideration contingent on the making of this agreement.

17. EPA. Materials, supplies, equipment, or services shall comply in all respects with the Federal Noise Control Act of 1972, where applicable.

18. OSHA; MSDS. All materials, supplies, equipment, or services supplied as a result of this Contract shall comply with the applicable U.S. and Maryland Occupational Safety and Health Act standards. Pursuant to 29 CFR part 1910, where applicable, an MSDS for the products supplied or used in carrying out this Contract must be sent to:

University of Maryland, Baltimore
Associate Director for EHS
714 West Lombard Street
Baltimore, MD 21201-1010

19. Termination for Convenience. Upon written notice to the Contractor, UMB may terminate this Contract, in whole or in part, whenever UMB shall determine that such termination is in its best interest. UMB shall pay all reasonable costs incurred up to the date of termination and all reasonable costs associated with termination of the Contract. However, the Contractor may not be reimbursed for anticipatory profits. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of USM Procurement Policies & Procedures.

20. Termination for Default. When the Contractor has not performed or has unsatisfactorily performed the Contract, payment shall be withheld at the discretion of the State. Failure on the part of the Contractor to fulfill contractual obligations shall be considered just cause for termination of the Contract and the Contractor is not entitled to recover any costs incurred by the Contractor up to the date of termination. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of USM Procurement Policies & Procedures.

21. Disputes. This Contract shall be subject to USM Procurement Policies & Procedures. Pending the resolution of a claim, the Contractor shall proceed diligently with the performance of the contract in accordance with the procurement officer's decision.

22. Multi-Year Contracts. If funds are not appropriated or otherwise made available to support continuation in any fiscal year succeeding the first fiscal year, this Contract shall terminate automatically as of the beginning of the fiscal year for which funds are not available. The Contractor may not recover anticipatory profits or costs incurred after termination.

23. Intellectual Property. Contractor agrees to defend upon request and indemnify and save harmless UMB, its officers, agents and employees with respect to any claim, action, cost or judgment for patent infringement, or trademark or copyright violation arising out of purchase or use of materials, supplies, equipment or services covered by this Contract.

24. Maryland Law. The provisions of this Contract shall be governed by the laws of Maryland.

25. Pre-Existing Policies & Procedures. The USM Procurement Policies & Procedures in effect on the date of execution of this Contract are applicable to this Contract.

26. Indemnification. UMB shall not assume any obligation to indemnify, hold harmless, or pay attorneys' fees that may arise from or in any way be associated with the performance or operation of this Contract.

27. Drug and Alcohol Free Workplace. The Contractor warrants that the Contractor shall comply with COMAR 21.11.08 Drug and Alcohol Free Workplace, and that the Contractor shall remain in compliance throughout the term of this purchasing order.

28. Retention of Records. The Contractor shall retain and maintain all records and documents relating to this Contract for three years after final payment by the State hereunder or any applicable statute of limitations, whichever is longer, and shall make them available for inspection and audit by authorized representatives of the State, including the Procurement Officer or designee, at all reasonable times.

29. Ethics. This purchase order is cancelable in the event of a violation of the Maryland Public Ethics Law by the vendor or any UMB employee in connection with this procurement.

30. Rights in Inventions. For the consideration payable under this Contract, Vendor agrees to report any invention arising out of the Work required by this Contract to UMB. UMB shall have sole right and authority to seek statutory
patent protection under United States and foreign patent laws and to enjoy the benefits of ownership of the invention, whether or not the invention was required of the Vendor as part of the performance of Work. Vendor hereby assigns all right, title and interest in and to inventions made in the course of the Work to the UMB and agrees to execute and deliver all documents and do any and all things necessary and proper to effect such assignment.

31. **Copyrights.** For the consideration payable under this Contract, the work product required by this Contract shall be considered a work made for hire within the meaning of that term under the copyright laws of the United States, applicable common law and corresponding laws of other countries. UMB shall have sole right and authority to seek statutory copyright protection and to enjoy the benefits of ownership of the work. The party performing the work hereby assigns all right, title and interest in and to the work to the UMB.

32. **Ownership of Documents.** All documents which are prepared by the Vendor and form a part of its services shall be the property of UMB and shall be delivered to UMB upon termination of this Contract if UMB so requests. The Vendor shall be responsible for the protection and/or replacement of any original documents in its possession. UMB shall receive all original drawings and the Vendor shall retain a reproducible copy.

33. **Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191. ("HIPAA") and Maryland Confidentiality of Medical Records Act (Annotated Code of Maryland, Health – General Article '4-301 et seq. ("the Act").** Contractor acknowledges that the University of Maryland Baltimore is a HIPAA hybrid covered entity. Contractor agrees that Contractor's access to and use of protected health information (as that term is defined by HIPAA), if any, under this Agreement will be conducted in accordance with the requirements of the Act and HIPAA, including the terms of a HIPAA Business Associate Agreement if so required by UMB. Such Business Associate Agreement is either attached hereto as Schedule H or Contractor shall promptly execute such Business Associate Agreement upon the University's request. Contractor shall also cause any subcontractor, agent, or party under Contractor's direction or control that is participating in this Agreement to promptly execute a standard HIPAA Business Associate Agreement if so requested by UMB.
APPENDIX B

BID/PROPOSAL AFFIDAVIT

A. AUTHORIZED REPRESENTATIVE

I HEREBY AFFIRM THAT:

I am the (title) _______________________________ and the duly authorized representative of (business)____________________________________ and that I possess the legal authority to make this Affidavit on behalf of myself and the business for which I am acting.

B. CERTIFICATION REGARDING COMMERCIAL NONDISCRIMINATION

The undersigned bidder hereby certifies and agrees that the following information is correct: In preparing its Bid on this project, the bidder has considered all proposals submitted from qualified, potential subcontractors and suppliers, and has not engaged in "discrimination" as defined in §19-103 of the State Finance and Procurement Article of the Annotated Code of Maryland.

"Discrimination" means any disadvantage, difference, distinction, or preference in the solicitation, selection, hiring, or commercial treatment of a vendor, subcontractor, or commercial customer on the basis of race, color, religion, ancestry, or national origin, sex, age, marital status, sexual orientation, or on the basis of disability or any otherwise unlawful use of characteristics regarding the vendor's, supplier's, or commercial customer's employees or owners. "Discrimination" also includes retaliating against any person or other entity for reporting any incident of "discrimination". Without limiting any other provision of the solicitation on this project, it is understood that, if the certification is false, such false certification constitutes grounds for the State to reject the Bid submitted by the bidder on this project, and terminate any contract awarded based on the Bid. As part of its Bid or proposal, the bidder herewith submits a list of all instances within the past 4 years where there has been a final adjudicated determination in a legal or administrative proceeding in the State of Maryland that the bidder discriminated against subcontractors, vendors, suppliers, or commercial customers, and a description of the status or resolution of that determination, including any remedial action taken. Bidder agrees to comply in all respects with the State's Commercial Nondiscrimination Policy as described under Title 19 of the State Finance and Procurement Article of the Annotated Code of Maryland.


The undersigned bidder hereby certifies and agrees that it has fully complied with the State Minority Business Enterprise Law, State Finance and Procurement Article, §14-308(a)(2), Annotated Code of Maryland, which provides that, except as otherwise provided by law, a Contractor may not identify a certified minority business enterprise in a Bid or proposal and:

(1) Fail to request, receive, or otherwise obtain authorization from the certified minority business enterprise to identify the certified minority proposal;

(2) Fail to notify the certified minority business enterprise before execution of the contract of its inclusion in the Bid or proposal;

(3) Fail to use the certified minority business enterprise in the performance of the contract; or

(4) Pay the certified minority business enterprise solely for the use of its name in the Bid or proposal.

Without limiting any other provision of the solicitation on this project, it is understood that if the certification is false, such false certification constitutes grounds for the State to reject the Bid submitted by the bidder on this project, and terminate any contract awarded based on the Bid.

C. AFFIRMATION REGARDING BRIBERY CONVICTIONS

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business (as is defined in Section 16-101(b) of the State Finance and Procurement Article of the Annotated Code of Maryland), or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities including obtaining or performing contracts with public bodies has been convicted of, or has had probation before judgment imposed pursuant to Criminal Procedure Article, §6-220, Annotated Code of Maryland, or has pleaded nolo contendere to a charge of, bribery, attempted bribery, or conspiracy to bribe in violation of Maryland law, or of the law of any other state or federal law, except as follows (indicate the reasons why the affirmation cannot be given and list any conviction, plea, or imposition of probation before judgment with the date, court,
D. AFFIRMATION REGARDING OTHER CONVICTIONS

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business’s contracting activities including obtaining or performing contracts with public bodies, has:

(1) Been convicted under state or federal statute of:

(a) A criminal offense incident to obtaining, attempting to obtain, or performing a public or private contract; or

(b) Fraud, embezzlement, theft, forgery, falsification or destruction of records or receiving stolen property;

(2) Been convicted of any criminal violation of a state or federal antitrust statute;

(3) Been convicted under the provisions of Title 18 of the United States Code for violation of the Racketeer Influenced and Corrupt Organization Act, 18 U.S.C. §1961 et seq., or the Mail Fraud Act, 18 U.S.C. §1341 et seq., for acts in connection with the submission of bids or proposals for a public or private contract;

(4) Been convicted of a violation of the State Minority Business Enterprise Law, §14-308 of the State Finance and Procurement Article of the Annotated Code of Maryland;

(5) Been convicted of a violation of §11-205.1 of the State Finance and Procurement Article of the Annotated Code of Maryland;

(6) Been convicted of conspiracy to commit any act or omission that would constitute grounds for conviction or liability under any law or statute described in subsections (1)—(5) above;

(7) Been found civilly liable under a state or federal antitrust statute for acts or omissions in connection with the submission of bids or proposals for a public or private contract;

(8) Been found in a final adjudicated decision to have violated the Commercial Nondiscrimination Policy under Title 19 of the State Finance and Procurement Article of the Annotated Code of Maryland with regard to a public or private contract; or

(9) Admitted in writing or under oath, during the course of an official investigation or other proceedings, acts or omissions that would constitute grounds for conviction or liability under any law or statute described in §§B and C and subsections D(1)—(8) above, except as follows (indicate reasons why the affirmations cannot be given, and list any conviction, plea, or imposition of probation before judgment with the date, court, official or administrative body, the sentence or disposition, the name(s) of the person(s) involved and their current positions and responsibilities with the business, and the status of any debarment):
Neither I, nor to the best of my knowledge, information, and belief, the above business, or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities, including obtaining or performing contracts with public bodies, has ever been suspended or debarred (including being issued a limited denial of participation) by any public entity, except as follows (list each debarment or suspension providing the dates of the suspension or debarment, the name of the public entity and the status of the proceedings, the name(s) of the person(s) involved and their current positions and responsibilities with the business, the grounds of the debarment or suspension, and the details of each person's involvement in any activity that formed the grounds of the debarment or suspension).

F. AFFIRMATION REGARDING DEBARMENT OF RELATED ENTITIES

I FURTHER AFFIRM THAT:

(1) The business was not established and it does not operate in a manner designed to evade the application of or defeat the purpose of debarment pursuant to Sections 16-101, et seq., of the State Finance and Procurement Article of the Annotated Code of Maryland; and

(2) The business is not a successor, assignee, subsidiary, or affiliate of a suspended or debarred business, except as follows (you must indicate the reasons why the affirmations cannot be given without qualification):

G. SUB-CONTRACT AFFIRMATION

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, has knowingly entered into a contract with a public body under which a person debarred or suspended under Title 16 of the State Finance and Procurement Article of the Annotated Code of Maryland will provide, directly or indirectly, supplies, services, architectural services, construction related services, leases of real property, or construction.

H. AFFIRMATION REGARDING COLLUSION

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business has:

(1) Agreed, conspired, connived, or colluded to produce a deceptive show of competition in the compilation of the accompanying Bid or offer that is being submitted;

(2) In any manner, directly or indirectly, entered into any agreement of any kind to fix the Bid price or price proposal of the bidder or offeror or of any competitor, or otherwise taken any action in restraint of free competitive bidding in connection with the contract for which the accompanying Bid or offer is submitted.

I. FINANCIAL DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, the provisions of Section 13-221 of the State Finance and Procurement Article of the Annotated Code of Maryland, which require that every business that enters into contracts, leases, or other agreements with the State of Maryland or its agencies during a calendar year under which the business is to receive in the aggregate $100,000 or
more shall, within 30 days of the time when the aggregate value of the contracts, leases, or other agreements reaches $100,000, file with the Secretary of State of Maryland certain specified information to include disclosure of beneficial ownership of the business.

J. POLITICAL CONTRIBUTION DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, Election Law Article, §§14-101—14-108, Annotated Code of Maryland, which requires that every person that enters into contracts, leases, or other agreements with the State of Maryland, including its agencies or a political subdivision of the State, during a calendar year in which the person receives in the aggregate $100,000 or more shall file with the State Board of Elections a statement disclosing contributions in excess of $500 made during the reporting period to a candidate for elective office in any primary or general election.

K. DRUG AND ALCOHOL FREE WORKPLACE

(Applicable to all contracts unless the contract is for a law enforcement agency and the agency head or the agency head's designee has determined that application of COMAR 21.11.08 and this certification would be inappropriate in connection with the law enforcement agency's undercover operations.)

I CERTIFY THAT:

(1) Terms defined in COMAR 21.11.08 shall have the same meanings when used in this certification.

(2) By submission of its Bid or offer, the business, if other than an individual, certifies and agrees that, with respect to its employees to be employed under a contract resulting from this solicitation, the business shall:

(a) Maintain a workplace free of drug and alcohol abuse during the term of the contract;

(b) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of drugs, and the abuse of drugs or alcohol is prohibited in the business' workplace and specifying the actions that will be taken against employees for violation of these prohibitions;

(c) Prohibit its employees from working under the influence of drugs or alcohol;

(d) Not hire or assign to work on the contract anyone whom the business knows, or in the exercise of due diligence should know, currently abuses drugs or alcohol and is not actively engaged in a bona fide drug or alcohol abuse assistance or rehabilitation program;

(e) Promptly inform the appropriate law enforcement agency of every drug-related crime that occurs in its workplace if the business has observed the violation or otherwise has reliable information that a violation has occurred;

(f) Establish drug and alcohol abuse awareness programs to inform its employees about:

(i) The dangers of drug and alcohol abuse in the workplace;

(ii) The business' policy of maintaining a drug and alcohol free workplace;

(iii) Any available drug and alcohol counseling, rehabilitation, and employee assistance programs; and

(iv) The penalties that may be imposed upon employees who abuse drugs and alcohol in the workplace;

(g) Provide all employees engaged in the performance of the contract with a copy of the statement required by §K(2)(b), above;

(h) Notify its employees in the statement required by §K(2)(b), above, that as a condition of continued employment on the contract, the employee shall:

(i) Abide by the terms of the statement; and
(ii) Notify the employer of any criminal drug or alcohol abuse conviction for an offense occurring in the workplace not later than 5 days after a conviction;

(i) Notify the procurement officer within 10 days after receiving notice under §K(2)(h)(ii), above, or otherwise receiving actual notice of a conviction;

(j) Within 30 days after receiving notice under §K(2)(h)(ii), above, or otherwise receiving actual notice of a conviction, impose either of the following sanctions or remedial measures on any employee who is convicted of a drug or alcohol abuse offense occurring in the workplace:

(i) Take appropriate personnel action against an employee, up to and including termination; or

(ii) Require an employee to satisfactorily participate in a bona fide drug or alcohol abuse assistance or rehabilitation program; and

(k) Make a good faith effort to maintain a drug and alcohol free workplace through implementation of §K(2)(a)—(j), above.

3) If the business is an individual, the individual shall certify and agree as set forth in §K(4), below, that the individual shall not engage in the unlawful manufacture, distribution, dispensing, possession, or use of drugs or the abuse of drugs or alcohol in the performance of the contract.

4) I acknowledge and agree that:

(a) The award of the contract is conditional upon compliance with COMAR 21.11.08 and this certification;

(b) The violation of the provisions of COMAR 21.11.08 or this certification shall be cause to suspend payments under, or terminate the contract for default under COMAR 21.07.01.11 or 21.07.03.15, as applicable; and

(c) The violation of the provisions of COMAR 21.11.08 or this certification in connection with the contract may, in the exercise of the discretion of the Board of Public Works, result in suspension and debarment of the business under COMAR 21.08.03.

L. CERTIFICATION OF CORPORATION REGISTRATION AND TAX PAYMENT

I FURTHER AFFIRM THAT:

(1) The business named above is a (domestic _______________ ) (foreign _________________ ) corporation registered in accordance with the Corporations and Associations Article, Annotated Code of Maryland, and that it is in good standing and has filed all of its annual reports, together with filing fees, with the Maryland State Department of Assessments and Taxation, and that the name and address of its resident agent filed with the State Department of Assessments and Taxation is:

Name: ______________________________________________________________________
Address: ________________________________________________________________.

(If not applicable, so state).

(2) Except as validly contested, the business has paid, or has arranged for payment of, all taxes due the State of Maryland and has filed all required returns and reports with the Comptroller of the Treasury, the State Department of Assessments and Taxation, and the Department of Labor, Licensing, and Regulation, as applicable, and will have paid all withholding taxes due the State of Maryland prior to final settlement.

M. CONTINGENT FEES

I FURTHER AFFIRM THAT:

The business has not employed or retained any person, partnership, corporation, or other entity, other than a bona fide employee, bona fide agent, bona fide salesperson, or commercial selling agency working for the business, to solicit or secure the Contract, and that the business has not paid or agreed to pay any person, partnership, corporation, or other entity, other than a bona fide employee, bona fide agent, bona fide salesperson, or commercial selling agency, any fee or any other consideration contingent on the making of the Contract.

N. Repealed.
O. ACKNOWLEDGEMENT

I ACKNOWLEDGE THAT this Affidavit is to be furnished to the Procurement Officer and may be distributed to units of: (1) the State of Maryland; (2) counties or other subdivisions of the State of Maryland; (3) other states; and (4) the federal government. I further acknowledge that this Affidavit is subject to applicable laws of the United States and the State of Maryland, both criminal and civil, and that nothing in this Affidavit or any contract resulting from the submission of this Bid or proposal shall be construed to supersede, amend, modify or waive, on behalf of the State of Maryland, or any unit of the State of Maryland having jurisdiction, the exercise of any statutory right or remedy conferred by the Constitution and the laws of Maryland with respect to any misrepresentation made or any violation of the obligations, terms and covenants undertaken by the above business with respect to (1) this Affidavit, (2) the contract, and (3) other Affidavits comprising part of the contract.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

Date: ______________ By: ______________________________ (Authorized Representative and Affiant)

12.0021 (Rev. 07/2010)
APPENDIX C

SERVICE CONTRACT

Contract No. IFB88820HR

University of Maryland, Baltimore

This Contract is entered into between ____________________________
(hereinafter referred to as Contractor) and the University of Maryland, Baltimore
(hereinafter referred to as the University or UMB).

1. SCOPE: The general scope of work is for a Contractor to conduct a statewide
survey to estimate the prevalence of gambling and to measure gambling-related
behaviors and attitudes in the state of Maryland. The Contractor’s obligations and
duties under this contract shall include the following documents: the specifications,
terms and conditions listed in IFB88820HR (copy attached) dated
_______________, Contractor’s response to the IFB, and the Contract Affidavit.

2. TERM: The term of this contract shall begin on _________ and terminate on
_________________. The University shall have the option to renew the contract for
three (3) additional one-year terms, said options to be exercised at the sole discretion
of the University. Rates shall be firm during each contract year. Requests for rate
increase shall be made in writing to the Strategic Sourcing and Acquisition Services
not less than ninety (90) days prior to the contract anniversary date. All other terms
and conditions shall remain the same, it will be UMB’s sole option to approve, or
not, such price adjustment.

3. COMPENSATION AND METHOD OF PAYMENT: As compensation for
satisfactory performance of the work described herein, the University will pay the
Contractor at the hourly rates quoted and awarded in IFB88820HR.

The Contractor’s Taxpayer Identification Number consisting of the Social Security
Number for individuals and sole proprietors or the Federal Employer Identification
Number for all other types of organization is: _______________.

The Contractor shall be paid only for items or services that are specifically named in
this contract. No additional costs for items or services will be paid by the University
without its prior express written consent.

4. INVOICING: Invoices shall be rendered __________ to the satisfaction of the
University’s designated representative and shall be payable as provided. The work
shall be delivered free from all claims, liens, and charges whatsoever.

5. PAYMENT OF UNIVERSITY OBLIGATIONS: Payments to the Contractor
pursuant to this contract shall be made no later than 30 days after the University’s
receipt of a proper invoice from the Contractor. Charges for late payment of
invoices, other than as prescribed by Title 15, Subtitle 1, of the State Finance and Procurement Article, Annotated Code of Maryland, or by Public Service Commission of Maryland with respect to regulated public utilities, as applicable are prohibited.

6. LIABILITY: All persons furnished by Contractor shall be considered solely its employees or agents and Contractor shall be responsible for payment of all unemployment, social security and other payroll taxes, including contributions from employees when required by law.

Contractor agrees to indemnify and save the University harmless from any claims or demands (including the costs, expenses, and reasonable attorney’s fees on account thereof) that may be made: (1) by anyone for injuries to persons or damage to property resulting from Contractor’s acts or omissions or those of persons furnished by Contractor or (2) by persons furnished by Contractor or Contractor’s subcontractors under Workmen’s Compensation or similar acts. Contractor also agrees to defend the University at its request, against any such claim or demand. The University agrees to notify Contractor promptly of any known written claims or demands against the University for which Contractor is responsible hereunder.

The University shall not assume any obligation to defend, indemnify, hold harmless, or pay Attorney’s fees that may arise from or in any way be associated with the performance or operation of this agreement.

Contractor shall maintain, during the term thereof, Workmen’s Compensation Insurance, Public Liability Insurance, and if the use of automobiles is required, Automobile Public Liability Insurance. Contractor shall also require its subcontractors, if any, who may enter upon University premises to maintain such insurance. Contractor and its subcontractors shall furnish the University, when requested, with copies of policies or other satisfactory proof of insurance.

7. COMPLIANCE WITH LAWS: The Contractor hereby represents and warrants that:

A. It is qualified to do business in the State of Maryland and that it will take such action as, from time to time hereafter, may be necessary to remain so qualified;

B. It is not in arrears with respect to the payment of any monies due and owing the State of Maryland, or any department or unit thereof, including but not limited to the payment of taxes and employee benefits, and that it shall not become so in arrears during the term of this Contract;

C. It shall comply with all Federal, State and local laws, regulations, and ordinances applicable to its activities and obligations under this Contract; and
D. It shall obtain, at its expense, all licenses, permits, insurance, and governmental approvals, if any, necessary to the performance of its obligations under this Contract.

8. UNIVERSITY WORK RULES: Employees and agents of Contractor and any subcontractors shall while on the premises of the University, comply with all University rules and regulations including, where required by Government Regulations, submission of satisfactory clearance from the U.S. Department of Defense or other Federal Authority concerned.

Contractor shall acquaint itself with conditions governing the delivery, receiving and storage of materials at the work site if applicable to this work, as not to interfere with University operations. Contractor shall not stop, delay or interfere with University work schedule without the prior approval of the University’s specified representative. Contractor shall provide and maintain sufficient covering to protect stock and equipment from the action of its work, if applicable.

9. HARMONY: Contractor shall be entirely responsible for working in harmony with all others on the work site when Contractor is working on University premises.

10. WARRANTY: Contractor warrants that material and/or services furnished hereunder will be fit for the purposes intended and will be free from defects in material and workmanship where applicable.

11. MODIFICATIONS IN THE WORK: This Contract may be amended with the consent of both parties. Amendments may not change significantly the scope of the Contract.

12. NON-HIRING OF EMPLOYEES: No official or employee of the State of Maryland, as defined under State Government Article, SS 15-102, Annotated Code of Maryland, whose duties as such official or employee include matter relating to or affecting the subject matter of this contract, shall, during the pendancy or term of this contract and while serving as an official or employee of the State become or be an employee of the Contractor or any entity that is a subcontractor on this contract.

13. DISPUTES: This contract shall be subject to the USM Procurement Policies and Procedures pending resolution of a claim, the Contractor shall proceed diligently with the performance of the contract in accordance with the procurement officer’s decision.

14. MARYLAND LAW PREVAILS: The laws of the State of Maryland shall govern the interpretation and enforcement of this Contract.

15. NON-DISCRIMINATION IN EMPLOYMENT: the Contractor agrees: (a) not to discriminate in any manner against an employee or applicant for employment because of race, color, religion, creed, age, sex, marital status, national origin, ancestry, or disability of a qualified individual with a disability; (b) to include a
provision similar to that contained in subsection (a), above, in any subcontract except a subcontract for standard commercial supplies or raw materials; and (c) to post and to cause subcontractors to post in conspicuous places available to employees and applicants for employment, notices setting forth the substance of this clause.

16. **Suspension of Work:** The procurement officer unilaterally may order the Contractor in writing to suspend, delay or interrupt all or any part of the work for such period of time as he may determine to be appropriate for the convenience of the University.

17. **Pre-Existing Regulations:** In accordance with the provisions of Section 11-206 of the State Finance and Procurement Article, Annotated Code of Maryland, the regulations set forth in USM Procurement Policies and Procedures in effect on the date of execution of this contract are applicable to this contract.

18. **Delays and Extensions of Time:** The Contractor agrees to perform the work continuously and diligently and no charges or claims for damages shall be made by it for any delays or hindrances from any cause whatsoever, during the progress of any portion of the work specified in this contract.

Time extensions will be granted only for excusable delays that arise from unforeseeable causes beyond the control and without the fault or negligence of the Contractor, including but not restricted to, acts of God, acts of the public enemy, acts of the State of Maryland in either its sovereign or contractual capacity, acts of another Contractor in the performance of a contract with the State, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, or delays of subcontractors or suppliers arising from unforeseeable causes beyond the control and without the fault or negligence of either the Contractor or the subcontractors or suppliers.

19. **Cost and Price Certification:**

A. The Contractor by submitting cost or price information certifies that, to the best of its knowledge, the information submitted is accurate, complete, and current as of a mutually determined specified date prior to the conclusion of any price discussions or negotiations for:

(1) A negotiated contract, if the total contract price is expected to exceed $100,000, or a smaller amount set by the procurement officer; or (2) a change order or contract modification expected to exceed $100,000, or smaller amount set by the procurement officer.

B. The price under this contract and any change order or modification hereunder, including profit or fee, shall be adjusted to exclude any significant price increases occurring because the Contractor furnished cost or price information which, as of the date agreed upon between the parties, was inaccurate, incomplete, or not current.
20. TERMINATION FOR DEFAULT: If the Contractor fails to fulfill its obligations under this contract properly and on time, or otherwise violates any provision of the contract, the University may terminate the contract by written notice to the Contractor. The notice shall specify the acts of omissions relied on as cause for termination. All finished or unfinished supplies and services provided by the Contractor, shall at the University’s option, become the University’s property. The University shall pay the Contractor fair and equitable compensation for satisfactory performance prior to receipt of notice of termination, less the amount of damages caused by Contractor’s breach. If the damages are more than the compensation payable to the Contractor, the Contractor will remain liable after termination and the State can affirmatively collect damages. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of USM Procurement Policies and Procedures.

21. TERMINATION FOR CONVENIENCE: The performance of work under this contract may be terminated by the University in accordance with this clause in whole, or from time to time in part, whenever the University shall determine that such termination is in the best interest of the University. The University will pay all reasonable costs associated with this contract that the Contractor has incurred up to the date of termination and all reasonable costs associated with termination of the Contract. However, the Contractor shall not be reimbursed for any anticipatory profits which have not been earned up to the date of termination. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of the USM Procurement Policies and Procedures.

22. FINANCIAL DISCLOSURE: The Contractor shall comply with the provisions of Section 13-221 of the State Finance and Procurement Article of the Annotated Code of Maryland, which requires that every business that enters into contracts, leases or other agreements with the State of Maryland or its agencies during a calendar year under which the business is to receive in the aggregate $100,000 or more, shall within 30 days of the time when the aggregate value of these contracts, leases or other agreements reaches $100,000, file with the Secretary of the State of Maryland certain specified information to include disclosure of beneficial ownership of the businesses.

23. POLITICAL CONTRIBUTION DISCLOSURE: Contractor shall comply with, and require its officers, directors, and partners to comply with, the provisions of Election Law Article, Annotated Code of Maryland, Section 14-101 et seq. which requires that every person doing public business (as there defined), and every individual whose contributions are attributable to the person entering into such an agreement, during a calendar year in which the person receives cumulative consideration of $200,000 or more from public business, shall file with the State Administrative Board of Election Laws a statement disclosing contributions in excess of $500 made during the reporting period to a candidate for elective office in any primary or general election. The statement shall be filed with the State
Administrative Board of Election Laws: (1) before a purchase or execution of a lease or contract by the State, a county, an incorporated municipality, or their agencies, and shall cover the preceding two calendar years; and (2) if the contribution is made after the execution of a lease or contract, then twice a year, throughout the lease or contract term on (a) February 5, to cover the 6-month period ending January 31; and (b) August 5, to cover the 6-month period ending July 31.

24. CONTINGENT FEE PROHIBITION: The Contractor, architect, or engineer (as applicable) warrants that it has not employed or retained any person, partnership, corporation, or other entity, other than a bona fide employee or agent working for the Contractor, architect or engineer, to solicit or secure this agreement, and that it has not paid or agreed to pay any person, partnership, corporation, or other entity, other than a bona fide employee or agent, any fee or any other consideration contingent on the making of this agreement.

25. RETENTION OF RECORDS: The Contractor shall retain and maintain all records and documents relating to this Contract for three years after final payment by the University hereunder or any applicable statute of limitations, whichever is longer, and shall make them available for inspection and audit by authorized representatives of the University, including the procurement officer or designee, at all reasonable times.

26. MULTI-YEAR CONTRACTS CONTINGENT UPON APPROPRIATIONS: If the General Assembly fails to appropriate funds or if funds are not otherwise made available for continued performance for any fiscal period of this Contract succeeding the first fiscal period, this Contract shall be cancelled automatically as of the beginning of the fiscal year for which funds were not appropriated or otherwise made available; provided, however, that this will not affect either the University’s rights or the Contractor’s rights under any termination clause in this Contract. The effect of termination of the Contract hereunder will be to discharge both the Contractor and the University from future performance of the Contract, but not from their rights and obligations existing at the time of termination. The Contractor shall be reimbursed for the reasonable value of any nonrecurring costs incurred but not amortized in the price of the Contract. The University shall notify the Contractor as soon as it has knowledge that funds may not be available for the continuation of this Contract for each succeeding fiscal period beyond the first.

27. LIQUIDATED DAMAGES: N/A

28. VARIATIONS IN ESTIMATED QUANTITIES: N/A

29. TRUTH-IN-NEGOTIATION CERTIFICATION: (Mandatory for architectural services or engineering services contracts over $100,000.) The Contractor by submitting cost or price information, including wage rates or other factual unit costs, certifies to the best of its knowledge, information and belief, that:
A. The wage rates and other factual unit cost supporting the firm’s compensation, as set forth in the proposal, are accurate, complete and current as of the contract date;

B. If any of the items of compensation were increased due to the furnishing of inaccurate, incomplete or non-current wages or other units of cost, the State is entitled to an adjustment in all appropriate items of compensation, including profit or fee, to exclude any significant sum by which the price was increased because of the defective data. The University’s right to adjustment includes the right to a price adjustment for defects in costs or pricing data submitted by a prospective or actual subcontractor; and

C. If additions are made to the original price of the Contract, such additions may be adjusted to include any significant sums where it is determined the price has been increased due to inaccurate, incomplete or non-current wage rates and other factual costs.

30. ETHICS: The vendor is responsible to assure compliance with the Maryland Public Ethics Law, Title 5, General Provisions Article, Annotated Code of Maryland. In the event a violation of the Ethics Law occurs in connection with the Vendor’s response of this solicitation or a resulting contract award to the vendor, the University reserves the right to (1) reject the Vendor’s Bid or proposal or (2) declare an event of default under the contract.

31. RIGHTS IN INVENTIONS: For the consideration payable under this Contract, Contractor agrees to report any invention arising out of the Work required by this Contract to University of Maryland, Baltimore. University of Maryland, Baltimore shall have sole right and authority to seek statutory patent protection under United States and foreign patent laws and to enjoy the benefits of ownership of the invention, whether or not the invention was required of the Vendor as part of the performance of Work. Contractor hereby assigns all right, title and interest in and to inventions made in the course of the Work to University of Maryland, Baltimore and agrees to execute and deliver all documents and do any and all things necessary and proper to effect such assignment.

32. COPYRIGHTS: For the consideration payable under this Contract, the work product required by this Contract shall be considered a work made for hire within the meaning of that term under the copyright laws of the United States, applicable common law and corresponding laws of other countries. University of Maryland, Baltimore shall have sole right and authority to seek statutory copyright protection and to enjoy the benefits of ownership of the work. The party performing the work hereby assigns all right, title and interest in and to the work to the University of Maryland, Baltimore.

33. CONTRACT AFFIDAVIT: The attached Contract Affidavit must be executed by an authorized representative of the Contractor and is incorporated by reference into this Contract.
34. **SPECIFICATIONS:** All materials, equipment, supplies or services shall conform to federal and State laws and regulations and to the specifications contained in the solicitation.

35. **TAX EXEMPTION:** UMB is generally exempt from federal excise taxes, Maryland sales and use taxes, District of Columbia sales taxes, and transportation taxes. Exemption certificates shall be completed upon request. Where a Contractor is required to furnish and install material in the construction or improvement of real property in performance of a contract, the Contractor shall pay the Maryland Sales Tax and the exemption does not apply.

36. **ANTI-BRIBERY:** The Contractor warrants that neither it nor any of its officers, directors or partners, nor any employees who are directly involved in obtaining or performing contracts with any public body has been convicted of bribery, attempted bribery, or conspiracy to bribe, under the laws of any state or of the federal government or has engaged in conduct since July 1, 1997, which would constitute bribery, attempted bribery or conspiracy to bribe under the laws of any state or the federal government.

37. **EPA:** Materials, supplies, equipment, or services shall comply in all respects with the Federal Noise Control Act of 1972, where applicable.

38. **OSHA; MSDS:** All materials, supplies, equipment, or services supplied as a result of this Contract shall comply with the applicable U.S. and Maryland Occupational Safety and Health Act Standards. Pursuant to 29 CFR part 1910, where applicable, an MSDS for the products supplied or used in carrying out this Contract must be sent to:

   University of Maryland, Baltimore  
   Assoc. Director for EHS  
   714 West Lombard Street  
   Baltimore, MD 21201-1010

39. **INTELLECTUAL PROPERTY:** Contractor agrees to defend upon request and indemnify and save harmless UMB, its officers, agents and employees with respect to any claim, action, cost or judgment for patent infringement, or trademark or copyright violation arising out of purchase or use of materials, supplies, equipment or services covered by this Contract.

40. **DRUG AND ALCOHOL FREE WORKPLACE:** The Contractor warrants that the Contractor shall comply with COMAR 21.11.08 Drug and Alcohol Free Workplace, and that the Contractor shall remain in compliance throughout the term of this Contract.
41. MANDATED CONTRACTOR REPORTING OF SUSPECTED CHILD
ABUSE & NEGLECT: The University of Maryland, Baltimore (UMB) and the
University System of Maryland (USM) are committed to protecting the safety and
welfare of children who come into contact with the UMB community. Maryland law
contains mandatory reporting requirements for all individuals who suspect child
abuse or neglect. See Maryland Code Annotated, Family Law Article, Sections 5-701 through 5-708. A copy of the above-referenced USM/UMB Policy and
Procedures are available at:

http://www.umaryland.edu/offices/accountability/child_abuse/

The Policy and Procedures are incorporated herein.

Contractors performing work on campus also must comply with USM Board of
Regents (BOR) VI-1.50 – Policy on the Reporting of Suspected Child Abuse and
Neglect, as well as the UMB Procedures for Reporting Suspected Child Abuse and
Neglect. Specifically, contractors performing work on campus must report suspected
child abuse or neglect orally or in writing to: (a) the local department of social services
or law enforcement agency; and (b) the University President’s Designee (i.e. the UMB
Chief Accountability Officer), if the suspected child abuse or neglect: (i) took place
in UMB facilities or on UMB property; (ii) was committed by a current or former
employee or volunteer of the USM; (iii) occurred in connection with a UMB
sponsored, recognized or approved program, visit, activity, or camp, regardless of
location; or (iv) took place while the victim was a registered student at UMB.

UMB reserves the right to terminate this contract if Contractor fails to comply with
the above-referenced policy or procedures, or if, in the judgment of UMB, termination
is necessary to protect the safety and welfare of children who come into contact with
the UMB community.

42. ELIGIBILITY TO PURCHASE [USE ONLY IF APPLIES. IF NOT,
INDICATE “NOT APPLICABLE” UNDER THIS HEADING].

The pricing, terms and conditions of the successful offeror’s/bidder’s proposal/Bid
and this contract is made available to other agencies for cooperative procurements.
The Contractor agrees to extend the proposal/Bid price structure and discounts to all
University System of Maryland campuses and facilities within the State of
Maryland, and any other educational institution in the State of Maryland.

All purchases under this contract by any entity which is not a unit or agency of the
State of Maryland (1) shall constitute a purchase or contract between the Contractor
and that entity only, (2) shall not constitute a purchase or contract of the State of
Maryland, (3) shall not be binding or enforceable against the State of Maryland or any
of its units or agencies, and (4) may be subject to other terms and conditions agreed
to by the Contractor and the purchases. Contractor bears the risk of determining
whether or not any entity from which the Contractor receives an order under the
Contract is a unit or agency of the State of Maryland such that the contract may be enforced against the State of Maryland.

43. ENTIRE CONTRACT: This Contract represents, in its entirety, the mutual understanding of the parties. This Contract supersedes any and all prior understandings and agreements, either written or oral, between the Agency and Contractor. No subsequent agreements or modifications hereof, whether expressed or implied, shall bind the parties unless the same be in writing and signed by the parties.

Contract No. IFB88820HR

AGREED TO: University of Maryland, Baltimore

AGREED TO: (Company)

Signature

Signature

Title

Title

Date

Date

12.0021 (Rev. 07/2010)
APPENDIX D

CONTRACT AFFIDAVIT

A. AUTHORITY

I HEREBY AFFIRM THAT:

I, (print name) ___________________________ possess the legal authority to make this Affidavit.

B. CERTIFICATION OF REGISTRATION OR QUALIFICATION WITH THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION

I FURTHER AFFIRM THAT:

The business named above is a (check applicable items):

(1) Corporation — ___ domestic or ___ foreign;
(2) Limited Liability Company — ___ domestic or ___ foreign;
(3) Partnership — ___ domestic or ___ foreign;
(4) Statutory Trust — ___ domestic or ___ foreign;
(5) ___ Sole Proprietorship

and is registered or qualified as required under Maryland Law.

I further affirm that the above business is in good standing both in Maryland and (IF APPLICABLE) in the jurisdiction where it is presently organized, and has filed all of its annual reports, together with filing fees, with the Maryland State Department of Assessments and Taxation. The name and address of its resident agent (IF APPLICABLE) filed with the State Department of Assessments and Taxation is:

Name and Department ID

Number:____________________ Address:__________________

and that if it does business under a trade name, it has filed a certificate with the State Department of Assessments and Taxation that correctly identifies that true name and address of the principal or owner as:

Name and Department ID Number: ______________________

Address: ____________________________________________.

C. FINANCIAL DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, the provisions of State Finance and Procurement Article, §13-221, Annotated Code of Maryland, which require that every business that enters into contracts,
leases, or other agreements with the State of Maryland or its agencies during a calendar year under which the business is to receive in the aggregate $100,000 or more shall, within 30 days of the time when the aggregate value of the contracts, leases, or other agreements reaches $100,000, file with the Secretary of State of Maryland certain specified information to include disclosure of beneficial ownership of the business.

D. POLITICAL CONTRIBUTION DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, Election Law Article, Annotated Code of Maryland, Section 101 et seq., which requires that every person that enters into contracts, leases, or other agreements with the State of Maryland, including its agencies or a political subdivision of the State, during a calendar year in which the person receives in the aggregate $200,000 or more shall file with the State Board of Elections a statement disclosing contributions in excess of $500 made during the reporting period to a candidate for elective office in any primary or general election.

E. DRUG AND ALCOHOL FREE WORKPLACE

(Applicable to all contracts unless the contract is for a law enforcement agency and the agency head or the agency head’s designee has determined that application of COMAR 21.11.08 and this certification would be inappropriate in connection with the law enforcement agency’s undercover operations.)

I CERTIFY THAT:

(1) Terms defined in COMAR 21.11.08 shall have the same meanings when used in this certification.

(2) By submission of its Bid or offer, the business, if other than an individual, certifies and agrees that, with respect to its employees to be employed under a contract resulting from this solicitation, the business shall:

(a) Maintain a workplace free of drug and alcohol abuse during the term of the contract;

(b) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of drugs, and the abuse of drugs or alcohol is prohibited in the business’ workplace and specifying the actions that will be taken against employees for violation of these prohibitions;

(c) Prohibit its employees from working under the influence of drugs or alcohol;

(d) Not hire or assign to work on the contract anyone who the business knows, or in the exercise of due diligence should know, currently abuses drugs or alcohol and is not actively engaged in a bona fide drug or alcohol abuse assistance or rehabilitation program;

(e) Promptly inform the appropriate law enforcement agency of every drug-related crime that occurs in its workplace if the business has observed the violation or otherwise has reliable information that a violation has occurred;

(f) Establish drug and alcohol abuse awareness programs to inform its employees about:

(i) The dangers of drug and alcohol abuse in the workplace;

(ii) The business’s policy of maintaining a drug and alcohol free workplace;

(iii) Any available drug and alcohol counseling, rehabilitation, and employee assistance programs; and
(iv) The penalties that may be imposed upon employees who abuse drugs and alcohol in the workplace;

(g) Provide all employees engaged in the performance of the contract with a copy of the statement required by §E(2)(b), of this regulation;

(h) Notify its employees in the statement required by §E(2)(b), of this regulation, that as a condition of continued employment on the contract, the employee shall:

(i) Abide by the terms of the statement; and

(ii) Notify the employer of any criminal drug or alcohol abuse conviction for an offense occurring in the workplace not later than 5 days after a conviction;

(i) Notify the procurement officer within 10 days after receiving notice under §E(2)(h)(ii), of this regulation, or otherwise receiving actual notice of a conviction;

(j) Within 30 days after receiving notice under §E(2)(h)(ii), of this regulation, or otherwise receiving actual notice of a conviction, impose either of the following sanctions or remedial measures on any employee who is convicted of a drug or alcohol abuse offense occurring in the workplace:

(i) Take appropriate personnel action against an employee, up to and including termination; or

(ii) Require an employee to satisfactorily participate in a bona fide drug or alcohol abuse assistance or rehabilitation program; and

(k) Make a good faith effort to maintain a drug and alcohol free workplace through implementation of §E(2)(a)—(j), of this regulation.

(3) If the business is an individual, the individual shall certify and agree as set forth in §E(4), of this regulation, that the individual shall not engage in the unlawful manufacture, distribution, dispensing, possession, or use of drugs or the abuse of drugs or alcohol in the performance of the contract.

(4) I acknowledge and agree that:

(a) The award of the contract is conditional upon compliance with COMAR 21.11.08 and this certification;

(b) The violation of the provisions of COMAR 21.11.08 or this certification shall be cause to suspend payments under, or terminate the contract for default under COMAR 21.07.01.11 or 21.07.03.15, as applicable; and

(c) The violation of the provisions of COMAR 21.11.08 or this certification in connection with the contract may, in the exercise of the discretion of the Board of Public Works, result in suspension and debarment of the business under COMAR 21.08.03.
F. CERTAIN AFFIRMATIONS VALID

I FURTHER AFFIRM THAT:

To the best of my knowledge, information, and belief, each of the affirmations, certifications, or acknowledgements contained in that certain Bid/Proposal Affidavit dated __________, 20__, and executed by me for the purpose of obtaining the contract to which this Exhibit is attached remains true and correct in all respects as if made as of the date of this Contract Affidavit and as if fully set forth herein.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

Date: ______________________

By: __________________________________ (printed name of Authorized Representative and affiant)

__________________________________ (signature of Authorized Representative and affiant)

12.0021 (Rev. 07/2010)
APPENDIX E

CERTIFICATION REGARDING INVESTMENT ACTIVITIES IN IRAN

Ref: Maryland Board of Public Works Advisory Number 2013-1

1. The undersigned certifies that, in accordance with State Finance & procurement Article §17-705:

   (i) it is not identified on the list created by the Board of Public Works as a person engaging in investment activities in Iran as described in §17-702 of State Finance & Procurement Article; and

   (ii) it is not engaging in investment activities in Iran as described in State Finance & Procurement Article §17-702.

   Or;

2. The undersigned is unable to make the above certification regarding its investment activities in Iran due to the following activities:

   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________
   ________________________________________________________________
APPENDIX F

PRICE SHEET

The bid form must be completed in its entirety, signed, and dated by an individual who is authorized to bind the bidder to bid price quote on this Appendix F – Price Sheet. The Price Sheet cannot be changed and/or altered in any way.

<table>
<thead>
<tr>
<th>Line No.</th>
<th>Description</th>
<th>Cost Per Task**</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Develop A Sample Frame</td>
<td>$______________________</td>
</tr>
<tr>
<td>2</td>
<td>Develop Method of Recruitment</td>
<td>$______________________</td>
</tr>
<tr>
<td>3</td>
<td>Provide one (1) Written Report of all Sampling Methods Used to Derive Sample Weights</td>
<td>$______________________</td>
</tr>
<tr>
<td>4</td>
<td>Conduct Survey</td>
<td>$______________________</td>
</tr>
<tr>
<td>5</td>
<td>Provide one (1) Written Report on methods used to derive final data set</td>
<td>$______________________</td>
</tr>
<tr>
<td>6</td>
<td>Provide One (1) Written Report of Data Cleaning Procedures</td>
<td>$______________________</td>
</tr>
<tr>
<td>7</td>
<td>Provide full data set (raw data)</td>
<td>$______________________</td>
</tr>
<tr>
<td>8</td>
<td><strong>TOTAL</strong></td>
<td>$______________________</td>
</tr>
</tbody>
</table>

NOTE:  
*TOTAL is the total sum of line numbers 1-7 of column Cost Per Task and will be the basis of award.

** Cost Per Task are to be all inclusive to include but not limited to project management, equipment, staff, etc…

Vendor Name: ___________________________________________________

Signature: _______________________________________________________

Print Name of Signature: __________________________________________

Date: ______________________

CONTRACT EXPERIENCE/REFERENCE FORM

OFFEROR: ______________________________________________________________

The Proposer is to complete a “Contract Experience/Reference Form” (Appendix G) for three (3) contracts of similar scope and complexity performed over the last five (5) years. Contracts may be from both private and public sector clients.

Company/Institution Name: _________________________________________________
Company Address: ________________________________________________________
City: ________________________ State: ________________   Zip Code: ____________

Contact Name: ___________________________________________________________
Contact Email Address: ____________________________________________________
Contact Phone Number: _________________ Contact Fax Number: ________________

Contract Location (s): _____________________________________________________
Contract Type: ___________________________________________________________
Contract Value: __________________________________________________________
Contract Sales Volume: ____________________________________________________

Description of Services Performed:
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
## CONTRACT EXPERIENCE/REFERENCE FORM

**OFFEROR:** ______________________________________________________________

The Proposer is to complete a “Contract Experience/Reference Form” (Appendix G) for three (3) contracts of similar scope and complexity performed over the last five (5) years. Contracts may be from both private and public sector clients.

**Company/Institution Name:** ________________________________

**Company Address:** _________________________________________

**City:** ______________________ **State:** ______________ **Zip Code:** ____________

**Contact Name:** ____________________________________________

**Contact Email Address:** ____________________________________

**Contact Phone Number:** __________________ **Contact Fax Number:** __________

**Contract Location (s):** ______________________________________

**Contract Type:** __________________________

**Contract Value:** __________________________

**Contract Sales Volume:** __________________________

**Description of Services Performed:**

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________

________________________________________________________________________
CONTRACT EXPERIENCE/REFERENCE FORM

OFFEROR: ________________________________________________________________

The Proposer is to complete a “Contract Experience/Reference Form” (Appendix G) for three (3) contracts of similar scope and complexity performed over the last five (5) years. Contracts may be from both private and public sector clients.

Company/Institution Name: _________________________________________________
Company Address: ________________________________________________________
City: ________________________ State: ________________ Zip Code: ____________

Contact Name: ___________________________________________________________
Contact Email Address: ____________________________________________________
Contact Phone Number: ___________________ Contact Fax Number: ________________

Contract Location (s): _____________________________________________________
Contract Type: ___________________________________________________________
Contract Value: __________________________________________________________
Contract Sales Volume: ____________________________________________________

Description of Services Performed:
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
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________________________________________________________________________

________________________________________________________________________
INTRODUCTION

Hello, my name is __________________ and I am calling from University of Maryland Baltimore. We're not selling anything; we are conducting a survey in the State of Maryland about people’s views on gambling.

In order to interview the right person, I need to speak with the member of your household, 18 years or older, who has had the most recent birthday. Would that be you?

IF NO, ASK TO SPEAK TO THAT PERSON. [REPEAT INTRO W/ NEW PERSON]
IF NOT AVAILABLE, ARRANGE CALLBACK.

Your household was randomly selected from all working telephone numbers in Maryland. We are asking citizens over 18 years of age to participate in an important research study to find out people’s views on gambling. The study consists of a telephone interview that should take about 20-25 minutes. The survey will be anonymous and confidential. The only risk associated with the study is potential discomfort answering some questions that might be considered sensitive. If I come to questions that you prefer not to answer, please just say so and I will move on to the next question. You will not receive any direct benefit from the study but you will help us to gain a better understanding of gambling in the state. If you have any questions about the research, you can contact the principal investigator, Dr. Kate Tracy, at 410.706.1205. If you have any questions about your rights as a research subject you can contact the University of Maryland Baltimore Human Research Protections Office at 410-706-5037. Your participation is voluntary. You will not lose any benefits to which you may be entitled if you refuse to participate. You may discontinue your participation at any time.

Because your household was selected scientifically to represent thousands of households like yours, your participation is very important to us.

May we begin?

*The interviewer documents on this survey: (1) that the script was read; (2) the individual was offered the opportunity to ask questions; and (3) the individual agreed or declined to participate in the study.

___________ Surveyor initials

May we begin?

Yes  GO TO INTRO
No  THANK AND END
INTERVIEWER CODE WHICH LANGUAGE INTERVIEW WILL BE
CONDUCTED IN.

1. ENGLISH
2. SPANISH

SECTION A: GAMBLING INVOLVEMENT

SKIP RULES: ASK ALL RESPONDENTS Lifetime Participation (A1, A2, A3, A4, A5, A6, A7, A8, A9, A10). IF RESPONDENT DOES NOT ACKNOWLEDGE ANY GAMBLING, GO TO CHECKPOINT A.


First, I need to confirm that you are 18 years old or older?

1. Yes, am 18 or older
2. No, am not (Not Qualified- Survey ends)
9. Refused (Not Qualified- Survey ends)

A1. Have you ever gambled at a casino? (READ IF NECESSARY: A casino is a large gambling hall with many different kinds of games, for example, in a resort hotel or in a gambling hall on a riverboat or cruise ship.)

1  Yes  GO TO A1A
2  No   GO TO A2
8  DON’T KNOW GO TO A2
9  REFUSED GO TO A2

A1A. About how often did you gamble at a casino in the past 12 months?

1  Daily (30+ times per month)
2  Several times a week (6 – 29 times per month)
3  Several times a month (3 – 5 times per month)
4  Once a month or less (6 – 12 times per year)
5  Only a few days all year (1 – 5 times per year)
6  Not at all in the past 12 months (0 times) GO TO A2
8  DON’T KNOW GO TO A2
9  REFUSED GO TO A2

A1B. (ASK IF A1A = 1-5) When you gamble at a casino, what game do you usually play? (DO NOT READ LIST)

1  Blackjack
2  Table poker (not video poker at machines)
3 Video poker
4 Slot machines (spinning reel-based machines)
5 Keno
6 Sports
7 Horse or dog race betting
8 Bingo
9 Pull-tabs
10 Other [SPECIFY]
98 DON’T KNOW
99 REFUSED

A1C. Now please think about the last time, the most recent day, when you bet money at a casino. In what state was the casino located? DO NOT READ LIST

1 New Jersey
2 Pennsylvania
3 Delaware
4 West Virginia
5 Connecticut
6 Mississippi
7 Nevada [Las Vegas, Reno]
8 Cruise ship
9 Another location [SPECIFY]
10 DON’T KNOW
11 REFUSED

A1D. Roughly how much money do you spend on casino gambling in a typical month? ENTER AMOUNT

A2. Have you ever gambled on a gaming machine outside of a casino (READ IF NECESSARY: such as a slot machine, or video poker or keno at a club, bar, convenience store, race track or other location)? (INCLUDE VIDEO LOTTERY TERMINALS, OTHER GAMES WHERE ONE PLAYS AGAINST THE MACHINE. DON’T INCLUDE INTERNET GAMBLING,_PULLTABS OR GAMES WHERE R ONLY MADE SIDE BETS ON THE OUTCOME OF GAME WITH AN ACQUAINTANCE)

1 Yes GO TO A2A
2 No GO TO A3
8 DON’T KNOW GO TO A3
9 REFUSED GO TO A3

A2A. About how often did you gamble on a gaming machine outside of a
casino in the past 12 months?
1 Daily (30+ times per month)
2 Several times a week (6 – 29 times per month)
3 Several times a month (3 – 5 times per month)
4 Once a month or less (6 – 12 times per year)
5 Only a few days all year (1 – 5 times per year)
6 Never     GO TO A3
8 DON'T KNOW     GO TO A3
9 REFUSED     GO TO A3

A2B. (ASK IF A2A = 1-5) When you gamble on a gaming machine outside of a casino, where do you usually play? (DO NOT READ LIST)
1 Race track
2 Bar or tavern
3 Convenience store
4 Restaurant or lounge
5 Grocery or convenience store
6 Private club or social/fraternal organization
7 Truck stop
8 Bingo hall
9 Pool hall or billiard parlor
10 Or somewhere else [SPECIFY]
98 DON'T KNOW
99 REFUSED

A2C. (ASK IF A2B = 1) Now please think about the last time, the most recent day, when you gambled on a gaming machine outside of a casino. In what state was that venue located?
DO NOT READ LIST
1 New Jersey
2 Pennsylvania or Delaware or West Virginia
3 Connecticut
4 Mississippi
5 Nevada
6 Cruise ship
7 Another location [SPECIFY]
8 DON'T KNOW
9 REFUSED

A2D. Roughly how much money do you spend on casino gambling in a typical month?
A3. Have you ever spent money on **lottery games** (*READ IF NECESSARY: Games like Scratch-offs, Keno, Pick-3, Pick-4, Mega Millions, Racetrax, Multi-Match or Bonus Match 5)?*

1. Yes  GO TO A3A
2. No  GO TO A4
8. DON'T KNOW  GO TO A4
9. REFUSED  GO TO A4

A3A. About how often did you play the **lottery** in the past 12 months?

1. Daily (30+ times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never  GO TO A4
8. DON'T KNOW  GO TO A4
9. REFUSED  GO TO A4

A3B. *(ASK IF A3A = 1-5) When you play the lottery, what kind of lottery tickets do you usually buy?* *(DO NOT READ LIST) (ACCEPT MULTIPLE RESPONSES)*

1. Scratch-offs
2. Keno
3. Pick-3
4. Pick-4
5. Mega Millions
6. Racetrax
7. Other  [SPECIFY]
8. DON'T KNOW
9. REFUSED

A3C. Roughly how much money do you spend on lottery tickets in a typical month?

ENTER AMOUNT

A4. Have you ever placed a bet on a **horse race** *(INCLUDE BETTING WITH A BOOKIE)*

1. Yes  GO TO A4A
2. No  GO TO A5
8. DON'T KNOW  GO TO A5
SECTION A: GAMBLING INVOLVEMENT

9 REFUSED GO TO A5

A4A. About how often did you bet on a **horse race** in the past 12 months?

1. Daily (30+ times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never GO TO A6
8. DON’T KNOW GO TO A6
9. REFUSED GO TO A6

A4B. *(ASK IF A4A = 1-5)* When you gamble on horse races, do you usually do so at a …

1. Racetrack in Maryland
2. OTB (off-track-betting) facility in Maryland
3. OTB facility outside Maryland
4. Or somewhere else (SPECIFY)
8. DON’T KNOW
9. REFUSED

A4C. *(ASK IF A4A = 1-5)* Roughly how much money do you spend on horse races in a typical month?

ENTER AMOUNT

A5. Have you ever placed a bet on a **dog race** *(INCLUDE BETTING WITH A BOOKIE)*

1. Yes GO TO A5A
2. No GO TO A6
8. DON’T KNOW GO TO A6
9. REFUSED GO TO A6

A5A. About how often did you bet on a **dog race** in the past 12 months?

1. Daily (30+ times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never GO TO A6
8. DON’T KNOW GO TO A6
9. REFUSED GO TO A6
A5B. *(ASK IF A5A = 1-5)* When you gamble on dog races, do you usually do so at a …
1. Racetrack in Florida
2. Racetrack in the Northeast
3. An OTB (off-track betting) facility
4. Or somewhere else (SPECIFY)
8. DON’T KNOW
9. REFUSED

A5C. *(ASK IF A5A = 1-5)* Roughly how much money do you spend on dog races in a typical month?

ENTER AMOUNT

A6. Have you ever played **bingo for money outside of a casino**?
1. Yes   GO TO A6A
2. No   GO TO A7
8. DON’T KNOW GO TO A7
9. REFUSED  GO TO A7

A6A. About how often have you played **bingo for money outside of a casino** in the past 12 months?
1. Daily (30+ times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never
8. DON’T KNOW
9. REFUSED

A6C. *(ASK IF A6A = 1-5)* Roughly how much money do you spend on bingo outside of a casino in a typical month?

ENTER AMOUNT

A7. Have you ever gambled on a **private game** such as cards, dice or dominoes in someone’s home or on a game of skill such as golf, pool or bowling? *(DO NOT INCLUDE PRIVATE GAMES ON THE INTERNET IF A THIRD PARTY IS TAKING A CUT OR PLAYERS ARE PLAYING AGAINST “THE HOUSE.”)*
1. Yes   GO TO A7A
2. No   GO TO A8
8. DON’T KNOW GO TO A8
9. REFUSED  GO TO A8
A7A. About how often have you gambled on a **private game** in the past 12 months?

1  Daily (30+ times per month)
2  Several times a week (6 – 29 times per month)
3  Several times a month (3 – 5 times per month)
4  Once a month or less (6 – 12 times per year)
5  Only a few days all year (1 – 5 times per year)
6  Never
8  DON’T KNOW
9  REFUSED

A7B. (ASK IF A7A = 1-5) Roughly how much do you spend wagering on private games in a typical month?

ENTER AMOUNT

A8. Have you ever bet on the **outcome of sports or other events with friends, co-workers, a bookie or some other person (not including daily fantasy sports)**?

1  Yes   GO TO A8A
2  No   GO TO A9
8  DON’T KNOW GO TO A9
9  REFUSED  GO TO A9

A8A. About how often have you gambled on **sports** in the past 12 months?

1  Daily (30+ times per month)
2  Several times a week (6 – 29 times per month)
3  Several times a month (3 – 5 times per month)
4  Once a month or less (6 – 12 times per year)
5  Only a few days all year (1 – 5 times per year)
6  Never
8  DON’T KNOW
9  REFUSED

A8B. (ASK IF A8A = 1-5) Roughly how much do you spend wagering on sports in a typical month?

ENTER AMOUNT

A9. Next I’d like to ask you about daily fantasy sports. Daily fantasy sports are a specific type of fantasy sport games that are conducted over short time periods such as a week or single day of competition, rather than played over the course of an entire season. Have you played Daily Fantasy Sports?
1  Yes   GO TO A9A
2  No    GO TO A10
8  DON’T KNOW  GO TO A10
9  REFUSED  GO TO A10

A9A. About how often have you played Daily Fantasy Sports in the past 12 months?
1  Daily (30+ times per month)
2  Several times a week (6 – 29 times per month)
3  Several times a month (3 – 5 times per month)
4  Once a month or less (6 – 12 times per year)
5  Only a few days all year (1 – 5 times per year)
6  Never
8  DON’T KNOW
9  REFUSED

A9B.  (ASK IF A9A = 1-5) Roughly how much do you spend playing Daily Fantasy Sports in a typical month?
ENTER AMOUNT

A10. Next I’d like to ask you about wagering on the computer over the Internet and World Wide Web. Have you ever bet your money in this way? (INCLUDE LOTTERY TICKETS BOUGHT OVER THE INTERNET.)
1  Yes   GO TO A10A
2  No    GO TO A11
8  DON’T KNOW  GO TO A11
9  REFUSED  GO TO A11

A10A. About how often have you gambled on the Internet in the past 12 months?
1  Daily (30+ times per month)
2  Several times a week (6 – 29 times per month)
3  Several times a month (3 – 5 times per month)
4  Once a month or less (6 – 12 times per year)
5  Only a few days all year (1 – 5 times per year)
6  Never
8  DON’T KNOW
9  REFUSED

A10B.  (ASK IF A10A = 1-5) Roughly how much do you spend gambling on the Internet in a typical month?
ENTER AMOUNT
A11. Have you ever gambled on any other kind of game I haven’t mentioned? Examples might include raffles, sweepstakes, baby pools, pull-tabs or betting on a dogfight or cockfight.

1  Yes   GO TO A11A
2  No   GO TO CHECKPOINT A
8  DON’T KNOW   GO TO CHECKPOINT A
9  REFUSED   GO TO CHECKPOINT A

A11A. About how often have you gambled on any other kind of game I haven’t mentioned in the past 12 months?

1  Daily (30+ times per month)
2  Several times a week (6 – 29 times per month)
3  Several times a month (3 – 5 times per month)
4  Once a month or less (6 – 12 times per year)
5  Only a few days all year (1 – 5 times per year)
6  Never
8  DON’T KNOW
9  REFUSED

A11B. (ASK IF A10A = 1-5) Roughly how much do you spend on these other kinds of gambling in a typical month?

ENTER AMOUNT

CHECKPOINT A

SKIP RULE: ASK FOLLOWING QUESTION ONLY IF R HAS EVER GAMBED (ONE OR MORE OF A1–A10 IS “YES”) AND DID NOT GAMBLE MORE THAN ONCE A MONTH ON ANY GAME (A1A—A10A NOT IN (1 2 3). ELSE GO TO CHECKPOINT B.

PROGRAMMING NOTE: IF A11A–A10A IS (1 2 3), AUTOMATICALLY CODE RESPONSE TO A11 AS 5.

A12. Now I’d like you to think about how many days you have ever gambled. Was it more than 5 days in your life?

1  Yes   GO TO CHECKPOINT C
2  No   GO TO CHECKPOINT B
3  DON’T KNOW   GO TO CHECKPOINT B
4  REFUSED   GO TO CHECKPOINT B
5  LOGICAL IMPUTE YES   GO TO CHECKPOINT C

SECTION J: QUESTIONS FOR NON-GAMBLERS

CHECKPOINT B

SKIP RULE: ASK J1 TO J4 ONLY IF R HAS REPORTED NO GAMBLING EVER (A1–A10 ARE ALL “NO” OR A11 = 2, 3 OR 4). ELSE GO TO CHECKPOINT C.
You have indicated that you have never or seldom gambled. Now I would like to ask you about some possible reasons why you have never gambled. Please tell me whether each of the following reasons is very important, somewhat important, or not at all important to you as a reason for **not** gambling.

**J1. Inconvenient or you live too far away**
1. Very important
2. Somewhat important
3. Not at all important
8. DON'T KNOW
9. REFUSED

**J2. Moral or ethical concerns**
1. Very important
2. Somewhat important
3. Not at all important
8. DON'T KNOW
9. REFUSED

**J3. The possibility of losing money**
1. Very important
2. Somewhat important
3. Not at all important
8. DON'T KNOW
9. REFUSED

**J4. Just not interested in gambling**
4. Very important
5. Somewhat important
6. Not at all important
10. DON'T KNOW
11. REFUSED

**SECTION B: GENERAL GAMBLING QUESTIONS**

**CHECKPOINT C**

**SKIP RULE:** ASK FOLLOWING QUESTIONS **ONLY** IF R IS A GAMBLER (A11 = 1 OR 5); ELSE GO TO CHECKPOINT D.


**B1.** **IF R HAS DONE MORE THAN ONE TYPE OF GAMBLING, ASK:** Thinking about the sorts of activities we have discussed, can you tell me which is your favorite
B1. What type of gambling activity? *(DO NOT READ LIST)*

<table>
<thead>
<tr>
<th></th>
<th>Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Blackjack at a casino</td>
</tr>
<tr>
<td>2</td>
<td>Poker at a casino</td>
</tr>
<tr>
<td>3</td>
<td>Other table games (e.g., roulette, craps) at a casino</td>
</tr>
<tr>
<td>4</td>
<td>Video poker at a casino</td>
</tr>
<tr>
<td>5</td>
<td>Slot machines at a casino</td>
</tr>
<tr>
<td>6</td>
<td>Bingo at a casino</td>
</tr>
<tr>
<td>7</td>
<td>Other game at a casino (SPECIFY)</td>
</tr>
<tr>
<td>8</td>
<td>Gaming machines outside of a casino</td>
</tr>
<tr>
<td>9</td>
<td>Bingo outside of a casino</td>
</tr>
<tr>
<td>10</td>
<td>Lottery games</td>
</tr>
<tr>
<td>11</td>
<td>Horse race or dog race</td>
</tr>
<tr>
<td>12</td>
<td>Private game (e.g., private poker game)</td>
</tr>
<tr>
<td>13</td>
<td>Sports</td>
</tr>
<tr>
<td>14</td>
<td>Poker on internet</td>
</tr>
<tr>
<td>15</td>
<td>Slots or video poker on the internet</td>
</tr>
<tr>
<td>16</td>
<td>Sports on the internet</td>
</tr>
<tr>
<td>17</td>
<td>Other games on the internet</td>
</tr>
<tr>
<td>18</td>
<td>Other NOT at a casino (SPECIFY)</td>
</tr>
<tr>
<td>98</td>
<td>DON'T KNOW</td>
</tr>
<tr>
<td>99</td>
<td>REFUSED</td>
</tr>
</tbody>
</table>

B2. When participating in [FAVORITE TYPE OF GAMBLING], who do you usually gamble with?

<table>
<thead>
<tr>
<th></th>
<th>Gambler</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Alone</td>
</tr>
<tr>
<td>2</td>
<td>Spouse or partner or significant other</td>
</tr>
<tr>
<td>3</td>
<td>Other family member(s)</td>
</tr>
<tr>
<td>4</td>
<td>Friend(s), co-worker(s), neighbor(s), club member(s)</td>
</tr>
<tr>
<td>5</td>
<td>Some other individual or group</td>
</tr>
<tr>
<td>8</td>
<td>DON'T KNOW</td>
</tr>
<tr>
<td>9</td>
<td>REFUSED</td>
</tr>
</tbody>
</table>

B3. When participating in [FAVORITE TYPE OF GAMBLING], can you tell me what distance you usually travel, if any? *(PAUSE, READ IF NECESSARY)*

<table>
<thead>
<tr>
<th></th>
<th>Distance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Don’t travel</td>
</tr>
<tr>
<td>2</td>
<td>5 miles or less</td>
</tr>
<tr>
<td>3</td>
<td>6 to 15 miles</td>
</tr>
<tr>
<td>4</td>
<td>16 to 30 miles</td>
</tr>
<tr>
<td>5</td>
<td>31 to 45 miles</td>
</tr>
<tr>
<td>6</td>
<td>46 to 60 miles</td>
</tr>
<tr>
<td>7</td>
<td>More than 60 miles</td>
</tr>
<tr>
<td>8</td>
<td>DON’T KNOW</td>
</tr>
<tr>
<td>9</td>
<td>REFUSED</td>
</tr>
</tbody>
</table>

B4. When participating in [FAVORITE TYPE OF GAMBLING], how long do you usually play?
Next, I would like to ask you about reasons you may have for gambling. Please tell me whether each of the following reasons is very important, somewhat important, or not at all important to you as a reason for gambling. [RANDOMIZE ORDER B5 TO B11]

B5. To be around or with other people
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
   9 REFUSED

B6. Because it’s convenient or easy to do
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
   9 REFUSED

B7. To win money
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
   9 REFUSED

B8. For entertainment or fun
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
   9 REFUSED

B9. Because it's exciting and challenging
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
9 REFUSED

B10. Because it is inexpensive entertainment
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
   9 REFUSED

B11. To distract yourself from everyday problems
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
   9 REFUSED

B12. How old were you, the first time you gambled any amount of money?
   _______ years
   97 97 YEARS OLD OR OLDER
   98 DON'T KNOW
   99 REFUSED

B13. What kind of game did you play, the first time you gambled?
   1. Informal bet with relative or friend
   2. Private game (e.g., private poker game)
   3. Blackjack at a casino
   4. Poker at a casino
   5. Other table games (e.g., roulette, craps) at a casino
   6. Video poker at a casino
   7. Slot machines at a casino
   8. Bingo at a casino
   9. Gaming machines outside of a casino
   10. Bingo outside of a casino
   11. Lottery games
   12. Horse race or dog race
   13. Sports
   14. Poker on internet
   15. Slots or video poker on the internet
   16. Sports on the internet
   17. Other games on the internet
   18. Other (SPECIFY)
   98 DON'T KNOW
   99 REFUSED
B17. Compared to other recreational or social activities, how important is gambling to you? Would you say it is … *(READ LIST)*
   1 Very important
   2 Somewhat important
   3 Not at all important
   8 DON'T KNOW
   9 REFUSED

SECTION C: NORC DSM-IV SCREEN FOR GAMBLING PROBLEMS

**SKIP RULE:** *ASK FOLLOWING QUESTIONS ONLY IF R IS A GAMBLER (A11 = 1 OR 5); ELSE GO TO CHECKPOINT D.*

Next, I would like to ask you some questions about how you feel about your gambling. There are no right or wrong answers. We want to know what your experiences have been. Remember that all the information you share is confidential.

C1. Have there ever been periods lasting 2 weeks or longer when you spent a lot of time thinking about your gambling experiences or planning out future gambling ventures or bets?
   1 Yes
   2 No
   8 DON'T KNOW
   9 REFUSED

C1A. *IF C1 YES* Has this happened in the past year?
   1 Yes
   2 No
   8 DON'T KNOW
   9 REFUSED

C2. Have there ever been periods lasting 2 weeks or longer when you spent a lot of time thinking about ways of getting money to gamble with?
   1 Yes
   2 No
   8 DON'T KNOW
   9 REFUSED

C2A. *IF C2 YES* Has this happened in the past year?
   1 Yes
   2 No
   8 DON'T KNOW
   9 REFUSED

C3. Have there ever been periods when you needed to gamble with increasing
amounts, or make larger bets than before, in order to get the same feeling of excitement?
1  Yes
2  No
8  DON’T KNOW
9  REFUSED

C3A. IF C3 YES Has this happened in the past year?
1  Yes
2  No
8  DON’T KNOW
9  REFUSED

C4. Have you ever tried to stop, cut down, or control your gambling?
1  Yes   GO TO C5
2  No   GO TO C8
8  DON’T KNOW   GO TO C8
9  REFUSED   GO TO C8

C5. On one or more of the times when you tried to stop, cut down, or control your gambling, were you restless or irritable?
1  Yes
2  No
8  DON’T KNOW
9  REFUSED

C5A. IF C5 YES Has this happened in the past year?
1  Yes
2  No
8  DON’T KNOW
9  REFUSED

C6. Have you ever tried but not succeeded in stopping, cutting down, or controlling your gambling?
1  Yes   GO TO C7
2  No   GO TO C8
8  DON’T KNOW   GO TO C8
9  REFUSED   GO TO C8

C7. Has this happened three or more times?
1  Yes
2  No
8  DON’T KNOW
9  REFUSED

C7A. IF C7 YES Has this happened in the past year?
C8. Have you ever gambled as a way to escape from personal problems?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

   C8A. IF C8 YES  Has this happened in the past year?
       1  Yes
       2  No
       8  DON'T KNOW
       9  REFUSED

C9. Have you ever gambled to relieve uncomfortable feelings such as guilt, anxiety, helplessness or depression?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

   C9A. IF C9 YES  Has this happened in the past year?
       1  Yes
       2  No
       8  DON'T KNOW
       9  REFUSED

C10. Has there ever been a period when, if you lost money gambling one day, you would return another day to get even?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

   C10A. IF C10 YES  Has this happened in the past year?
       1  Yes
       2  No
       8  DON'T KNOW
       9  REFUSED

C11. Have you ever lied to family members, friends, or others about how much you gamble or how much you lost on gambling?
   1  Yes
   GO TO C12
2  No       GO TO C13
8  DON'T KNOW    GO TO C13
9  REFUSED       GO TO C13

C12. IF YES: Has this happened three or more times?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

C12A. IF C12 YES Has this happened in the past year?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

C13. Have you ever written a bad check or taken money that didn’t belong to you, from family members or anyone else, in order to pay for your gambling?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

C13A. IF C13 YES Has this happened in the past year?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

C14. Have you ever done anything else that could have gotten you in trouble with the law, in order to pay for your gambling?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

C14A. IF C14 YES Has this happened in the past year?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

C15. Has your gambling ever caused serious or repeated problems in your relationships with any of your family members or friends?
   1  Yes
   2  No
   8  DON'T KNOW
9 REFUSED

C15A. *IF C15 YES* Has this happened in the past year?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED

C16. Has your gambling ever caused you any problems in school or to have trouble with your job, to lose a job, or miss out on an important job or career opportunity?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED

C16A. *IF C16 YES* Has this happened in the past year?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED

C17. Have you ever needed to ask family members or anyone else to loan you money, or otherwise bail you out of a desperate situation that was largely caused by your gambling?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED

C17A. *IF C17 YES* Has this happened in the past year?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED

C18. Do you feel that you have ever had a problem with betting money or gambling?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED

C18A. *IF C18 YES* Do you feel that you have had a problem with betting money or gambling in the past year?
New Q - C19. Have you ever sought help for a gambling problem?

1  Yes
2  No
8  DON'T KNOW
9  REFUSED

C19A. What type of help was that?

(Do not read. Code all that apply)

1  Family member
2  Friend
3  Family doctor
4  Gamblers Anonymous
5  Treatment program in Maryland
6  Treatment program outside Maryland
7  Veterans Administration
8  Employee assistance program (EAP)
9  Psychologist or psychiatrist
10  Other counselor
11  Minister/priest/rabbi
12  Hospital in Maryland
13  Hospital outside Maryland
14  Other
98  DON'T KNOW
99  REFUSED

C19B. Were you able to obtain the help you wanted to stop gambling?

1  Yes
2  No
8  DON'T KNOW
9  REFUSED

Section D. Attitudes Towards Gambling

Checkpoint D
Skip Rules: Ask all respondents Attitudes Towards Gambling.

The next few questions are things that some people have said about gambling. Please tell me how much you agree or disagree with each statement.
D1. There are too many opportunities for gambling nowadays
   1. Strongly agree
   2. Agree
   3. Neither agree nor disagree
   4. Disagree
   5. Strongly disagree
   8. DON'T KNOW
   9. REFUSE

D2. People should have the right to gamble whenever they want
   6. Strongly agree
   7. Agree
   8. Neither agree nor disagree
   9. Disagree
  10. Strongly disagree
   9. DON'T KNOW
   10. REFUSE

D3. Gambling should be discouraged
   11. Strongly agree
   12. Agree
   13. Neither agree nor disagree
   14. Disagree
   15. Strongly disagree
   10. DON'T KNOW
   11. REFUSE

D4. Most people who gamble do so sensibly
   16. Strongly agree
   17. Agree
   18. Neither agree nor disagree
   19. Disagree
   20. Strongly disagree
   11. DON'T KNOW
   12. REFUSE

D5. Gambling is dangerous for family life
   21. Strongly agree
   22. Agree
   23. Neither agree nor disagree
   24. Disagree
   25. Strongly disagree
   12. DON'T KNOW
   13. REFUSE

D6. On balance gambling is good for society
26. Strongly agree
27. Agree
28. Neither agree nor disagree
29. Disagree
30. Strongly disagree
13. DON’T KNOW
14. REFUSE

D7. Gambling livens up life
31. Strongly agree
32. Agree
33. Neither agree nor disagree
34. Disagree
35. Strongly disagree
14. DON’T KNOW
15. REFUSE

D8. It would be better if gambling was banned altogether
36. Strongly agree
37. Agree
38. Neither agree nor disagree
39. Disagree
40. Strongly disagree
15. DON’T KNOW
16. REFUSE

SECTION E. AWARENESS OF PROBLEM GAMBLING RESOURCES AND HELP-SEEKING

**SKIP RULES:** ASK ALL RESPONDENTS Awareness of Problem Gambling Resources and Help-Seeking.

Next I’d like to ask you some questions about the types of help that might be available in some communities to assist problem gamblers and other concerned individuals.

E3. Can you tell me whether any of the following services are available in your community?

1. A toll-free helpline that provides crisis help or referral to problem gamblers and others
   1. Yes
   2. No
   8. DON’T KNOW
   9. REFUSED
2. Gamblers Anonymous

1  Yes
2  No
8  DON'T KNOW
9  REFUSED

3. Outpatient services for problem gambling, such as private counseling

1  Yes
2  No
8  DON'T KNOW
9  REFUSED

NEW Q - E4. Have you seen any information on problem gambling or how to gamble responsibly:
E4A - on billboards?

1  Yes
2  No
8  DON'T KNOW
9  REFUSED

E4B – on TV?

1  Yes
2  No
8  DON'T KNOW
9  REFUSED

E4C – on the Radio?

1  Yes
2  No
8  DON’T KNOW
9  REFUSED

E4D – online?

1  Yes
2  No
8  DON’T KNOW
9  REFUSED

E4E – on posters or flyers?
SECTION F: ALCOHOL AND DRUGS

SKIP RULES: ASK ALL RESPONDENTS Alcohol and Drug Questions.

Now I have some questions about some other things that some people do. Remember all your answers are totally confidential.

F1. In the last 12 months, how often have you used cigarettes, chewing tobacco or snuff?

1. Daily (more than 30 times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never
8. DON’T KNOW
9. REFUSED

F2. In the last 12 months, how often have you had a drink containing alcohol?

IF RESPONDENT ASKS, A DRINK IS DEFINED AS: a can or bottle of beer or malt liquor, a 4-oz glass of wine, a mixed drink or a one and one-half oz shot

1. Daily (more than 30 times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never
8. DON’T KNOW
9. REFUSED

F3. On a typical day when you drink, how many drinks do you have?
F4. In the last 12 months, how often did you have six or more drinks on one occasion?

1. Daily (more than 30 times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never
8. DON’T KNOW
9. REFUSED

F5. In the last 12 months, how often have you used illicit drugs such as marijuana, hashish, cocaine, methamphetamine, club drugs, hallucinogens, opiates or inhalants?

1. Daily (more than 30 times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never
8. DON’T KNOW
9. REFUSED

F6. In the last 12 months, how often have you used prescription drugs for non-medical reasons, including sedatives, tranquilizers or pain killers? (READ IF NECESSARY: Non-medical reasons means taking your own or someone else’s prescription medication only for the experience it causes.)

1. Daily (more than 30 times per month)
2. Several times a week (6 – 29 times per month)
3. Several times a month (3 – 5 times per month)
4. Once a month or less (6 – 12 times per year)
5. Only a few days all year (1 – 5 times per year)
6. Never
8. DON’T KNOW
9. REFUSED

**SKIP RULES:** ASK F8 ONLY IF R HAS REPORTED USING DRUGS MORE THAN ONCE A MONTH (F5, F6 = 1, 2, 3). ELSE GO TO F9.

F8. In the last 12 months, how many times have you gotten into difficulties of any kind because of your drug use?
F9. Have you ever sought help to stop using alcohol or drugs?
1 Yes          GO TO F9A  
2 No
8 DON'T KNOW  
9 REFUSED

F9A. What type of help was that?
(Do not read. Code all that apply)
15 Family member 
16 Friend 
17 Family doctor 
18 Alcoholics or Narcotics Anonymous 
19 Treatment program in Maryland 
20 Treatment program outside Maryland 
21 Veterans Administration 
22 Employee assistance program (EAP) 
23 Psychologist or psychiatrist 
24 Other counselor 
25 Minister/priest/rabbi 
26 Hospital in Maryland 
27 Hospital outside Maryland 
28 Other 
100 DON'T KNOW  
101 REFUSED

F9B. Were you able to obtain the help you wanted to stop using alcohol or drugs?
1 Yes 
2 No
8 DON'T KNOW  
9 REFUSED

SECTION G: MENTAL HEALTH

Skip rules: Ask all respondents Mental Health Questions.
Now I would like to ask you some questions about your physical and mental health.

G1. How would you describe your general health over the past 12 months? Would you say it was excellent, good, fair or poor?
   1 Excellent
   2 Good
   3 Fair
   4 Poor
   8 DON’T KNOW
   9 REFUSED

G2. In the past 12 months, has someone close to you gambled so much it troubled you?
   1 Yes GO TO G2A
   2 No GO TO G5
   8 DON’T KNOW GO TO G5
   9 REFUSED GO TO G5

G2A. What is their relationship to you? If you are thinking about more than one person, please say each one. (CODE ALL THAT APPLY)
   1 Spouse/partner/significant other
   2 Parent
   3 Brother or sister
   4 Child (own, adopted, foster)
   5 Other relative
   6 Other non-related person
   8 DON’T KNOW
   9 REFUSED

G5. In your lifetime, have you ever had a period of 2 weeks or longer when nearly every day you felt sad, empty or depressed for most of the day?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED

G6. In your lifetime, have you ever had a period of 2 weeks or longer when you lost interest in most things like work, hobbies, and other things you usually enjoyed?
   1 Yes
   2 No
   8 DON’T KNOW
   9 REFUSED
G7. In the past 12 months, have you sought help for problems with your emotions, nerves, or mental health?
   1  Yes
   2  No
   8  DON'T KNOW
   9  REFUSED

G8. Right now, how troubled or bothered are you by your emotions, nerves, or mental health? Would you say not at all, somewhat or very much?
   1  Not at all
   2  Somewhat
   3  Very much
   8  DON'T KNOW
   9  REFUSED

SECTION H: OTHER IMPACTS

SKIP RULES: ASK ALL RESPONDENTS Other Impacts Questions.

Now I have some questions about your household. By household, I mean all the relatives and other people who live with you who share their money for common living expenses.

H1. About how much would you say that you and other members of your household owe all together? Please include car loans, student loans, credit card debt, and other loans but not a mortgage if you have one.
   1 less than $1,000    GO TO H2
   2 $1,000-$9,999    GO TO H2
   3 $10,000-$24,999   GO TO H2
   4 $25,000-$49,999   GO TO H2
   5 $50,000-$99,999   GO TO H2
   6 $100,000-$200,000 GO TO H2
   7 more than $200,000 GO TO H2
   8 DON'T OWE ANY MONEY GO TO H4
   98 DON'T KNOW        GO TO H4
   99 REFUSED           GO TO H4

H2. From which of the following sources did and of your household get the money that you owe? Tell me as many as apply. Did you borrow from...
   1 Credit cards,
   2 A bank or credit union,
   3 A loan company,
   4 Other family members,
5 Other people or places?
8 DON'T KNOW
9 REFUSED

H3. Have you ever filed for bankruptcy?
   1 Yes GO TO H3A
   2 No GO TO H4
   8 DON'T KNOW GO TO H4
   9 REFUSED GO TO H4

H3A. Was gambling a significant factor or cause of this bankruptcy?
   1 Yes
   2 No
   8 Don't know
   9 Refused

H4. Have you ever been arrested?
   1 Yes GO TO H4A
   2 No GO TO K1
   8 DON'T KNOW GO TO K1
   9 REFUSED GO TO K1

H4A. How many times have you been arrested?
   __________ [RECORD NUMBER]
   97 97 times or more
   98 DON'T KNOW
   99 REFUSED

H4B. Was gambling ever a significant factor in [your arrest/any of your arrests]?
   1 Yes
   2 No
   8 Don't know
   9 Refused

H5. Have you ever been incarcerated in prison or jail for any reason?
   1 Yes GO TO H5A
   2 No GO TO K1
   8 DON'T KNOW GO TO K1
   9 REFUSED GO TO K1

H5A. Was gambling a significant factor in your incarceration?
   1 Yes
   2 No
   8 Don't know
SECTION K: DEMOGRAPHICS

**SKIP RULES:** ASK ALL RESPONDENTS Demographic Questions.

The following questions are for statistical purposes only and your answers are all confidential.

K1. Are you currently married, living as married, widowed, divorced, separated, or have you never been married?
   1. Married
   2. Living as married
   3. Widowed
   4. Divorced
   5. Separated
   6. Never married
   8. REFUSED

K2. Do you consider yourself to be (READ OPTIONS 1-3):

   1. Heterosexual or Straight
   2. Lesbian or gay
   3. Bisexual

   Do not read:
   4. Other
   8. Don’t know/Not sure
   9. Refused

K3. What is the highest level of education you have completed? *(READ IF NECESSARY)*

   1. Elementary school
   2. Some high school
   3. High school degree or GED
   4. Less than 2 Years of College
   5. Associate degree or other degree (vocational, technical or trade school) or Minimum 2 years of College (minimum 60 credits)
   6. Bachelors degree
   7. Masters degree
   8. Postgraduate degree (PhD, MD or JD)
   9. Other [SPECIFY]
   98. DON’T KNOW
   99. REFUSED
K4. Last week, were you working full-time, part-time or not working?
   1 Working full-time  GO TO K5
   2 Working part-time  GO TO K4A
   3 Not working last week  GO TO K4B
   8 DON'T KNOW
   9 REFUSED

K4A. IF WORKING PART-TIME, ASK: Have you previously retired from any fulltime jobs?
   1 Yes
   2 No
   8 DON'T KNOW
   9 REFUSED

K4B. IF NOT WORKING, ASK: Are you a student, homemaker, completely retired, disabled, unemployed or something else?
   1 Student
   2 Homemaker
   3 Completely retired
   4 Disabled
   5 Unemployed
   6 Something else
   8 REFUSED
   9 DON'T KNOW

K5. In what year were you born?
   8888 DON'T KNOW
   9999 REFUSED

K6. Are you Hispanic or Latino?
   1 Yes
   2 No
   8 DON'T KNOW
   9 REFUSED

K7. Which of the following best describes your racial or ethnic group? Are you …
   1 White or Caucasian
   2 Black or African American
   3 American Indian
   4 Asian or Pacific Islander
   5 Or something else (SPECIFY)
   8 DON'T KNOW
   9 REFUSED

K8. Have you ever been in the Armed Services?
   1 Yes
K9. What, if any, is your religious preference?
   1 Protestant (Baptist, Lutheran, Methodist, Episcopalian, Anglican, Presbyterian)
   2 Roman Catholic
   3 Jewish
   4 Mormon, LDS
   5 Muslim
   6 Hindu
   7 Orthodox Religion
   8 Christian (VOLUNTEERED)(ASK K9A)
   9 Believe in God – no specific Denomination (VOLUNTEERED)
   10 Agnostic
   11 Atheist
   12 Other (SPECIFY)
   98 DON'T KNOW
   99 REFUSED

K9A. Do you consider yourself to be a born again Christian?
   1 Yes
   2 No
   8 DON'T KNOW
   9 REFUSED

The following question concerns income, and is for classification purposes only.

K10. Can you tell me approximately what your total household income was last year?

   IF DON'T KNOW OR REFUSE, SAY: Is that … AND READ 1-8.
   1 Up to $15,000
   2 $15,001 to $25,000
   3 $25,001 to $35,000
   4 $35,001 to $50,000
   5 $50,001 to $75,000
   6 $75,001 to $100,000
   7 $100,001 to $125,000
   8 $125,001 to $150,000
   9 Over $150,000
   98 DON'T KNOW
   99 REFUSED

K11. How long have you lived in Maryland?
__________ YEARS  [IF LESS THAN ONE YEAR, CODE 0 HERE]
__________ MONTHS
DON’T KNOW = 98
REFUSED = 99

K12. What is your home zip code? PROBE IF NECESSARY: Where you lived for the most time since [CURRENT MONTH] [PRIOR YEAR].

_____________ ZIP CODE
88888 DON’T KNOW
99999 REFUSED

K13. What language do you mainly speak at home? IF R SAYS THEY LIVE ALONE, SAY “WHAT LANGUAGE DO YOU USE WHEN YOU’RE THINKING TO YOURSELF ABOUT SOMETHING?”

1 English
2 Spanish
3 Other (SPECIFY) ____________________________
98 DON’T KNOW
99 REFUSED

K14. “I am required to ask, what is your gender?”

1 Male
2 Female
3 Transgender

That was the last question. Thank you very much for your time and cooperation.
PRESENTACIÓN

Hola, mi nombre es __________________ y me comunique de la University of Maryland Baltimore. No estamos vendiendo nada; estamos realizando una encuesta en el estado de Maryland acerca de la opinión de las personas respecto de las apuestas.

Para entrevistar a la persona correcta, necesito hablar con el miembro de su familia, mayor de años, que haya cumplido años más recientemente. ¿Sería usted?

DE NO SER ASÍ NO, PIDA HABLAR CON ESA PERSONA. [REPITA LA INTRODUCCIÓN CON LA OTRA PERSONA]
SIN NO SE ENCUENTRA DISPONIBLE, COORDINE UN MOMENTO PARA VOLVER A LLAMAR.

Su casa fue seleccionada de manera aleatoria entre todos los números telefónicos de Maryland. Les pedimos a los ciudadanos mayores de 18 años que participen en un importante estudio de investigación para determinar la opinión de las personas respecto de las apuestas. El estudio consiste de una entrevista telefónica que debería tomar entre 20 y 25 minutos. La encuesta será anónima y confidencial. El único riesgo relacionado con el estudio es la potencial incomodidad que pudiera sentir al responder algunas preguntas que podrían ser consideradas delicadas. Si llegara a hacerle preguntas que usted preferiría no responder, solo dígallo y pasará a la siguiente. No recibirá ningún beneficio directo del estudio, pero nos ayudará a comprender mejor la visión de las apuestas en el estado. Si tiene preguntas acerca de la investigación, puede contactarse con la Oficina de Protección de Investigaciones Humanas de la University of Maryland Baltimore al 410-706-5037. Su participación es voluntaria. No perderá ningún beneficio que pudiera corresponderle si se niega a participar. Podrá dejar de participar en cualquier momento.

Dado que su hogar fue seleccionado científicamente para representar a miles de hogares como el suyo, su participación es muy importante para nosotros.

¿Comenzamos?

*El entrevistador documenta en la encuesta: (1) que se leyó el guión; (2) que a la persona se le dio la oportunidad de hacer preguntas y (3) que la persona aceptó o se negó a participar en el estudio.

__________ Iniciales del entrevistador

¿Comenzamos?
Sí IR A LA INTRODUCCIÓN
No AGRADEZCA Y FINALICE
SECCIÓN A: RELACIÓN CON EL JUEGO DE AZAR

**OMITIR REGLAS:** PREGÚNTELES A TODOS LOS ENCUESTADOS
Participación durante su vida (A1, A2, A3, A4, A5, A6, A7, A8, A9, A10). SI EL ENCUESTADO NO RECONOCE HABER PARTICIPADO EN ALGÚN JUEGO DE AZAR, SIGA HASTA EL PUNTO DE CONTROL A.


Primero, debo confirmar que tiene 18 años o más.

1. Sí, tengo 18 o más.
2. No. (No está calificado, fin de la encuesta).
9. Se rehusó. (No está calificado, fin de la encuesta).

A1. ¿Alguna vez jugó en un **casino**? *(LEA SI FUERA NECESARIO: Un casino es una gran área de juegos de azar con muchas clases de juegos diferentes, por ejemplo, en un complejo hotelero o en un sector de juegos de azar de un barco o crucero).*

<table>
<thead>
<tr>
<th>Opción</th>
<th>Acción</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Sí</td>
<td>VAYA A A1A</td>
</tr>
<tr>
<td>2 No</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>8 NO SÉ</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>9 SE REHUSÓ</td>
<td>VAYA A A2</td>
</tr>
</tbody>
</table>

A1A. ¿Aproximadamente con qué frecuencia jugó en un **casino** en los últimos 12 meses?

<table>
<thead>
<tr>
<th>Opción</th>
<th>Acción</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 A diario (&gt; 30 veces por mes)</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>2 Varias veces por semana (6-29 veces por mes)</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>3 Varias veces por mes (3-5 veces por mes)</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>4 Una vez por mes o menos (6-12 veces por año)</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>5 Solo algunos días al año (1-5 veces por año)</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>6 Nunca en los últimos 12 meses (0 veces)</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>8 NO SÉ</td>
<td>VAYA A A2</td>
</tr>
<tr>
<td>9 SE REHUSÓ</td>
<td>VAYA A A2</td>
</tr>
</tbody>
</table>
A1B. (PREGUNTE SI A1A = 1-5) Cuando juega en un casino, ¿a qué suele jugar? (NO LEA LA LISTA)
   10 Blackjack
   11 Póquer de mesa (no videopóquer en las máquinas)
   12 Videopóquer
   13 Tragamonedas (máquina mecánica a palanca)
   14 Keno
   15 Deportes
   16 Apuestas de carreras de caballos o perros
   17 Bingo
   18 Boleto de lotería tipo "despega y gana"
   10 Otro (ESPECIFICAR)
   98 NO SÉ
   99 SE REHUSÓ

A1C. Ahora piense en la última vez, el día más reciente en el que apostó dinero en el casino. ¿En qué estado se encontraba el casino?
   NO LEA LA LISTA
   1 New Jersey
   2 Pennsylvania
   3 Delaware
   4 West Virginia
   5 Connecticut
   6 Mississippi
   7 Nevada (Las Vegas, Reno)
   8 Crucero
   9 Otro lugar (ESPECIFICAR)
   10 NO SÉ
   11 SE REHUSÓ

A1D. Aproximadamente, ¿cuánto dinero gasta en los juegos de azar del casino en un mes típico?

INGRESE MONTO

A2. ¿Alguna vez jugó en una máquina de juego de azar fuera del casino (LEA SI FUERA NECESARIO: como por ejemplo, una máquina tragamonedas, videopóquer o keno en un club, bar, supermercado, hipódromo u otro lugar)? (INCLUYA LAS TERMINALES DE VIDEOLOTERÍA, OTROS JUEGOS EN LOS QUE UNO JUEGA CONTRA LA MÁQUINA. NO INCLUYA LOS JUEGOS DE AZAR POR INTERNET, LOS BOLETOS DE LOTERÍA TIPO "DESPEGA Y GANA" O JUEGOS EN LOS QUE EL ENCUESTADO SOLO REALICE APUESTAS AL RESULTADO DEL JUEGO CON UN CONOCIDO).
   1 Sí VAYA A A2A
2 No
8 NO SÉ
9 SE REHUSÓ

A2A. ¿Aproximadamente con qué frecuencia jugó en una máquina de juego de azar fuera de un casino en los últimos 12 meses?
1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca

A2B. (PREGUNTE SI A2A = 1-5) Cuando juega en una máquina de juego de azar fuera de un casino, ¿dónde suele jugar? (NO LEA LA LISTA)
4 Hipódromo
5 Bar o taberna
6 Supermercado
4 Restaurante o salón
5 Tienda o supermercado
6 Club privado u organización social/fraternal
7 Parada de camiones
8 Vestíbulo del bingo
9 Vestíbulo de un pool o salón de billar
10 O en otro lugar (ESPECIFICAR)

A2C. (PREGUNTE SI A2B = 1) Ahora piense en la última vez, el día más reciente que jugó en una máquina de juego de azar fuera de un casino. ¿En qué estado se encontraba el lugar?
NO LEA LA LISTA
10 New Jersey
11 Pennsylvania, Delaware o West Virginia
12 Connecticut
13 Mississippi
14 Nevada
15 Crucero
16 Otro lugar (ESPECIFICAR)
17 NO SÉ
A2D. Aproximadamente, ¿cuánto dinero gasta en los juegos de azar del casino en un mes típico?

INGRESE MONTO

A3. ¿Alguna gastó dinero en juegos de lotería (LEA SI FUERA NECESARIO: Juegos como por ejemplo boleto de lotería tipo "rasca y gana", Keno, Pick-3, Pick-4, Mega Millions, Racetrax, Multi-Match o Bonus Match 5)?

1 Sí VAYA A A3A
2 No VAYA A A4
8 NO SÉ VAYA A A4
9 SE REHUSÓ VAYA A A4

A3A. ¿Aproximadamente con qué frecuencia jugó a la lotería en los últimos 12 meses?

1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca VAYA A A4
8 NO SÉ VAYA A A4
9 SE REHUSÓ VAYA A A4

A3B. PREGUNTE SI A3A = 1-5) Cuando juega a la lotería, ¿qué clase de boletos de lotería compra? (NO LEA LA LISTA) (SE ACEPTAN VARIAS RESPUESTAS)

1 Boleto de lotería tipo "rasca y gana"
2 Keno
3 Pick-3
4 Pick-4
5 Mega Millions
6 Racetrax
7 Otro (ESPECIFICAR)
8 NO SÉ
9 SE REHUSÓ

A3C. Aproximadamente, ¿cuánto dinero gasta en boletos de lotería en un mes típico?

INGRESE MONTO
A4. ¿Alguna vez hizo una apuesta en una carrera de caballos? (INCLUSO APOSTAR A TRAVÉS DE UN CORREDOR DE APUESTAS)
   1 Sí   VAYA A A4A
   2 No   VAYA A A5
   8 NO SÉ VAYA A A5
   9 SE REHUSÓ VAYA A A5

   A4A. ¿Aproximadamente con qué frecuencia apostó en una carrera de caballos en los últimos 12 meses?
   1 A diario (> 30 veces por mes)
   2 Varias veces por semana (6-29 veces por mes)
   3 Varias veces por mes (3-5 veces por mes)
   4 Una vez por mes o menos (6-12 veces por año)
   5 Solo algunos días al año (1-5 veces por año)
   6 Nunca   VAYA A A6
   8 NO SÉ   VAYA A A6
   9 SE REHUSÓ VAYA A A6

   A4B. (PREGUNTE SI A4A = 1-5) Cuando apuesta en carreras de caballos, ¿por lo general lo hace en…
   5 un hipódromo de Maryland?
   6 un local para realizar apuestas fuera del hipódromo en Maryland?
   7 un local para realizar apuestas fuera del hipódromo en otro lugar que no sea Maryland?
   8 o en otro lugar? (ESPECIFICAR)
   8 NO SÉ
   9 SE REHUSÓ

   A4C. (PREGUNTE SI A4A = 1-5) Aproximadamente, ¿cuánto dinero gasta en carreras de caballos en un mes típico?

   INGRESE MONTO

A5. ¿Alguna vez hizo una apuesta en una carrera de perros? (INCLUSO APOSTAR A TRAVÉS DE UN CORREDOR DE APUESTAS)
   1 Sí   VAYA A A5A
   2 No   VAYA A A6
   8 NO SÉ VAYA A A6
   9 SE REHUSÓ VAYA A A6

   A5A. ¿Aproximadamente con qué frecuencia apostó en una carrera de perros en los últimos 12 meses?
   1 A diario (> 30 veces por mes)
   2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca  VAYA A A6
8 NO SÉ  VAYA A A6
9 SE REHUSÓ  VAYA A A6

A5B.  (PREGUNTE SI A5A = 1-5) Cuando apuesta en carreras de perros, ¿por lo general lo hace en…
5 una pista de carreras en Florida?
6 una pista de carreras en el noreste?
7 un local para realizar apuestas fuera de la pista de carreras?
8 o en otro lugar? (ESPECIFICAR)
8 NO SÉ
9 SE REHUSÓ

A5C.  (PREGUNTE SI A5A = 1-5) Aproximadamente, ¿cuánto dinero gasta en carreras de perros en un mes típico?

INGRESE MONTO

A6. ¿Alguna vez jugó al bingo por dinero fuera del casino?
1 Sí  VAYA A A6A
2 No  VAYA A A7
8 NO SÉ  VAYA A A7
9 SE REHUSÓ  VAYA A A7

A6A. ¿Aproximadamente con qué frecuencia jugó al bingo por dinero fuera del casino en los últimos 12 meses?
1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

A6C. (PREGUNTE SI A6A = 1-5) Aproximadamente, ¿cuánto dinero gasta en bingo fuera del casino en un mes típico?

INGRESE MONTO
A7. ¿Alguna vez apostó en un juego privado, como por ejemplo cartas, dados o dominó en la casa de alguien o en un juego de habilidades como golf, pool o bowling? (NO INCLUYA JUEGOS PRIVADOS EN INTERNET SI UN TERCERO SE LLEVA UN PORCENTAJE O SI LOS JUGADORES JUEGAN CONTRA “LA CASA”).
1 Sí VAYA A A7A
2 No VAYA A A8
8 NO SÉ VAYA A A8
9 SE REHUSÓ VAYA A A8

A7A. ¿Aproximadamente con qué frecuencia apostó en un juego privado en los últimos 12 meses?
1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

A7B. (PREGUNTE SI A7A = 1-5) Aproximadamente, ¿cuánto dinero gasta en apuestas en juegos privados en un mes típico? INGRESE MONTO

A8. ¿Alguna vez apostó el resultado de algún evento deportivo u otro con amigos, compañeros de trabajo, un corredor de apuesta o alguna otra persona (sin incluir deportes de fantasía diarios)?
1 Sí VAYA A A8A
2 No VAYA A A9
8 NO SÉ VAYA A A9
9 SE REHUSÓ VAYA A A9

A8A. ¿Aproximadamente con qué frecuencia apostó en deportes en los últimos 12 meses?
1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ
A8B. *(PREGUNTE SI A8A = 1-5)* Aproximadamente, ¿cuánto dinero gasta en deportes en un mes típico?

INGRESE MONTO

A9. A continuación, quisiera preguntarle por los deportes de fantasía diarios, que son una clase específica de juegos de deportes de fantasía que se llevan a cabo en períodos breves, por ejemplo, en una semana o en un solo día de competición, en lugar de jugarse en el transcurso de toda una temporada. ¿Alguna vez jugó un deporte de fantasía diario?

1 Sí VAYA A A9A
2 No VAYA A A10
8 NO SÉ VAYA A A10
9 SE REHUSÓ VAYA A A10

A9A. ¿Aproximadamente con qué frecuencia jugó en deportes de fantasía diarios en los últimos 12 meses?

1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

A9B. *(PREGUNTE SI A9A = 1-5)* Aproximadamente, ¿cuánto dinero gasta jugando deportes de fantasía diarios en un mes típico?

INGRESE MONTO

A10. A continuación, deseo preguntarle acerca de las apuestas por computadora en Internet y en la Web. ¿Alguna vez apostó dinero de esta manera? *(INCLUIR LOS BOLETOS DE LOTERÍA QUE COMPRÓ POR INTERNET).*

1 Sí VAYA A A10A
2 No VAYA A A11
8 NO SÉ VAYA A A11
9 SE REHUSÓ VAYA A A11

A10A. ¿Aproximadamente con qué frecuencia apostó en Internet en los últimos 12 meses?

1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

A10B. *(PREGUNTE SI A10A = 1-5)* Aproximadamente, ¿cuánto dinero gasta en juegos al azar en Internet en un mes típico?

INGRESE MONTO

A11. ¿Alguna vez apostó en **cualquier otra clase de juego** que no haya mencionado? Algunos ejemplos podrían ser rifas, sorteos, apuestas por el nacimiento de un bebé, boleto de lotería tipo "despega y gana", o apostar en una pelea de perros o niña de gallos.

1 Sí VAYA A A10A
2 No SIGA HASTA EL PUNTO DE CONTROL A
8 NO SÉ SIGA HASTA EL PUNTO DE CONTROL A
9 SE REHUSÓ SIGA HASTA EL PUNTO DE CONTROL A

A11A. ¿Aproximadamente con qué frecuencia apostó en **cualquier otra clase de juego** que no mencioné en los últimos 12 meses?

1 A diario (> 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

A11B. *(PREGUNTE SI A10A = 1-5)* Aproximadamente, ¿cuánto dinero gasta en otras clases de juegos de azar en un mes típico?

INGRESE MONTO

**PUNTO DE CONTROL A**

**OMITIR REGLA:** CONTÍNÜE CON LA SIGUIENTE PREGUNTA SOLO SI EL ENCUESTADO ALGUNA VEZ APOSTÓ (UNO O MÁS A1–A10 ES “SÍ”) Y NO APOSTÓ MÁS DE UNA VEZ POR MES EN NINGÚN JUEGO (A1A—A10A NO EN [1 2 3]). SINO, SIGA HASTA EL PUNTO DE CONTROL B.

**NOTA DE PROGRAMACIÓN:** SI A1A–A10A ES (1 2 3), EL CÓDIGO DE RESPUESTA SE TRANSFORMA AUTOMÁTICAMENTE A A11 COMO 5.
SECCIÓN A: RELACIÓN CON EL JUEGO DE AZAR

A12. Ahora quisiera que piense en cuántos días apostó. ¿Fue más de 5 días de su vida?
   1 Sí    SIGA HASTA EL PUNTO DE CONTROL C
   2 No    SIGA HASTA EL PUNTO DE CONTROL B
   3 NO SÉ    SIGA HASTA EL PUNTO DE CONTROL B
   4 SE REHUSÓ    SIGA HASTA EL PUNTO DE CONTROL B
   5 IMPUTACIÓN LÓGICA SÍ    SIGA HASTA EL PUNTO DE CONTROL C

SECCIÓN J: PREGUNTAS PARA LOS QUE NO APUESTAN

PUNTO DE CONTROL B

OMITIR REGLA: PREGUNTE J1 A J4 SOLO SI EL ENCUESTADO INFORMÓ NUNCA HABER APOSTADO (A1–A10 SON TODOS “NO” O A11 = 2, 3 O 4). SINO, SIGA HASTA EL PUNTO DE CONTROL C.

Indicó que nunca apostó que rara vez lo hizo. Ahora quisiera preguntarle algunos motivos posibles por los que nunca apostó. Cométame si alguno de los siguientes motivos es muy importante, un poco importante o no es para nada importante para usted como motivo para no apostar.

J1. No le resulta práctico o vive demasiado lejos.
   4 Muy importante.
   5 Relativamente importante.
   6 No es para nada importante.
   10 NO SÉ
   11 SE REHUSÓ

J2. Problemas morales o éticos.
   4 Muy importante.
   5 Relativamente importante.
   6 No es para nada importante.
   10 NO SÉ
   11 SE REHUSÓ

J3. La posibilidad de perder dinero.
   7 Muy importante.
   8 Relativamente importante.
   9 No es para nada importante.
   12 NO SÉ
   13 SE REHUSÓ

J4. Simplemente no le interesa apostar.
   10 Muy importante.
   11 Relativamente importante.
   12 No es para nada importante.
   14 NO SÉ
   15 SE REHUSÓ
SECCIÓN B: PREGUNTAS DE JUEGOS DE AZAR GENERALES

PUNTO DE CONTROL C

OMITIR REGLA: REALICE LAS SIGUIENTES PREGUNTAS SOLO SI EL ENCUESTADO ES JUGADOR (A11 = 1 O 5); SINO SIGA HASTA EL PUNTO DE CONTROL D.


B1. SI EL ENCUESTADO PARTICIPÓ EN MÁS DE UN JUEGO DE AZAR, PREGUNTE: Piense en las clases de actividades que explicamos, puede indicarme ¿cuál es su actividad de juego de azar preferida? (NO LEA LA LISTA)

19 Blackjack en un casino
20 Póquer en un casino
21 Otros juegos de mesa (p. ej. ruleta, dados) de un casino
22 Videopóquer de un casino
23 Tragamonedas de un casino
24 Bingo de un casino
25 Otro juego de un casino (ESPECIFICAR)
26 Máquinas de juegos fuera de un casino
27 Bingo fuera de un casino
28 Juegos de lotería
29 Carreras de caballos o de perros
30 Juego privado (p. ej. juego de póquer privado)
31 Deportes
32 Póquer en Internet
33 Tragamonedas o videopóquer en Internet
34 Deportes en Internet
35 Otros juegos en Internet
36 Otro NO en un casino (ESPECIFICAR)
99 NO SÉ
100 SE REHUSÓ

B2. Cuando participa en (LA CLASE DE JUEGO DE AZAR FAVORITA), ¿con quién suele apostar?

1 Solo/a
2 Cónyuge o pareja
3 Otro familiar
4 Amigo/s, compañero/s de trabajo, vecino/s, miembro/s de un club
5 Alguna otra persona o grupo
8 NO SÉ
9 SE REHUSÓ
B3. Cuando participa en (LA CLASE DE JUEGO DE AZAR FAVORITA), ¿me puede decir qué distancia suele viajar, si viaja? (PAUSA, LEA SI FUERA NECESARIO)
   10 No viaja
   11 5 millas o menos
   12 6 a 15 millas
   13 16 a 30 millas
   14 31 a 45 millas
   15 46 a 60 millas
   16 Más de 60 millas
   17 NO SÉ
   18 SE REHUSÓ

B4. Cuando participa en (LA CLASE DE JUEGO DE AZAR FAVORITA), ¿cuánto tiempo suele jugar?
   1 Menos de una hora
   2 1 a 2 horas
   3 3 a 5 horas
   4 6 a 12 horas
   5 Más de 12 horas
   8 NO SÉ
   9 SE REHUSÓ

A continuación, quisiera preguntarle los motivos que tiene para apostar. Coménteme si alguno de los siguientes motivos es muy importante, un poco importante o no es para nada importante para usted como motivo para apostar. (ALTERNAR EL ORDEN DE B5 A B11)

B5. Para rodearme de otras personas o para estar con ellas
   4 Muy importante.
   5 Relativamente importante.
   6 No es para nada importante.
   10 NO SÉ
   11 SE REHUSÓ

B6. Porque es práctico o fácil de hacer.
   4 Muy importante.
   5 Relativamente importante.
   6 No es para nada importante.
   10 NO SÉ
   11 SE REHUSÓ

B7. Para ganar dinero
   4 Muy importante.
   5 Relativamente importante.
   6 No es para nada importante.
10 NO SÉ
11 SE REHUSÓ

B8. Para entretenerme o por diversión.
   4 Muy importante.
   5 Relativamente importante.
   6 No es para nada importante.
   10 NO SÉ
   11 SE REHUSÓ

   4 Muy importante.
   5 Relativamente importante.
   6 No es para nada importante.
   10 NO SÉ
   11 SE REHUSÓ

    4 Muy importante.
    5 Relativamente importante.
    6 No es para nada importante.
    10 NO SÉ
    11 SE REHUSÓ

B11. Para distraerse de los problemas diarios.
    4 Muy importante.
    5 Relativamente importante.
    6 No es para nada importante.
    10 NO SÉ
    11 SE REHUSÓ

B12. ¿Qué edad tenía la primera vez que apostó alguna cantidad de dinero?
    ______ años
    97 97 AÑOS O MÁS
    98 NO SÉ
    99 SE REHUSÓ

B13. ¿A qué clase de juego jugó la primera vez?

1. Apuesta informal con un familiar o amigo.
2. Juego privado (p. ej. juego de póquer privado)
3. Blackjack en un casino
4. Póquer en un casino
5. Otros juegos de mesa (p. ej. ruleta, dados) de un casino
6. Videopóquer de un casino
7. Tragamanedas de un casino
8. Bingo de un casino
9. Máquinas de juegos fuera de un casino
10. Bingo fuera de un casino
11. Juegos de lotería
12. Carreras de caballos o de perros
13. Deportes
14. Póquer en Internet
15. Tragamonedas o videopóquer en Internet
16. Deportes en Internet
17. Otros juegos en Internet
18. Otro (ESPECIFICAR)

98  NO SÉ
99  SE REHUSÓ

B17. En comparación con otras actividades recreativas o sociales, ¿qué importancia tiene para usted apostar? ¿Podría decir que es… (LEA LA LISTA)

4 muy importante?
5 relativamente importante?
6 no es para nada importante?
10 NO SÉ
11 SE REHUSÓ

SECCIÓN C: LA EVALUACIÓN DE DSM-IV (Diagnostic and Statistical Manual of Mental Disorders, 4th Edition) DEL NORC (National Opinion Research Center) PARA LOS PROBLEMAS DE JUEGOS DE AZAR

OMITIR REGLA: REALICE LAS SIGUIENTES PREGUNTAS SOLO SI EL ENCUESTADO ES JUGADOR (A11 = 1 O 5); SINO SIGA HASTA EL PUNTO DE CONTROL D.

A continuación, tengo algunas preguntas acerca de cómo se siente con respecto a apostar. No existen respuestas correctas o incorrectas. Queremos saber cuáles fueron sus experiencias. Recuerde que toda la información que comparte es confidencial.

C1. ¿Alguna vez tuvo períodos de 2 semanas o más de duración en los que pasara mucho tiempo pensando en sus experiencias de apuestas o planeando nuevos eventos de juegos de azar o apuestas?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C1A. SI C1 ES SÍ ¿Fue el año pasado?
C2. ¿Alguna vez tuvo períodos de 2 semanas o más de duración en los que pasara mucho tiempo pensando maneras de obtener dinero para apostar?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C2A. SI C2 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C3. ¿Hubo períodos en los que necesitara aumentar el dinero de las apuestas o realizar apuestas más grandes que antes para poder lograr la misma emoción?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C3A. SI C3 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C4. ¿Alguna vez intentó dejar, reducir o controlar sus apuestas?
   1 Sí   VAYA A C5
   2 No   VAYA A C8
   8 NO SÉ   VAYA A C8
   9 SE REHUSÓ   VAYA A C8

C5. Una o más veces en las que intentó dejar, reducir o controlar sus apuestas, ¿estaba más intranquilo o irritable?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C5A. SI C5 ES SÍ ¿Fue el año pasado?
C6. ¿Alguna vez intentó sin éxito dejar, reducir o controlar sus apuestas?
   1 Sí VAYA A C7
   2 No VAYA A C8
   8 NO SÉ VAYA A C8
   9 SE REHUSÓ VAYA A C8

C7. ¿Sucedió tres o más veces?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C7A. SI C7 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C8. ¿Alguna vez jugó para escapar de los problemas personales?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C8A. SI C8 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C9. ¿Alguna vez jugó para aliviar sensaciones incómodas, como por ejemplo, la culpa, la ansiedad, la impotencia o la depresión?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C9A. SI C9 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ
C10. ¿Existió algún período en el que si perdía dinero en apuestas un día, volvía al día siguiente para compensarlo?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C10A. SI C10 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C11. ¿Alguna vez le mintió a familiares, amigos o a los demás acerca de cuánto apostaba o cuánto perdía apostando?
   1 Sí VAYA A C12
   2 No VAYA A C13
   8 NO SÉ VAYA A C13
   9 SE REHUSÓ VAYA A C13

C12. SI FUE ASÍ: ¿Sucedió tres o más veces?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C12A. SI C12 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C13. ¿Alguna vez emitió un cheque sin fondos o tomó dinero que no le pertenecía de familiares o de alguien para poder apostar?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C13A. SI C13 ES SÍ ¿Fue el año pasado?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

C14. ¿Alguna vez cometió otro acto que pudiera traerle problemas legales para poder apostar?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C14A. SI C14 ES SÍ ¿Fue el año pasado?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C15. ¿Apostar alguna vez le causó problemas graves o reiterados en sus relaciones con algún familiar o amigo?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C15A. SI C15 ES SÍ ¿Fue el año pasado?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C16. ¿Apostar alguna vez le causó problemas en la escuela o problemas en el trabajo, hasta perder un trabajo o perderse una oportunidad laboral importante?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C16A. SI C16 ES SÍ ¿Fue el año pasado?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C17. ¿Alguna vez tuvo que pedirles a familiares o a alguien que le preste dinero o que le pague la fianza por una situación desesperada que fue principalmente a causa de apuestas?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
C17A. SI C17 ES SÍ ¿Fue el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C18. ¿Considera que alguna vez tuvo un problema con respecto a apostar dinero o a causa de juegos de azar?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C18A. SI C18 ES SÍ ¿Considera que alguna vez tuvo un problema con respecto a apostar dinero o a causa de juegos de azar el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

PREGUNTA NUEVA: C19. ¿Alguna vez buscó ayuda por su problema con el juego?

1 Sí  VAYA A C19A
2 No
8 NO SÉ
9 SE REHUSÓ

C19A. ¿Qué clase de ayuda fue?
(NO LEA. INDIQUE EL CÓDIGO DE TODAS LAS QUE CORRESPONDAN).

29 Familiar
30 Amigo
31 Médico de cabecera
32 Asociación de jugadores anónimos
33 Programa de tratamiento de Maryland
34 Programa de tratamiento fuera de Maryland
35 Administración de veteranos
36 Programa de asistencia para empleados (EAP)
37 Psicólogo o psiquiatra
38 Otro asesor
39 Pastor/Sacerdote/Rabino
40 Hospital de Maryland
41 Hospital fuera de Maryland
42 Otro
102 NO SÉ
C19B. ¿Obtuvo la ayuda que necesitaba para dejar de apostar?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

SECCIÓN D. ACTITUDES CON RESPECTO AL JUEGO DE AZAR

PUNTO DE CONTROL D
OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADORES

Actitudes con respecto al juego de azar.

Las siguientes frases las dijeron algunas personas acerca de los juegos de azar. Coménteme si usted está de acuerdo o no con cada frase.

D1. Existen muchas oportunidades de apostar hoy en día.
   41. Estoy totalmente de acuerdo.
   42. Estoy de acuerdo.
   43. No estoy de acuerdo ni en desacuerdo.
   44. No estoy de acuerdo.
   45. Estoy totalmente en desacuerdo.
   16. NO SÉ
   17. SE REHÚSA

D2. Las personas deberían tener derecho a apostar cuando lo deseen.
   46. Estoy totalmente de acuerdo.
   47. Estoy de acuerdo.
   48. No estoy de acuerdo ni en desacuerdo.
   49. No estoy de acuerdo.
   50. Estoy totalmente en desacuerdo.
   17. NO SÉ
   18. SE REHÚSA

D3. No se debería incentivar el juego de azar.
   51. Estoy totalmente de acuerdo.
   52. Estoy de acuerdo.
   53. No estoy de acuerdo ni en desacuerdo.
   54. No estoy de acuerdo.
   55. Estoy totalmente en desacuerdo.
   18. NO SÉ
   19. SE REHÚSA

D4. La mayoría de las personas que apuestan lo hacen de manera sensata.
56. Estoy totalmente de acuerdo.
57. Estoy de acuerdo.
58. No estoy de acuerdo ni en desacuerdo.
59. No estoy de acuerdo.
60. Estoy totalmente en desacuerdo.
19. NO SÉ
20. SE REHÚSA

D5. Los juegos de azar son peligrosos para la vida familiar.
61. Estoy totalmente de acuerdo.
62. Estoy de acuerdo.
63. No estoy de acuerdo ni en desacuerdo.
64. No estoy de acuerdo.
65. Estoy totalmente en desacuerdo.
20. NO SÉ
21. SE REHÚSA

D6. De manera equilibrada, los juegos de azar son buenos para la sociedad.
66. Estoy totalmente de acuerdo.
67. Estoy de acuerdo.
68. No estoy de acuerdo ni en desacuerdo.
69. No estoy de acuerdo.
70. Estoy totalmente en desacuerdo.
21. NO SÉ
22. SE REHÚSA

D7. Los juegos de azar alegran la vida,
71. Estoy totalmente de acuerdo.
72. Estoy de acuerdo.
73. No estoy de acuerdo ni en desacuerdo.
74. No estoy de acuerdo.
75. Estoy totalmente en desacuerdo.
22. NO SÉ
23. SE REHÚSA

D8. Sería mejor que los juegos de azar se prohibieran por completo.
76. Estoy totalmente de acuerdo.
77. Estoy de acuerdo.
78. No estoy de acuerdo ni en desacuerdo.
79. No estoy de acuerdo.
80. Estoy totalmente en desacuerdo.
23. NO SÉ
24. SE REHÚSA

SECCIÓN E. CONCIENCIACIÓN DE LOS RECURSOS Y LA BÚSQUEDA DE AYUDA PARA LOS PROBLEMAS CON LOS JUEGOS DE AZAR
OMITIR REGLAS: PREGUNTAR A TODOS LOS ENCUESTADOS
Concienciación de los recursos y la búsqueda de ayuda para los problemas con los juegos de azar.

A continuación desearía hacerle algunas preguntas acerca de la clase de ayuda que podría estar disponible en algunas comunidades para ayudar a los jugadores que tienen problemas y demás personas preocupadas.

E3. ¿Podría decirme si alguno de los siguientes servicios está disponible en su comunidad?

4. Una línea de ayuda gratuita que brinda ayuda para crisis o derivaciones para jugadores con problemas y demás personas.
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

5. Asociación de jugadores anónimos
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

6. Servicios ambulatorios para problemas con juegos de azar, como por ejemplo, tratamiento privado.
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

PREGUNTA NUEVA: E4. ¿Obtuvo información acerca de los problemas con juegos de azar o cómo apostar de manera responsable:
E4A - de carteleras?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

E4B – de la TV?
   1 Sí
SECCIÓN F: ALCOHOL Y DROGAS

OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADORES
Preguntas con respecto al alcohol y las drogas.

Ahora tengo algunas preguntas acerca de otras cosas que hacen las personas. Recuerde que todas las respuestas son totalmente confidenciales.

F1. En los últimos 12 meses, ¿con qué frecuencia usó cigarrillos, masticó tabaco o inhaló rapé?
   1 A diario (más de 30 veces por mes)
   2 Varias veces por semana (6-29 veces por mes)
   3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

F2. En los últimos 12 meses, ¿con qué frecuencia bebió un trago de alcohol? 
SI EL ENCUESTADO PREGUNTA, UN TRATO SE DEFINE COMO: una lata o botella de cerveza o licor de malta, una copa de vino de 4 oz, un trago mezclado o un chupito de una onza o una onza y media.
1 A diario (más de 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

F3. Un día típico en el que bebe, ¿cuántos tragos ingiere? 

______________ (ANOTE LA CANTIDAD)
889 NO SÉ
999 SE REHUSÓ

F4. En los últimos 12 meses, ¿con qué frecuencia bebió seis o más tragos en una ocasión?

1 A diario (más de 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

F5. En los últimos 12 meses, ¿con qué frecuencia usó drogas ilícitas, como por ejemplo, marihuana, hachís, cocaína, metanfetamina, drogas sintéticas, alucinógenos, opiáceos o inhalantes?

1 A diario (más de 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
En los últimos 12 meses, ¿con qué frecuencia usó *medicamentos recetados por motivos que no fueran médicos*, incluso sedantes, calmantes o analgésicos? *(LEA SI FUERA NECESARIO: Los motivos que no fueran médicos significa tomar sus propios medicamentos recetados o los de otra persona solo por la sensación que le provocan).*

1. A diario (más de 30 veces por mes)
2. Varias veces por semana (6-29 veces por mes)
3. Varias veces por mes (3-5 veces por mes)
4. Una vez por mes o menos (6-12 veces por año)
5. Solo algunos días al año (1-5 veces por año)
6. Nunca
8. NO SÉ
9. SE REHUSÓ

**OMITIR REGLAS**: PREGUNTE F8 SOLO SI EL ENCUESTADO INFORMÓ HABER USADO DROGAS MÁS DE UNA VEZ POR MES (F5, F6 = 1, 2, 3). SINO, SIGA HASTA LA F9.

En los últimos 12 meses, ¿cuántas veces se metió en dificultades de alguna clase por el consumo de drogas?

6. Ninguna
7. 1
8. 2-3
9. 4-9
10. 10 veces o más
10. NO SÉ
11. SE REHUSÓ

¿Alguna vez buscó ayuda para dejar de consumir alcohol o drogas?

1. Sí  VAYA A F9A
2. No
8. NO SÉ
9. SE REHUSÓ

¿Qué clase de ayuda fue?

(NO LEA. INDIQUE EL CÓDIGO DE TODAS LAS QUE CORRESPONDAN).

43. Familiar
44. Amigo
45. Médico de cabecera
46. Alcohólicos o Drogadictos Anónimos
47. Programa de tratamiento de Maryland
48. Programa de tratamiento fuera de Maryland
F9B. ¿Obtuvo la ayuda que necesitaba para dejar de consumir alcohol o drogas?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

SECCIÓN G: SALUD MENTAL

 OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADORES
Preguntas con respecto a la salud mental.

Ahora, tengo algunas preguntas acerca de su salud física y mental.

G1. ¿Cómo describiría su salud en general en los últimos 12 meses? ¿Diría que fue excelente, buena, regular o mala?

1 Excelente
2 Buena
3 Regular
4 Mala
8 NO SÉ
9 SE REHUSÓ

G2. En los últimos 12 meses, ¿alguien cercano a usted apostó tanto que le generó un problema?

1 Sí VAYA A G2A
2 No VAYA A G5
8 NO SÉ VAYA A G5
9 SE REHUSÓ VAYA A G5

G2A. ¿Qué parentesco tiene con usted? Si está pensando en más de una persona, indique cada una. (INDIQUE EL CÓDIGO DE TODAS LAS QUE CORRESPONDAN).
1 Cónyuge o pareja
2 Padre
3 Hermano o hermana
4 Hijo (propio, adoptado, en tutela temporal)
5 Otro familiar
6 Otra persona no relacionada
8 NO SÉ
9 SE REHUSÓ

G5. ¿Alguna vez en su vida tuvo un período de 2 semanas o más en el que cada día se sintiera triste, vacío o deprimido durante la mayor parte del día?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

G6. ¿Alguna vez en su vida tuvo un período de 2 semanas o más en el que perdiera el interés por la mayor parte de las cosas, como por ejemplo, el trabajo, los pasatiempos y otras cosas que, por lo general, disfrutaba?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

G7. En los últimos 12 meses, ¿buscó ayuda por problemas con sus emociones, nervios o salud mental?
   1 Sí
   2 No
   8 NO SÉ
   9 SE REHUSÓ

G8. En este momento, ¿cuánto le molestan sus emociones, nervios o salud mental? ¿Diría que para nada, un poco o mucho?
   1 Para nada.
   2 Un poco.
   3 Mucho.
   8 NO SÉ
   9 SE REHUSÓ

SECCIÓN H: OTROS EFECTOS

OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADOS Preguntas con respecto a otras consecuencias.
Ahora tengo algunas preguntas acerca de su grupo familiar. Mediante grupo familiar me refiero a todos los familiares y otras personas que viven con usted y que comparten dinero para los gastos de vivienda comunes.

H1. Aproximadamente, ¿cuánto considera que usted y otros integrantes de su grupo familiar deben en total? Incluya los préstamos de automóviles, los préstamos estudiantiles, las deudas de tarjetas de crédito y otros préstamos, pero no de hipoteca, si tiene.
- 9 menos de $1.000 VAYA A H2
- 10 $1.000-$9.999 VAYA A H2
- 11 $10.000-$24.999 VAYA A H2
- 12 $25.000-$49.999 VAYA A H2
- 13 $50.000-$99.999 VAYA A H2
- 14 $100.000-$200.000 VAYA A H2
- 15 más de $200.000 VAYA A H2
- 16 NO DEBE DINERO VAYA A H4
- 100 NO SÉ VAYA A H4
- 101 SE REHUSÓ VAYA A H4

H2. ¿De cuál de las siguientes fuentes obtuvo el dinero que debe su grupo familiar? Mencione todas las que correspondan. ¿Pidió prestado de...
- 6 tarjetas de crédito,
- 7 un banco o cooperativa de crédito,
- 8 una empresa de préstamos,
- 9 otros familiares,
- 10 otras personas o entidades?
- 10 NO SÉ
- 11 SE REHUSÓ

H3. ¿Alguna vez presentó quiebra?
- 1 Sí VAYA A H3A
- 2 No VAYA A H4
- 8 NO SÉ VAYA A H4
- 9 SE REHUSÓ VAYA A H4

H3A. ¿Los juegos de azar fueron un factor significativo o causa de esta quiebra?
- 1 Sí
- 2 No
- 8 No sé
- 9 Se rehusó

H4. ¿Alguna vez lo arrestaron?
- 1 Sí VAYA A H4A
- 2 No VAYA A K1
SECCIÓN K: DATOS DEMOGRÁFICOS

OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADOS Preguntas con respecto a datos demográficos.

Las siguientes preguntas solo tienen un fin estadístico y sus respuestas son completamente confidenciales.

K1. ¿Está casado, convive, es viudo, está divorciado, separado o nunca se casó?
   7 Casado/a
   8 Convive
   9 Es viudo/a
   10 Está divorciado/a
   11 Está separado/a
   12 Nunca se casó
   9 SE REHUSÓ
K2. ¿Se considera (LEA LAS OPCIONES 1-3):

1 heterosexual?
2 lesbiana u homosexual?
3 bisexual?

No lea:
4 Otro
8 No sé/No estoy seguro/a
9 Se rehusó

K3. ¿Cuál es el mayor nivel de educación que alcanzó? (LEA SI FUERA NECESARIO)

1 Primaria
2 Un poco de secundaria
3 Secundaria o diploma de educación general
4 Menos de 2 años de universidad
5 Tecnicatura u otro título (instituto vocacional, técnico o profesional) o al menos 2 años de universidad (al menos 60 créditos)
6 Licenciatura
7 Maestría
8 Título de posgrado (PhD, MD o JD)
9 Otro (ESPECIFICAR)
98 NO SÉ
99 SE REHUSÓ

K4. La semana pasada ¿trabajó tiempo completo, tiempo parcial o no trabajó?

1 Trabajó tiempo completo VAYA A K5
2 Trabajó tiempo parcial VAYA A K4A
3 No trabajó la semana pasada VAYA A K4B
8 NO SÉ
9 SE REHUSÓ

K4A. SI TRABAJÓ TIEMPO PARCIAL, PREGUNTE: ¿Se jubiló de algún trabajo de tiempo completo?

3 Sí
4 No
10 NO SÉ
11 SE REHUSÓ

K4B. SI NO TRABAJÓ, PREGUNTE: ¿Es estudiante, ama de casa, se jubiló por completo, es discapacitado, está desempleado o algún otro?

7 Estudiante
8 Ama de casa
9 Jubilado completo
10 Discapacitado/a
11 Desempleado/a
12 Otro
8 SE REHUSÓ
9 NO SÉ

K5. ¿En qué año nació?
8888 NO SÉ
9999 SE REHUSÓ

K6. ¿Es hispano o latino?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

K7. ¿Cuál de los siguientes describe mejor su grupo racial o étnico? ¿Es...
   6 blanco/a o caucásico/a?
   7 negro/a o afroamericano/a?
   8 indígena estadounidense?
   9 asiático o isleño del Pacífico?
   10 u otro? (ESPECIFICAR)
   10 NO SÉ
   11 SE REHUSÓ

K8. ¿Alguna vez perteneció a las Fuerzas Armadas?
1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

K9. ¿Cuál es su preferencia religiosa?
13 Protestante (bautista, luterano, metodista, episcopalista, anglicano, presbiteriano)
14 Católico/a romano/a
15 Judío/a
16 Mormón/na, santo/a de los últimos días
17 Musulmán/na
18 Hindú
19 Religión ortodoxa
20 Cristiano/a (VOLUNTARIAMENTE) (PREGUNTAR K9A)
21 Cree en Dios, sin denominación específica (VOLUNTARIAMENTE)
22 Agnóstico/a
23 Ateo/a
24 Otro (ESPECIFICAR)
100 NO SÉ
K9A. ¿Se considera un cristiano renacido?
   3 Sí
   4 No
   10 NO SÉ
   11 SE REHUSÓ

La siguiente pregunta se relaciona con los ingresos y es solo para fines de clasificación.

K10. ¿Puede decírmelo aproximadamente cuál fue el total de los ingresos de su grupo familiar el año pasado?
   SI NO LO SABE O SE REHÚSA, DIGA: Fue... Y LEA 1-8.
   10 de hasta $15.000
   11 $15.001 a $25.000
   12 $25.001 a $35.000
   13 $35.001 a $50.000
   14 $50.001 a $75.000
   15 $75.001 a $100.000
   16 $100.001 a $125.000
   17 $125.001 a $150.000
   18 Más de $150.000
   100 NO SÉ
   101 SE REHUSÓ

K11. ¿Hace cuánto tiempo vive en Maryland?
    ________ AÑOS (SI ES MENOS DE UN AÑO, INGRESE AQUÍ EL CÓDIGO 0)
    ________ MESES
    NO SÉ = 98
    SE REHUSÓ = 99


    ________ CÓDIGO POSTAL
    88888 NO SÉ
    99999 SE REHUSÓ

K13. ¿Qué idioma habla principalmente en su hogar? SI EL ENCUESTADO DICE QUE VIVE SOLO, DIGA “¿QUÉ IDIOMA USA CUANDO PIENSA EN ALGO PARA USTED?”.
    4 Inglés
    5 Español
<table>
<thead>
<tr>
<th>Código</th>
<th>Opción</th>
</tr>
</thead>
<tbody>
<tr>
<td>6</td>
<td>Otro (ESPECIFICAR)</td>
</tr>
<tr>
<td>100</td>
<td>NO SÉ</td>
</tr>
<tr>
<td>101</td>
<td>SE REHUSÓ</td>
</tr>
</tbody>
</table>

K14. "Me piden que pregunte, ¿cuál es su sexo?"

<table>
<thead>
<tr>
<th>Código</th>
<th>Sexos</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Hombre</td>
</tr>
<tr>
<td>5</td>
<td>Mujer</td>
</tr>
<tr>
<td>6</td>
<td>Transgénero</td>
</tr>
</tbody>
</table>

Esa fue la última pregunta. ¡Muchas gracias por su tiempo y cooperación!