

**UNIVERSITY OF MARYLAND
BALTIMORE**

**REQUEST FOR PROPOSAL (RFP)
for
MARYLAND GAMBLING SURVEY, RFP # 89951HR**

Issued: 8/6/21
Pre-Proposal Conference: 8/17/21
Deadline for Questions: 8/20/21
Due Date for Proposals: 9/13/21

Issued by: University of Maryland, Baltimore
Strategic Sourcing and Acquisition Services
Saratoga Street Offices
Level 02 Room # 02-100
220 Arch Street
Baltimore, MD 21201-1531
Attn: 410-706-2094

Deliver to: All proposals shall be sent via email to:
proc-oncallbids@umaryland.edu.
The e-mail submission subject line must state:
RFP#89951HR and either “**Technical**” or “**Financial.**”

Pre-Proposal Conference: Via WebEx on 8/17/21

SPECIAL ACCESS: Anyone requiring special assistance in obtaining a copy of the solicitation or attending attending the pre-proposal conference are requested to contact the Buyer listed above at least 48 hours in advance.

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MARYLAND GAMBLING SURVEY

SECTION I: SUMMARY INFORMATION

A. SUMMARY STATEMENT

The University of Maryland, Baltimore (herein called the “University” or “UMB”) is seeking proposals from established vendors/contractors to conduct a statewide survey to estimate the prevalence of gambling and to measure gambling-related behaviors and attitudes in the state of Maryland as set forth in this Request for Proposal (RFP).

B. ISSUING OFFICE

Hanta Ralay
University of Maryland, Baltimore
Strategic Sourcing and Acquisition Services
Saratoga Street Offices
Level 02 Room 02-100
220 Arch Street
Baltimore, Maryland 21201-1531
410-706-2094 (phone)
hralay@umaryland.edu (email)

The sole point of contact in the University for purposes of this RFP is the Issuing Office. Any questions with regard to any aspect of this proposal must be directed to Hanta Ralay in writing via email to: **proc-oncallbids@umaryland.edu**.

C. QUESTIONS AND INQUIRIES

All questions and inquiries must be directed via email to: **proc-oncallbids@umaryland.edu**. The e-mail submission subject line must state **RFP#89951HR - “Questions”**.

All questions and inquiries must be received by the close of business **Friday, August 20, 2021 by 2 pm**. Inquiries will receive a written reply. Copies of replies will also be posted on the **www.umaryland.edu/procurement/ebid-board**.

D. DELIVERY OF PROPOSALS

Proposals must be delivered to:

E-mail submissions

- a. Proposals shall be submitted electronically to the Issuing Office as a Portable Document Format (PDF). Proposers must submit their responses via email to: **proc-oncallbids@umaryland.edu**.

Financial/Price Proposals are to be submitted as a separate email from the Technical Proposal. For Technical Proposals the file name shall be **Technical Proposal**,

RFP#89951HR, [Your Company Name]. For Price Proposals the file name shall be **Price Proposal, RFP#89951HR, [Your Company Name].**

- b. Responses shall be identified in the email subject line with **RFP#89951HR [Your Company Name].** Due to attachment file size constraint of 25 megabytes (MB) total attachment size per email message, Proposers may need to split large files/and or submit multiple emails to keep to under 25 MB per email. If your proposal is submitted in more than one email clearly identify the number of the submission in the email subject (e.g. **RFP#89951HR, Email 1 of 2 [Your Company Name], RFP#89951HR, Email 2 of 2 [Your Company Name]** and “**Technical**” or “**Financial**”).
- c. The Procurement Officer will not accept submissions after the date and exact time stated in this RFP. The date and time of submission is determined by the date and time of arrival in the designated e-mail box provided in this RFP. Time stamps on outgoing email from Contractors shall not be accepted. Requests for extension of this date or time will not be granted. If a proposal requires multiple emails to submit, then the date and time of arrival in the Procurement Officer’s e-mail box of the last email submitted will be used to determine timeliness of the response. It is the Proposer’s sole responsibility to allow enough time to submit in a timely manner.
- d. By providing the Proposal to UMB electronically, the Proposer grants the University the unlimited right to generate additional electronic and/or paper copies for distribution solely for the purpose of evaluation and review.
- e. Hyperlinks to software products sent to UMB’s Issuing Office that indicate that the Proposal is posted by the Proposer on an electronic site may be rejected or considered non-responsive.
- f. The Procurement Officer will only contact those Proposers with Proposals that are reasonably susceptible for award.

E. PROPOSAL CLOSING DATE

In order to be considered, one (1) electronic version of each proposal must arrive via email, by **Monday, September 13, 2021, no later than 2:00 pm.** Proposals or unsolicited amendments to proposals arriving after the closing date and time will not be considered. There will be no formal proposal opening.

F. ACCESS TO ISSUING OFFICE - N/A

G. PRE-PROPOSAL CONFERENCE

There will be a Pre-Proposal Conference held in conjunction with this RFP. Attendance at the Pre-Proposal conference is not mandatory. The conference will be held via Webex on **Tuesday, August 17, 2021 at 2 pm.** Call in information see **Appendix H.**

H. DURATION OF PROPOSAL OFFER

Proposals are to be held valid for **180 days** following the closing date for this RFP. This period may be extended by mutual agreement between the Offeror and the University

I. TERM OF CONTRACT

The contract shall be for a base period of **one year** to begin upon the University's execution of a contract.

The University shall have the option to renew the contract for **three (3) one (1) year additional terms**, said options to be exercised at the sole discretion of the University.

Vendor may submit a written request annually for rate adjustment not less than ninety (90) days prior to the contract anniversary date. All other terms and conditions shall remain the same.

The University will use the U.S. Bureau of Labor Statistics, Producer Price Index (PPI-U) to monitor any such rate adjustment requests for reasonableness. The University will use the **All Urban Consumers (PPI-U), U.S. City Average for management and technical consulting services (PCU5416) data**, for the month - month period for the previous twelve months. The University will use the **February 2021 index of 125.8** as the benchmark. All such rate adjustment requests will be calculated using a simple percentage method. In all instances the University will use the base period data in effect at the time of the receipt of responses to the RFP.

The following example illustrates the computation of percentage change:

PPI for current period: 150.252
Less PPI for previous period: 147.362
Equals index point change: 2.89
Divided by previous period PPI: 147.362
Equals: .0196
Results multiplied by 100: .0196 x 100
Equals Percentage change: 1.96%

J. EVALUATION OF OFFERS

A contract award will be made to the responsible Offeror whose proposal best meets the needs of the University as determined by the Procurement Officer. All proposals will be evaluated by a University evaluation committee. After considering the factors set forth in this RFP, the committee will make recommendations for the award of the contract to the vendor(s) whose proposal is/are determined to be the most advantageous to the University.

K. PROPOSAL ACCEPTANCE

The University reserves the right to accept or reject any and all proposals, in whole or in part, received as a result of this RFP, to waive minor irregularities, to negotiate in any manner necessary to best serve the interest of the University. Further, the University reserves the right to make a whole award, multiple awards, a partial award or no award at all. Offerors judged by

the Procurement Officer not to be responsible or Offerors whose proposals are classified as not reasonably susceptible of being selected for award shall be so notified. The University reserves the right to increase or decrease the quantities of any materials, equipment, supplies or services.

L. FORMATION OF AGREEMENT/CONTRACT OR ISSUANCE OF PURCHASE ORDER

The Contract to be entered into as a result of this RFP (the “Contract”) shall be by and between the Offeror as contractor and the University in the form of a University **Contract** and shall contain the provisions included herein as **Appendix B (Schedule B)** and **Appendix C (Service Contract and Contract Affidavit)** as well as any additional terms required by the University of Maryland, Baltimore or the State of Maryland. By submitting a proposal, the Contractor warrants that they have reviewed **Appendix C (Service Contract and Contract Affidavit)** and will execute a contract on that form upon request by University of Maryland, Baltimore.

M. PROPOSAL AFFIDAVIT AND CERTIFICATIONS

State procurement regulations require that proposals contain certifications regarding non-collusion, debarment, cost and price, etc. The affidavit form, which should be completed by all respondents and returned with their respective responses, is included as **Appendix A, Bid/Proposal Affidavit**, of the RFP.

N. CERTIFICATION REGARDING INVESTMENT ACTIVITIES IN IRAN

Offerors must complete, sign and return **Appendix E, Certification Regarding Investment Activities in Iran** with their solicitation response. Companies appearing on the Investment Activities In Iran list are ineligible for award.

O. ELIGIBILITY TO PURCHASE

The pricing, terms and conditions of any successful Offeror’s proposal and any contract that results from this RFP may be made available to other agencies for cooperative procurements. By submitting a proposal, the contractor agrees to extend the proposal price structure and discounts to all University System of Maryland campuses and facilities within the State of Maryland, and any other educational institution in the State of Maryland.

All purchases under this contract by any entity which is not a unit or agency of the State of Maryland (1) shall constitute a purchase or contract between the Contractor and that entity only, (2) shall not constitute a purchase or contract of the State of Maryland, (3) shall not be binding or enforceable against the State of Maryland or any of its units or agencies, and (4) may be subject to other terms and conditions agreed to by the Contractor and the purchases. Contractor bears the risk of determining whether or not any entity from which the Contractor receives an order under the Contract is a unit or agency of the State of Maryland such that the contract may be enforced against the State of Maryland.

MARYLAND GAMBLING SURVEY

SECTION II: GENERAL INFORMATION FOR VENDORS

A. PURPOSE

The overall purpose of this RFP is to provide information to vendors interested in preparing and submitting proposals to meet the requirements for Maryland Gambling Survey described herein.

The University is seeking proposals from established contractors to conduct a statewide survey to estimate the prevalence of gambling and to measure gambling-related behaviors and attitudes in the state of Maryland as set forth in this Request for Proposal (RFP).

B. GENERAL INFORMATION FOR VENDORS

1. Proposals must be made in the official name of the firm or individual under whom business is conducted (showing official business address) and must be signed by a duly authorized person.
2. Each Offeror must furnish all information required by the proposal request. Erasures or other changes must be initialed by the person signing the proposal. Proposals signed by an agent of the corporation must be accompanied by evidence of their authority.
3. This Request for Proposal creates no obligation on the part of the University to award the contract or to compensate Offerors for proposal preparation expenses.
4. The University reserves the right to award a contract based upon the proposals received without further negotiations. Vendors should therefore not rely on having a chance during negotiations to change their offer.
5. Before the award of a contract, the University may require the Offeror to submit evidence of any information related to the financial, technical, and other qualifications and abilities of the Offeror.

C. ADDENDA / AMENDMENT TO THE RFP

If it becomes necessary to revise any part of the RFP, addenda/amendments will be posted to the UMB's eBid Board at www.umaryland.edu/procurement/ebid-board. It remains the responsibility of prospective Offerors to check the website frequently until the proposal due date for any addenda/amendments issued prior to the submission of Proposals. Addenda/amendments made after the due date of for proposals will be sent only to those Offerors that submitted a timely Proposal and that remain under award consideration as of the issuance date of the addenda.

An acknowledgement of the receipt (**Appendix F**) of all amendments and addenda issued before the proposal due date is required from all vendors submitting a proposal.

D. CANCELLATION OF THE RFP

The University may cancel this RFP, in whole or in part, at any time.

E. ORAL PRESENTATION

Offerers who submit proposals may be required to make individual presentations to University representatives in order to clarify their proposals.

F. INCURRED EXPENSES

The University will not be responsible for any costs incurred by any vendor in preparing and submitting a proposal, delivery of or return of representative samples (if applicable).

G. ECONOMY OF PREPARATION

Proposals should be prepared simply and economically, providing a straightforward, concise description of the vendor's offer to meet the requirements of the RFP.

H. ACCEPTANCE OF TERMS AND CONDITIONS

By submitting a proposal in response to this RFP, the firm accepts the terms and conditions set forth in this RFP.

I. PROCUREMENT REGULATIONS

This RFP and any resulting contract shall be governed by the USM Procurement Policies and Procedures and the State Finance and Procurement Article of the Annotated Code of Maryland and by State Procurement Regulations, Code of Maryland Regulations Title 21, as applicable.

J. MULTIPLE PROPOSALS

Vendors may not submit more than one proposal.

K. ALTERNATE SOLUTION PROPOSALS - N/A

L. CONTRACTOR RESPONSIBILITIES

The University shall enter into contractual agreement with the selected offering vendor(s) only. The selected vendor(s) shall be responsible for all products and/or services required by this RFP. Subcontractors, if any, shall be identified and a complete description of their role relative to the proposal shall be included. The University's intent is not to direct the use of any particular vendor, however, the vendor will not contract with any such proposed person or entity to whom the University has a reasonable objection. Notification of such objection will be made by the University within 15 days of contract. The vendor shall be fully responsible for the acts and omissions of its subcontractors and of persons directly or indirectly employed by them.

M. PUBLIC INFORMATION ACT

Offerors must specifically identify those portions of their proposals, if any, which they deem to contain confidential, proprietary information or trade secrets and must provide justification why such material should not, upon request, be disclosed by the University under the Public Information Act, Title 4, General Provisions Article, Annotated Code of Maryland.

Vendors must clearly indicate each and every section that is deemed to be confidential, proprietary or a trade secret (it IS NOT sufficient to preface your proposal with a proprietary statement). Failure to comply may result in rejection of your proposal.

N. ARREARAGES

By submitting a response to this solicitation, a vendor shall be deemed to represent that it is not in arrears in the payment of any obligation due and owing the State of Maryland, including the payment of taxes and employee benefits and that it shall not become so in arrears during the term of the contract if selected for contract award.

O. TAXES

The University is exempt from Federal Excise Taxes, Maryland Sales and Use Taxes, and the District of Columbia Sales Taxes and Transportation Taxes, except as noted in applicable sections of COMAR. Exemption Certificates shall be provided upon request. Where a Contractor is required to furnish and install material in the construction or improvement of real property in performance of a contract, Contractor shall pay the Maryland Sales tax and the exemption does not apply.

Q. RFP RESPONSE MATERIALS

All written materials submitted in response to this RFP become the property of the University and may be appended to any formal documentation, which would further define or expand the contractual relationship between the University and the successful vendor(s).

R. PROPOSAL SECURITY - N/A

S. DEBRIEFING OF UNSUCCESSFUL OFFERORS

Unsuccessful proposers may request a debriefing. If the proposer chooses to do so, the request must be submitted in writing to the Procurement Officer within ten days after the proposer knew, or should have known its proposal was unsuccessful. Debriefings shall be limited to discussion of the specific proposer's proposal only and not include a discussion of a competing Offeror's proposal. Debriefings shall be conducted at the earliest feasible time.

The debriefing may include information on areas in which the unsuccessful proposer's proposal was deemed weak or insufficient. The debriefing may NOT include discussion or dissemination of the thoughts, notes or ranking from an individual evaluation committee member. A summarization of the Procurement Officer's rationale for the selection may be given.

T. MARYLAND PUBLIC ETHICS LAW, TITLE 5

The Maryland Public Ethics Law prohibits, among other things: State employees or officials (and in some cases, former employees) and businesses in which such an individual is employed or holds a financial interest from (i) submitting a bid or proposal, (ii) negotiating a contract, and (iii) entering into a contract with the governmental unit with which the individual is affiliated per §5-502 of the Maryland Public Ethics Law, Title 5, General Provisions Article, Annotated Code of Maryland.

If the Offerer has any questions concerning application of the State Ethics law to the Offerer's participation in this procurement, it is incumbent upon the Offerer to see advice from the State Ethics Commission; Office of the Executive Director, 9 State Circle, Suite 200, Annapolis, MD 21401, 410-974-2068 or toll free 1-877-669-6085.

The Procurement Officer may refer any issue raised by a bid or proposal to the State Ethics Commission. The Procurement Officer may require the Offerer to obtain advice from the State Ethics Commission and may reject a bid or proposal that would result in a violation of the Ethics Law.

The resulting contract is cancelable in the event of a violation of the Maryland Public Ethics Law by the vendor or any State of Maryland employee in connection with this procurement.

U. HIPAA

Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191. ("HIPAA") and Maryland Confidentiality of Medical Records Act (Annotated Code of Maryland, Health – General Article '4-301 et seq. ("the Act")). Contractor acknowledges that the University is a HIPAA hybrid covered entity. Contractor agrees that Contractor's access to and use of protected health information (as that term is defined by HIPAA), if any, under this Agreement will be conducted in accordance with the requirements of the Act and HIPAA, including the terms of a HIPAA Business Associate Agreement if so required by UMB. Such Business Associate Agreement is either attached hereto as Schedule H or Contractor shall promptly execute such Business Associate Agreement upon the University's request. Contractor shall also cause any subcontractor, agent, or party under Contractor's direction or control that is participating in this Agreement to promptly execute a standard HIPAA Business Associate Agreement if so requested by UMB.

MARYLAND GAMBLING SURVEY

SECTION III: TECHNICAL REQUIREMENTS & SPECIFICATIONS

A. BACKGROUND

The University of Maryland, Baltimore is a public institution with the multiple missions of education, research, and service. The 34-acre complex is located in downtown Baltimore, a city that has become a model for urban rebirth and vitality. The UMB complex has over 5,000 employees and approximately 6,000 students enrolled in seven professional schools: School of Dentistry, School of Law, School of Medicine, School of Nursing, School of Pharmacy, School of Social Work, and Community Planning and the Graduate School.

During the 2007 special session of the Maryland General Assembly, Senate Bill 3 was enacted relating to the legalization of video lottery terminals (VLTs), contingent on passage of a constitutional amendment to authorize video lottery gaming in Maryland. The amendment to Senate Bill 3 was ratified by the voters of Maryland at the general election on November 4, 2008. The resulting legislation was added to the Annotated Code of Maryland (Health-General Article § 19-804) § 19-804: “The Secretary shall conduct a prevalence study and replication prevalence studies to measure the rate of problem and pathological gambling in the State....Replication prevalence studies shall be conducted no less than every 5 years with measures taken to permit comparisons between the initial prevalence study and subsequent replication prevalence studies.”

The University of Maryland Center of Excellence (COE) on Problem Gambling’s Research Program has the responsibility for ensuring that statewide prevalence studies of gambling behavior are conducted in compliance with Maryland law. The results of the 2017 statewide prevalence study were presented to the legislator in 2018 and it was determined that the frequency of conducting future statewide prevalence studies would move to every other year.

To meet the objectives of completing the fourth and subsequent statewide gambling prevalence studies, the COE’s Research Program on Gambling (RPG) seeks a Contractor for implementation of a survey in English as well as in Spanish.

B. SCOPE OF PROJECT

The University is seeking proposals from qualified firms with experience in conducting surveys, engaging with the public, developing strategic method for meeting the specifications listed below.

The contractor must get written approval from PI before data collection to ensure that the population as a whole is represented in the survey rather than a survey of convenience (see specifications below).

C. SPECIFICATIONS

The following provides specifications and characteristics of the services and provider

All services shall conform to all applicable federal and state laws and regulations and to the specifications contained in the solicitation.

Contractor to conduct a population based statewide survey to estimate the prevalence of gambling and to measure gambling-related behaviors and attitudes in the state of Maryland. The scope of work includes but is not limited to the following:

1. Contractor must develop a sample frame with sample size estimates for each of 4 Maryland Regions:
 - a) Central: Baltimore City, Baltimore, Harford, and Howard counties
 - b) Western: Garrett, Allegany, Washington, Frederick, Carroll, and Montgomery counties
 - c) Southern: Anne Arundel, Prince George's, Calvert, Charles, and St. Mary's counties
 - d) Eastern: Cecil, Kent, Queen Anne's, Caroline, Talbot, Dorchester, Somerset, Wicomico, and Worcester
2. Contractor must develop methods of recruitment to reach the desired sample size of Maryland residents in each region and provide to RPG a full written description of all sampling methods prior to data collection. RPG must send written approval of methods to contractor prior to data collection.
3. Contractor must provide RPG sample weights that can be used to project results to the whole population of Maryland, as well as a report with a full written description of methods used to derive sample weights. The full written description of how weights will be derived must be provided before data collection.
4. Contractor must conduct survey data collection of 6,000 randomly selected Marylander residents over the age of 18. The survey can be conducted over the phone, via US postal mail, and/or via the internet. A Spanish version of the survey must be made available should the participant requests it. Contractor must provide complete data set with sample weights for analysis to the RPG by July 1, 2022.
5. Contractor must provide a report by July 1, 2022, with a full written documentation and program files used to derive the final dataset. This includes, but is not limited to, a description of the methods and procedures used to derive sample weights, a full description of all methods used in survey conduct and administration, a full description of survey response rates, and any systematic differences in the response rates of individuals in the sampling frame.
6. Contractor must provide a report with a full written description of the data cleaning procedures by July 1, 2022.
7. Contractor to discuss plan for completing this contract with RPG and provide schedule for RPG review and approval prior to data collection. After data collection, the raw data from each participant and any updates to the methodology reports from number 2, 3, 5 and 6 above must be returned to the RPG by July 1, 2022, and the first day of the fiscal year of any other surveys.
8. Contractor must utilize the survey questionnaire provided in Attachment J.
9. Contractor must submit two invoices for the work performed. One invoice to be submitted six months upon execution of the contract and the second one after final deliver of the results and written reports.
10. All written reports/descriptions are to be provided in Word or PDF format as applicable.

D. CONTRACTOR PROFILE

1. Contractor must demonstrate a minimum of three (3) years of experience and expertise in developing, implementing, and conducting surveys and engaging with the public as outlined in this solicitation similar scope and size.
2. Contractor must have designated key staff assigned to this contract and designate a Project Manager who will be responsible for all coordination and working with the University.

E. COST PROPOSAL/SCEHDULE OF FEES

Contractor must provide an all-inclusive cost proposal for all proposed services, including incidental expenses and a not-to-exceed amount. Contractor must also include the hourly rates of individual or firm's staff and a breakdown of cost by task categories.

F. INSURANCE

1. a. The contractor shall not start work under this contract until the Contractor has obtained at its own expense all of the insurance called for hereunder and such insurance has been approved by the Procurement Officer; nor shall the Contractor allow any subcontractor to start work on any subcontract until all insurance required by the subcontract has been obtained and approved by the contractor and the University. Approval of insurance required of the contractor and subcontractors for the University will be granted only after submission to the University of original certificates of insurance signed by an authorized representative of the insurers or, alternately, at the University's request, certified copies of the required insurance policies.
- b. The Contractor shall require all subcontractors to maintain during the term of this agreement, Commercial General Liability insurance, Business Automobile Liability insurance, Workers Compensation and Employers Liability insurance, in the same manner, including the additional insured requirements in paragraph O.1.e., as specified for the Contractor. The Contractor shall furnish subcontractors' certificates of insurance to the University immediately upon request.
- c. All insurance policies required hereunder shall be endorsed to include the following provision; "It is agreed that this policy is not subject to cancellation, non-renewal, material change, or reduction in coverage until forty-five (45) days prior written notice has been given to the University."
- d. No acceptance and/or approval of any insurance by the University shall be construed as relieving or excusing the Contractor, or the surety or bond, if any, from any liability or obligation imposed upon either or both of them by the provision of the Contract Documents.

- e. NAMED ADDITIONAL INSURED – The University and the State of Maryland (including their elected or appointed officials, agents and employees) are to be named as additional insured under all coverages **except Workers Compensation**, and the certificates of insurance (or the certified policies, if requested) must so indicate through inclusion of appropriate endorsement. **Coverage afforded under this paragraph shall be primary to any other insurance of self-insurance, whether or not such other insurance or self-insurance is stated as primary, excess or contingent, as respects the above additional insured, their elected and appointed officials, agents and employees.**
- f. Insurance coverage required in these specifications shall be in force throughout the Contract Term. Should the Contractor fail to provide acceptable evidence of current insurance within ten (10) days of receipt of written notice at any time during the contract term, the University shall have the absolute right to terminate the Contract without any further obligation to the Contractor, and the Contractor shall be liable to the University for the entire additional cost of procuring substitute performance and the cost of performing the incomplete portion of the Contract at time of termination.
- g. Contractual and other liability insurance provided under this Contract shall not contain a supervision, inspection or engineering service exclusion that would preclude the University or participation institutions from supervising or inspecting the operations of the contractors as the end result.
- h. The Contractor shall assume all on-the-job responsibilities as to the control of persons directly employed by it and of agents or subcontractors and anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable.

The Contractor shall be as fully responsible to the University for the acts and omissions of its subcontractors and of persons employed by them as it is for acts and omissions of persons directly employed by the Contractor.

- i. All required insurance coverages must be acquired from insurers allowed to do business in the State of Maryland and acceptable to University. The insurers must have a policyholders' rating "A-" or better, and a financial size of "Class VII" or better in the latest edition of Best's Insurance Reports.
- j. The University will consider deductibles or self-insured retention as part of its review of the financial stability of the Offeror. Any deductibles or self-insured retention shall be disclosed in the Contractor's proposal and shall be assumed by the Contractor.

2. The Contractor shall purchase the following insurance coverages:

- a. **Commercial General Liability Insurance** or its equivalent, for bodily injury, personal injury and property damage, including loss of use. It is

preferred that coverage be provided on an “occurrence” basis. If “claims made” forms are submitted, the requirements noted in section O.5 must be met. Such Commercial General Liability policy shall include the following extensions:

- i. It is preferred that the general aggregate limit applies separately to this project:
 - ii. Premises/Operations:
 - iii. Actions of Independent Contractors:
 - iv. Products/completed Operations to be maintained for two (2) years after completion of the contract.
 - v. Contractual Liability including protecting for the Contractor for claims arising out of liability assumed under this contract.
 - vi. Personal injury liability including coverage for offenses related to employment, and for offenses assumed under this contract (delete any standard employment and contractual exclusions if contained in the personal injury coverage section):
- b. **Business Automobile Liability**, which will pay for liabilities arising out of accidents involving the ownership, operation, maintenance or use of any owned, hired or non-owned motor vehicles, uninsured motorist’s insurance and automobile contractual liability.

NOTE: INSURANCE MUST BE ON A PRIMARY BASIS.
CONTRACTUAL REQUIREMENTS MUST BE CLEARLY INDICATED
ON CERTIFICATE OR BY ENDORSEMENTS.

- c. **Workers Compensation** – statutory benefits are required by Maryland law or other laws as required by labor union agreements, including standard Other States coverage; Employers Liability coverage.
3. The coverage listed in Section III, O.2.a., b., and c. (above) shall be written for not less than the following limits of liability. **Limits can be furnished by a combination of primary and excess (umbrella) policies.**
- a. Commercial General Liability Insurance including all extensions –
\$2,000,000 each occurrence;
\$2,000,000 personal injury;
\$2,000,000 products/completed operations;
\$2,000,000 general aggregated
 - b. Business Automobile Liability -
\$2,000,000 each accident
 - c. Workers Compensation insurance – statutory requirements. Employer’s liability insurance - \$1,000,000 each accidental injury; and \$1,000,000 policy limit for disease.

4. **Tort-Claim Act** – It is agreed that the contractor and its insurers will not raise or use, in the adjustment of claims or in the defense of suits against any participating USM institution, any immunity of the insured from tort liability, (including Maryland Tort Claim Act), including any limitation of liability, unless requested by any participating institution.
5. **NOTE:** If insurance required in terms 2.a.iv. and v. above has been issued on a “claims made” basis, the Contractor must comply with the following additional conditions. The limits of liability and the extensions to be included as described above remain the same. The Contractor must either:
 1. Agree to provide certificates of insurance evidencing the above coverages for a period of three (3) years after final payment for the contract. Such certificates shall evidence a retroactive date no later than the beginning of the Contractor’s or Subcontractor’s work under this contract, or
 2. Purchase an extended (minimum three (3) years) reporting period endorsement for the policy or policies in force during the term of this contract and evidence the purchase of this extended reporting period endorsement by means of a certificate of insurance or a copy of the endorsement itself, and
 3. The “retroactive date” must be effective prior to the inception of the work under this contract, and
 4. No “sunset” clauses shall apply.

PLEASE NOTE THE FOLLOWING:

1. If awarded, the successful contractor(s) must submit their certificate of insurance (COI) within 5 calendar days of award to the attn: Hanta Ralay at hralay@umaryland.edu.

MARYLAND GAMBLING SURVEY

SECTION IV: EVALUATION AND SELECTION PROCEDURES

A. EVALUATION AND SELECTION COMMITTEE

All vendor's proposals received by the closing deadline will be evaluated. The Procurement Officer shall establish an Evaluation and Selection Committee to review and rate the proposals. The Committee shall be composed of representatives appointed by the Procurement Officer. The Committee may request additional technical assistance from any source.

B. EVALUATION PROCEDURE

Qualifying Proposals – The Committee shall first review each proposal for compliance with the requirements of this RFP. Failure to comply with any requirement will disqualify a vendor's proposal. The University reserves the right to waive a requirement when it is in its best interest to do so. Any alternate solution proposal submitted (if permitted by this document) must be complete and will be subject to a full and independent evaluation. In addition, the vendor must assume responsibility for addressing all necessary technical and operational issues in meeting the objectives of the RFP. Each section of the proposal will be scored according to the criteria listed below.

C. TECHNICAL EVALUATION

After determining compliance with the requirements in this RFP, the Committee shall conduct its evaluation of the technical merit of the proposals in accordance with the Evaluation Criteria.

Minor irregularities in proposals, which are immaterial or inconsequential in nature, may be waived wherever it is determined to be in the State's best interest.

Based on the selection committee's initial review of proposals, the Issuing Office may invite, without cost to itself, ranking finalists to make a presentation of their proposal and their capabilities as a further consideration in the selection process. The University reserves the right to make an award with or without negotiation. Only those vendors who are to be reasonably susceptible of being selected for award shall be offered the opportunity to participate in the process.

D. MINIMUM TECHNICAL SCORE

Vendors must achieve a minimum technical score of **75%** of the total points available for the technical evaluation in order to be considered for further evaluation. Vendors not achieving this minimum technical score will have their financial volumes returned to them.

E. FINANCIAL EVALUATION

The separate cost volume of each qualified proposal will be distributed to the committee following the completion of the technical evaluation. The committee will determine total costs of the proposals in order to establish a financial ranking of the proposals, from lowest to highest total cost. If a numeric scoring system is used, the lowest total cost will receive 100% of the points awarded to the financial portion with subsequently higher quotes receiving proportionally lower points.

Prompt payment discounts offered for payment within less than 30 calendar days will not be considered in evaluating offers for award. However, offered discounts of less than 30 days will be taken if payment is made within the discount period, even though not considered in the evaluation for award.

F. FINAL RANKING AND SELECTION

If a numeric scoring system is used, the resulting scores from the technical and financial evaluation of proposals will be used as a guide in determining the successful Offeror(s). The Evaluation and Selection Committee will choose from among the highest rated proposals which will best serve the interests of the University in accordance with the University System of Maryland Procurement Policies and Procedures in its recommendation of award to the Procurement Officer. Technical merit will be given **Greater** value **Than** cost.

G. CRITERIA FOR TECHNICAL EVALUATION

The criteria that will be used by the committee for the technical evaluation of the proposals for this specific procurement are listed below. Each committee member will score the proposals on each major criterion. From highest to least the technical evaluation will be:

- Management Summary
- Compliance with Specifications
- Team Qualifications and Experience
- Examples of Experience of Most Recent Work and Reference Form
- Work Plan and Schedule

MARYLAND GAMBLING SURVEY

SECTION V: INFORMATION REQUIRED IN VENDOR PROPOSALS

A. TRANSMITTAL LETTER

A transmittal letter shall accompany the Technical Proposal. A transmittal letter must be prepared on the vendor's business stationery. The purpose of this letter is to transmit the proposal; therefore, it should be brief. The letter must be signed by an individual who is authorized to bind the firm to all statements, including services and prices, contained in the proposal.

The Transmittal should include the following:

- Name and address of the Offeror;
- Name, title, email address and telephone number of primary contact for the Offeror;
- Solicitation Title and Solicitation Number that the Bid is in response to;
- Signature, typed name, and title of an individual authorized to commit the Offeror to its Bid;
- Federal Employer Identification Number (FEIN) of the Offeror, or if a single individual, that individual's Social Security Number;
- Offeror's MBE certification number (if applicable);
- Any information which is claimed to be confidential is to be noted by reference and included in the Transmittal Letter. Each page that is confidential or proprietary must also be marked.

B. TWO VOLUME SUBMISSION

The selection procedure for this procurement requires that the technical evaluation of the proposals is to be conducted before the cost data are distributed to the Evaluation and Selection Committee. Consequently, each proposal must be submitted as two separate enclosures as indicated below. Failure to do so may constitute disqualification of a vendor's proposal.

C. VOLUME I – TECHNICAL

This volume should be prepared in a clear and precise manner. It should address all appropriate points of this RFP except financial information. This volume consists of and must contain the following sections:

1. Management Summary
2. Compliance with RFP Specifications
3. Financial Condition or Annual Report
4. Team Qualifications and Experience
5. Examples of Experience of Most Recent Work and Reference Form – Appendix D
6. Work Plan and Schedule

7. Certification to provide insurance as required
8. Bid/Proposal Affidavit – Appendix A
9. Acknowledgement of Receipt of Addenda – Appendix F
10. Certification Regarding Investment Activities in Iran – Appendix E

Management Summary: Contractor must provide a brief synopsis of the needs of the University relative to this RFP and the solution that the vendor is offering through their proposal. This section should state why the Contractor is interested in pursuing this project, how the project relates to other work the Contractor has successfully performed, Contractor philosophy and what the Contractor should be selected.

Compliance with RFP Specifications: Contractor must provide prepared in the same sequence as the Specifications in Section III C of this RFP whether or not the Contractor can satisfy each point of the RFP specifications. This section is not to be prepared with YES or NO replies only. The vendor must describe how they will provide the (services/products) specified to satisfy the stated requirements or conditions. **NOTE: Financial Condition or Annual Report must be included in this section.**

Team Qualifications and Experience: Contractor must provide a clear description of the principal firm's Project Manager and the proposed team with names, resumes, project responsibilities, and proposed staffing numbers. Contractor must also provide a list of all successful surveys that have been performed. Experience listed should be from the last three to five years with projects relevant to the Scope of Work in this Project. Each listed experience shall have a Project Manager listed as a reference with name(s) and current telephone number(s). The listed experience should distinguish between the experience and projects of the firm and the individuals.

Experience of Example of Most Recent Work and References: Contractor must provide three (3) examples of the most recently completed contracts of similar scope and complexity by completing **Appendix D**. Contracts can be from both private and public sector clients.

Work Plan and Schedule: Consultant shall provide a work plan identifying the major tasks and subtasks anticipated by the Consultant associated with the completion of the guidance package and include a schedule showing the timeline and description of the individual or firm's resources that allow a timely delivery of service.

Any other information that may be relevant but does not fall in the above format should be provided as an appendix to this volume. Minor irregularities in the proposals, which are immaterial or inconsequential in nature, may be waived whenever it is determined to be in the best interest of the University.

If company literature or other publications are included and intended to respond to an RFP requirement, the response in this volume should include reference to the document name and page.

Technical volumes containing no such citations will be considered complete and without need to refer to other documents, i.e., the Evaluation and Selection Committee will not be required to refer to any additional documents for the vendor responses to RFP requirements during the evaluation process.

D. VOLUME II – FINANCIAL

This volume must be submitted in a separate email and apart from the technical volume. It must contain the following:

- An all-inclusive cost proposal for all proposed services, including incidental expenses and a not-to-exceed amount.
- Contractor must include the hourly rates of individual or firm's staff and a breakdown of cost by task categories.

E. SUBMISSION

Vendors must submit the required number of copies of their proposal by the closing time and date specified in Section I.E of the RFP.

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SECTION VI: APPENDICES AND ATTACHMENTS

APPENDIX A	Bid/Proposal Affidavit
APPENDIX B	Schedule B, University Solicitation and Purchase Terms and Conditions
APPENDIX C	Service Contract with Contract Affidavit
APPENDIX D	Experience Example of Most Recent Work and Reference
APPENDIX E	Certification Regarding Investments in Iran
APPENDIX F	Acknowledgment of Receipt of Addenda
APPENDIX G	Mandated Contractor Reporting of Suspected Child Abuse & Neglect
APPENDIX H	Pre-proposal WebEx Call-In information
APPENDIX I	Contractor Requirements During COVID
ATTACHMENT J	Survey Questionnaire

APPENDIX A

BID/PROPOSAL AFFIDAVIT

A. Authority

I HEREBY AFFIRM THAT:

I (print name) _____ possess the legal authority to make this Affidavit.

B. CERTIFICATION REGARDING COMMERCIAL NONDISCRIMINATION

The undersigned bidder hereby certifies and agrees that the following information is correct: In preparing its bid on this project, the bidder has considered all proposals submitted from qualified, potential subcontractors and suppliers, and has not engaged in discrimination as defined in §19-103 of the State Finance and Procurement Article of the Annotated Code of Maryland. Discrimination means any disadvantage, difference, distinction, or preference in the solicitation, selection, hiring, or commercial treatment of a vendor, subcontractor, or commercial customer on the basis of race, color, religion, ancestry, or national origin, sex, age, marital status, sexual orientation, sexual identity, genetic information or an individual's refusal to submit to a genetic test or make available the results of a genetic test, disability, or any otherwise unlawful use of characteristics regarding the vendor's, supplier's, or commercial customer's employees or owners. Discrimination also includes retaliating against any person or other entity for reporting any incident of discrimination. Without limiting any other provision of the solicitation on this project, it is understood that, if the certification is false, such false certification constitutes grounds for the State to reject the bid submitted by the bidder on this project, and terminate any contract awarded based on the bid. As part of its bid or proposal, the bidder herewith submits a list of all instances within the past 4 years where there has been a final adjudicated determination in a legal or administrative proceeding in the State of Maryland that the bidder discriminated against subcontractors, vendors, suppliers, or commercial customers, and a description of the status or resolution of that determination, including any remedial action taken. Bidder agrees to comply in all respects with the State's Commercial Nondiscrimination Policy as described under Title 19 of the State Finance and Procurement Article of the Annotated Code of Maryland.

B-1. Certification Regarding Minority Business Enterprises.

The undersigned bidder hereby certifies and agrees that it has fully complied with the State Minority Business Enterprise Law, State Finance and Procurement Article, §14-308(a)(2), Annotated Code of Maryland, which provides that, except as otherwise provided by law, a contractor may not identify a certified minority business enterprise in a bid or proposal and:

- (1) Fail to request, receive, or otherwise obtain authorization from the certified minority business enterprise to identify the certified minority proposal;
- (2) Fail to notify the certified minority business enterprise before execution of the contract of its inclusion in the bid or proposal;
- (3) Fail to use the certified minority business enterprise in the performance of the contract; or
- (4) Pay the certified minority business enterprise solely for the use of its name in the bid or proposal.

Without limiting any other provision of the solicitation on this project, it is understood that if the certification is false, such false certification constitutes grounds for the State to reject the bid submitted by the bidder on this project, and terminate any contract awarded based on the bid.

B-2. Certification Regarding Veteran-Owned Small Business Enterprises. The undersigned bidder hereby certifies and agrees that it has fully complied with the State veteran-owned small business enterprise law, State Finance and Procurement Article, §14-605, Annotated Code of Maryland, which provides that a person may not:

- (1) Knowingly and with intent to defraud, fraudulently obtain, attempt to obtain, or aid another person in fraudulently obtaining or attempting to obtain public money, procurement contracts, or funds expended under a procurement contract to which the person is not entitled under this title;
- (2) Knowingly and with intent to defraud, fraudulently represent participation of a veteran owned small business enterprise in order to obtain or retain a bid preference or a procurement contract;
- (3) Willfully and knowingly make or subscribe to any statement, declaration, or other document that is fraudulent or false as to any material matter, whether or not that falsity or fraud is committed with the knowledge or consent of the person authorized or required to present the declaration, statement, or document;
- (4) Willfully and knowingly aid, assist in, procure, counsel, or advise the preparation or presentation of a declaration, statement, or other document that is fraudulent or false as to any material matter, regardless of whether that falsity or fraud is committed with the knowledge or consent of the person authorized or required to present the declaration, statement, or document;
- (5) Willfully and knowingly fail to file any declaration or notice with the unit that is required by COMAR 21.11.12; or
- (6) Establish, knowingly aid in the establishment of, or exercise control over a business found to have violated a provision of §B-2(1)-(5) of this regulation.

C. AFFIRMATION REGARDING BRIBERY CONVICTIONS

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business (as is defined in Section 16-101(b) of the State Finance and Procurement Article of the Annotated Code of Maryland), or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities including obtaining or performing contracts with public bodies has been convicted of, or has had probation before judgment imposed pursuant to Criminal Procedure Article, §6-220, Annotated Code of Maryland, or has pleaded nolo contendere to a charge of, bribery, attempted bribery, or conspiracy to bribe in violation of Maryland law, or of the law of any other state or federal law, except as follows (indicate the reasons why the affirmation cannot be given and list any conviction, plea, or imposition of probation before judgment with the date, court, official or administrative body, the sentence or disposition, the name(s) of person(s) involved, and their current positions and responsibilities with the business):

D. AFFIRMATION REGARDING OTHER CONVICTIONS

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities including obtaining or performing contracts with public bodies, has:

(1) Been convicted under state or federal statute of:

(a) A criminal offense incident to obtaining, attempting to obtain, or performing a public or private contract; or

(b) Fraud, embezzlement, theft, forgery, falsification or destruction of records or receiving stolen property;

(2) Been convicted of any criminal violation of a state or federal antitrust statute;

(3) Been convicted under the provisions of Title 18 of the United States Code for violation of the Racketeer Influenced and Corrupt Organization Act, 18 U.S.C. §1961 et seq., or the Mail Fraud Act, 18 U.S.C. §1341 et seq., for acts in connection with the submission of bids or proposals for a public or private contract;

(4) Been convicted of a violation of the State Minority Business Enterprise Law, §14-308 of the State Finance and Procurement Article of the Annotated Code of Maryland;

(5) Been convicted of a violation of §11-205.1 of the State Finance and Procurement Article of the Annotated Code of Maryland;

(6) Been convicted of conspiracy to commit any act or omission that would constitute grounds for conviction or liability under any law or statute described in subsections (1)-(5) above;

(7) Been found civilly liable under a state or federal antitrust statute for acts or omissions in connection with the submission of bids or proposals for a public or private contract;

(8) Been found in a final adjudicated decision to have violated the Commercial Nondiscrimination Policy under Title 19 of the State Finance and Procurement Article of the Annotated Code of Maryland with regard to a public or private contract;

(9) Been convicted of a violation of one or more of the following provisions of the Internal Revenue Code:

(a) §7201, Attempt to Evade or Defeat Tax;

(b) §7203, Willful Failure to File Return, Supply Information, or Pay Tax,

(c) §7205, Fraudulent Withholding Exemption Certificate or Failure to Supply Information,

(d) §7206, Fraud and False Statements, or

(e) §7207 Fraudulent Returns, Statements, or Other Documents;

(10) Been convicted of a violation of 18 U.S.C. §286 Conspiracy to Defraud the Government with Respect to Claims, 18 U.S.C. §287, False, Fictitious, or Fraudulent Claims, or 18 U.S.C. §371, Conspiracy to Defraud the United States;

(11) Been convicted of a violation of the Tax-General Article, Title 13, Subtitle 7 or Subtitle 10, Annotated Code of Maryland;

(12) Been found to have willfully or knowingly violated State Prevailing Wage Laws as provided in the State Finance and Procurement Article, Title 17, Subtitle 2, Annotated Code of Maryland, if:

(a) A court:

(i) Made the finding; and

(ii) Decision became final; or

(b) The finding was:

(i) Made in a contested case under the Maryland Administrative Procedure Act; and

(ii) Not overturned on judicial review;

(13) Been found to have willfully or knowingly violated State Living Wage Laws as provided in the State Finance and Procurement Article, Title 18, Annotated Code of Maryland, if:

(a) A court:

(i) Made the finding; and

(ii) Decision became final; or

(b) The finding was:

(i) Made in a contested case under the Maryland Administrative Procedure Act; and

(ii) Not overturned on judicial review;

(14) Been found to have willfully or knowingly violated the Labor and Employment Article, Title 3, Subtitles 3, 4, or 5, or Title 5, Annotated Code of Maryland, if:

(a) A court:

(i) Made the finding; and

(ii) Decision became final; or

(b) The finding was:

(i) Made in a contested case under the Maryland Administrative Procedure Act; and

(ii) Not overturned on judicial review; or

(15) Admitted in writing or under oath, during the course of an official investigation or other proceedings, acts or omissions that would constitute grounds for conviction or liability under any law or statute described in §§B and C and subsections D(1)-(14) of this regulation, except as follows (indicate reasons why the affirmations cannot be given, and list any conviction, plea, or imposition of probation before judgment with the date, court, official or

administrative body, the sentence or disposition, the name(s) of the person(s) involved and their current positions and responsibilities with the business, and the status of any debarment):

E. AFFIRMATION REGARDING DEBARMENT

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, or any of its officers, directors, partners, controlling stockholders, or any of its employees directly involved in the business's contracting activities, including obtaining or performing contracts with public bodies, has ever been suspended or debarred (including being issued a limited denial of participation) by any public entity, except as follows (list each debarment or suspension providing the dates of the suspension or debarment, the name of the public entity and the status of the proceedings, the name(s) of the person(s) involved and their current positions and responsibilities with the business, the grounds of the debarment or suspension, and the details of each person's involvement in any activity that formed the grounds of the debarment or suspension).

F. AFFIRMATION REGARDING DEBARMENT OF RELATED ENTITIES

I FURTHER AFFIRM THAT:

(1) The business was not established and it does not operate in a manner designed to evade the application of or defeat the purpose of debarment pursuant to Sections 16-101, et seq., of the State Finance and Procurement Article of the Annotated Code of Maryland; and

(2) The business is not a successor, assignee, subsidiary, or affiliate of a suspended or debarred business, except as follows (you must indicate the reasons why the affirmations cannot be given without qualification):

G. SUB-CONTRACT AFFIRMATION

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business, has knowingly entered into a contract with a public body under which a person debarred or suspended under Title 16 of the State Finance and Procurement Article of the Annotated Code of Maryland will provide, directly or indirectly, supplies, services, architectural services, construction related services, leases of real property, or construction.

H. AFFIRMATION REGARDING COLLUSION

I FURTHER AFFIRM THAT:

Neither I, nor to the best of my knowledge, information, and belief, the above business has:

(1) Agreed, conspired, connived, or colluded to produce a deceptive show of competition in the compilation of the accompanying bid or offer that is being submitted;

(2) In any manner, directly or indirectly, entered into any agreement of any kind to fix the bid price or price proposal of the bidder or offeror or of any competitor, or otherwise taken any action in restraint of free competitive bidding in connection with the contract for which the accompanying bid or offer is submitted.

I. CERTIFICATION OF TAX PAYMENT

I FURTHER AFFIRM THAT: Except as validly contested, the business has paid, or has arranged for payment of, all taxes due the State of Maryland and has filed all required returns and reports with the Comptroller of the Treasury, the State Department of Assessments and Taxation, and the Maryland Department of Labor, as applicable, and will have paid all withholding taxes due the State of Maryland prior to final settlement.

J. CONTINGENT FEES

I FURTHER AFFIRM THAT:

The business has not employed or retained any person, partnership, corporation, or other entity, other than a bona fide employee, bona fide agent, bona fide salesperson, or commercial selling agency working for the business, to solicit or secure the Contract, and that the business has not paid or agreed to pay any person, partnership, corporation, or other entity, other than a bona fide employee, bona fide agent, bona fide salesperson, or commercial selling agency, any fee or any other consideration contingent on the making of the Contract.

K. CERTIFICATION REGARDING INVESTMENTS IN IRAN

(1) The undersigned certifies that, in accordance with State Finance and Procurement Article, §17-705, Annotated Code of Maryland:

(a) It is not identified on the list created by the Board of Public Works as a person engaging in investment activities in Iran as described in State Finance and Procurement Article, §17-702, Annotated Code of Maryland; and

(b) It is not engaging in investment activities in Iran as described in State Finance and Procurement Article, §17-702, Annotated Code of Maryland.

2. The undersigned is unable to make the above certification regarding its investment activities in Iran due to the following activities: _____

L. CONFLICT MINERALS ORIGINATED IN THE DEMOCRATIC REPUBLIC OF CONGO (FOR SUPPLIES AND SERVICES CONTRACTS)

I FURTHER AFFIRM THAT:

The business has complied with the provisions of State Finance and Procurement Article, §14-413, Annotated Code of Maryland governing proper disclosure of certain information regarding conflict minerals originating in the Democratic Republic of Congo or its neighboring countries as required by federal law.

M. I FURTHER AFFIRM THAT:

Any claims of environmental attributes made relating to a product or service included in the bid or proposal are consistent with the Federal Trade Commission's Guides for the Use of Environmental Marketing Claims as provided in 16 CFR §260, that apply to claims about the environmental attributes of a product, package, or service in connection with the marketing, offering for sale, or sale of such item or service.

N. ACKNOWLEDGEMENT

I ACKNOWLEDGE THAT this Affidavit is to be furnished to the Procurement Officer and may be distributed to units of: (1) the State of Maryland; (2) counties or other subdivisions of the State of Maryland; (3) other states; and (4) the federal government. I further acknowledge that this Affidavit is subject to applicable laws of the United States and the State of Maryland, both criminal and civil, and that nothing in this Affidavit or any contract resulting from the submission of this bid or proposal shall be construed to supersede, amend, modify or waive, on behalf of the State of Maryland, or any unit of the State of Maryland having jurisdiction, the exercise of any statutory right or remedy conferred by the Constitution and the laws of Maryland with respect to any misrepresentation made or any violation of the obligations, terms and covenants undertaken by the above business with respect to (1) this Affidavit, (2) the contract, and (3) other Affidavits comprising part of the contract.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

Date: _____

By: _____ (printed name of Authorized Representative and affiant)

_____ (signature of Authorized Representative and affiant)

APPENDIX B

SCHEDULE B University of Maryland, Baltimore SOLICITATION TERMS & CONDITIONS November 2006

Definitions:

- (a) "Vendor" or "Contractor" means a person, partnership, corporation or other entity submitting an offer in response to a University solicitation.
- (b) "Offer" means a quote submitted by a vendor.
- (c) "UMB" means the University of Maryland, Baltimore or any other component of the University System of Maryland (USM) on behalf of which this procurement is made by UMB.
- (d) "Contract" an agreement entered into by UMB for the acquisition of supplies, services, construction, architecture services and engineering services.

Terms:

1. Reservation of Rights. This solicitation creates no obligation on the part of UMB. This solicitation may be cancelled at any time prior to opening of offers. UMB reserves the right to increase or decrease the quantities of any materials, equipment, supplies or services described in the solicitation. Offers may be modified or withdrawn by written notice received prior to the time and date set for opening. UMB reserves the right to accept or reject any and all offers in whole or in part. The University reserves the right to make awards by item, groups of items, multiple awards, or on an all or none basis as best serves the interests of the University.
2. Pricing. The unit price shall be considered as the offer price, which will be evaluated by UMB. Separate unit prices shall be submitted for each item; extensions shall be indicated where applicable and total offer price shown when requested. Unless otherwise provided in the solicitation, offer prices are irrevocable for a period of 90 days following the date set for offer opening.
3. Specifications; Equivalents. All materials, equipment, supplies or services shall conform to applicable Federal and State laws and regulations and to the specifications contained in the solicitation. Any manufacturer's names, trades names, brand names, information and/or catalog numbers listed in a specification are for information and are not intended to limit competition. The vendor may offer any brand which meets or exceeds the specification for any item(s). If offers are based on equivalent products, the vendor shall indicate on the offer form the manufacturer's name and product number and shall submit with the offer cuts, sketches, and descriptive literature and/or complete specifications. Reference to literature submitted with a previous offer shall not satisfy this provision. The vendor shall also explain in detail the reason(s) why the proposed equivalent will meet the specifications and not be considered an exception thereto. UMB reserves the right to determine acceptance of any items proposed as equivalent. Offers which do not comply with these requirements are subject to rejection. Offers lacking any written indication of intent to offer an alternate brand shall be received and considered in complete compliance with the specifications as listed on the solicitation form.
4. Samples. UMB reserves the right to request and be furnished samples, at no expense to UMB, prior to or after the award, for the purpose of quality and specification evaluation. Samples shall be returned, upon request, at the vendor's expense. UMB does not guarantee that Samples returned will be in the same condition as when submitted.
5. Vendor's Terms and Conditions. The Purchase Order issued by UMB shall constitute the contract between the parties. A VENDOR'S PROPOSED TERMS ARE NOT PART OF THE CONTRACT UNLESS SPECIFICALLY ACCEPTED IN WRITING BY THE PROCUREMENT OFFICER. NO OTHER UNIVERSITY EMPLOYEE CAN ACCEPT OR EXECUTE A CONTRACT FORM OR ACCEPT A VENDOR'S TERMS. Any terms and conditions, including any form contracts, which the vendor proposes to use, shall be submitted (a) by the solicitation closing date in the case of a single step procurement or (b) by the closing date for technical offers, in the case of a multi-step procurement. If a vendor does not submit any proposed terms on a timely basis, its offer will be deemed an offer to contract on UMB's terms. If a vendor timely proposes any non-UMB terms or conditions, the vendor must indicate clearly in writing whether or not its offer is contingent upon the acceptance of any or all of the vendor's terms and conditions. UMB may reject any offer made contingent upon University acceptance of a vendor's terms and conditions.
6. Minority Business Enterprise Notice.
 - (a) Minority business enterprises are encouraged to respond to this solicitation
 - (b) Refer to the specifications of the solicitation for identification of Minority Business Enterprise "MBE" participation goal.

7. Public Information Act Notice. Offerors shall give specific attention to the identification of those portions of their responses that they deem to be confidential, proprietary information or trade secrets and shall provide justification why such materials, upon request, should not be disclosed by UMB under the Public Information Act, Part III, Title 4, General Provisions Article, Annotated Code of Maryland.
8. Arrearages. By submitting a response to this solicitation, a vendor shall be deemed to represent that it is not in arrears in the payment of any obligation due and owing the State of Maryland, including the payment of taxes and employee benefits, and that it shall not become so in arrears during the term of the contract if selected for contract award.
9. Bid/Proposal Affidavit. The attached bid/proposal affidavit shall be completed and submitted by the vendor with the bid or proposal. The terms and conditions of the affidavit will be incorporated into and made a part of any contract resulting from this solicitation.
10. Bid Security. Solicitations for construction contracts reasonably expected by the procurement officer to exceed \$100,000 shall require a bid security in an amount equal to at least 5% of the amount of the bid or price proposal. For all other non-construction contracts, refer to the specifications of this solicitation addressing bid security. Absence of any such reference shall mean that no bid security is required. Notwithstanding the above, notice of a bid security is required if a federal law or condition of federal assistance for the contract requires it.
11. Ethics. The Vendor is responsible to assure compliance with the Maryland Public Ethics Law, Title 5, General Provisions Article, Annotated Code of Maryland. The Public Ethics Law prohibits, under certain circumstances, (i) present and former officials and employees of State agencies, or (ii) businesses in which those persons or their close relations hold employment or economic interests, from submitting bids or proposals, negotiating for themselves or others, or entering into contracts with the State. In the event a violation of the Maryland Public Ethics Law occurs in connection with a Vendor's response to this solicitation or a resulting contract award to a Vendor, the University reserves the right to reject the proposal or declare an event of default of the contract awarded to the Vendor. Any Vendor with concerns about compliance with the Maryland Public Ethics Law is encouraged to contact the State Ethics Commission for more information.
12. Rights in Inventions. For the consideration payable under this Contract, Vendor agrees to report any invention arising out of the Work required by this Contract to UMB. UMB shall have sole right and authority to seek statutory patent protection under United States and foreign patent laws and to enjoy the benefits of ownership of the invention, whether or not the invention was required of the Vendor as part of the performance of Work. Vendor hereby assigns all right, title and interest in and to inventions made in the course of the Work to UMB and agrees to execute and deliver all documents and do any and all things necessary and proper to effect such assignment.
13. Copyrights. For the consideration payable under this Contract, the work product required by this Contract shall be considered a work made for hire within the meaning of that term under the copyright laws of the United States, applicable common law and corresponding laws of other countries. UMB shall have sole right and authority to seek statutory copyright protection and to enjoy the benefits of ownership of the work. The party performing the work hereby assigns all right, title and interest in and to the work to the UMB.
14. Acknowledgement of Addenda. If it becomes necessary to revise any part of the bid, addenda will be posted to the eBid Board website at www.procurement.umaryland.edu <<http://www.procurement.umaryland.edu>>. It is the responsibility of the Offerer to check the website frequently until the opening date for addendums, amendments, and changes. A written acknowledgement of the receipt of all amendments, addenda, and changes issued shall be required from all vendors submitting a bid.
15. Conflict of Interest. An individual or a person that employs an individual who assists the University in the made in response to an invitation for bids or request for proposals may not: (1) submit a bid or proposal for that procurement; or (2) assist or represent another person, directly or indirectly, who is submitting a bid or proposal for that procurement.
16. Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191. ("HIPAA") and Maryland Confidentiality of Medical Records Act (Annotated Code of Maryland, Health – General Article '4-301 et seq. ("the Act"). Contractor acknowledges that the University of Maryland Baltimore is a HIPAA hybrid covered entity. Contractor agrees that Contractor's access to and use of protected health information (as that term is defined by HIPAA), if any, under this Agreement will be conducted in accordance with the requirements of the Act and HIPAA, including the terms of a HIPAA Business Associate Agreement if so required by UMB. Such Business Associate Agreement is either attached hereto as Schedule H or Contractor shall promptly execute such Business Associate Agreement upon the University's request. Contractor shall also cause any subcontractor, agent, or party under Contractor's direction or control that is participating in this Agreement to promptly execute a standard HIPAA Business Associate Agreement if so requested by UMB.

APPENDIX C
Sample

SERVICE CONTRACT

Contract No.

This Contract is entered into between (hereinafter referred to as Contractor) and the University of Maryland, Baltimore (hereinafter referred to as the “University” or “UMB”).

1. SCOPE:

2. TERM:

3. COMPENSATION AND METHOD OF PAYMENT: As compensation for satisfactory performance of the work described herein, the University will pay the Contractor an amount not to exceed \$ _____ **unless agreed upon by both parties in writing.**

The Contractor’s Taxpayer Identification Number consisting of the Social Security Number for individuals and sole proprietors or the Federal Employer Identification Number for all other types of organization is: _____.

The Contractor shall be paid only for items or services that are specifically named in this contract. No additional costs for items or services will be paid by the University without its prior express written consent.

4. INVOICING: Invoices shall be rendered _____ to the satisfaction of the University’s designated representative and shall be payable as provided. The work shall be delivered free from all claims, liens, and charges whatsoever.

5. PAYMENT OF UNIVERSITY OBLIGATIONS: Payments to the Contractor pursuant to this contract shall be made no later than 30 days after the University’s receipt of a proper invoice from the Contractor. Charges for late payment of invoices, other than as prescribed by Title 15, Subtitle 1, of the State Finance and Procurement Article, Annotated Code of Maryland, or by Public Service Commission of Maryland with respect to regulated public utilities, as applicable are prohibited.

6. LIABILITY: All persons furnished by Contractor shall be considered solely its employees or agents and Contractor shall be responsible for payment of all unemployment, social security and other payroll taxes, including contributions from employees when required by law.

Contractor agrees to indemnify and save the University harmless from any claims or demands (including the costs, expenses, and reasonable attorney’s fees on account thereof) that may be made: (1) by anyone for injuries to persons or damage to property resulting from Contractor’s acts or omissions or those of persons furnished by Contractor or (2) by persons furnished by Contractor or Contractor’s subcontractors under Workmen’s Compensation or similar acts. Contractor also agrees to defend the University at its request, against any such claim or demand. The University agrees to notify Contractor promptly of any known written claims or demands against the University for which Contractor is responsible hereunder.

The University shall not assume any obligation to defend, indemnify, hold harmless, or pay Attorney's fees that may arise from or in any way be associated with the performance or operation of this agreement.

Contractor shall maintain, during the term thereof, Workmen's Compensation Insurance, Public Liability Insurance, and if the use of automobiles is required, Automobile Public Liability Insurance. Contractor shall also require its subcontractors, if any, who may enter upon University premises to maintain such insurance. Contractor and its subcontractors shall furnish the University, when requested, with copies of policies or other satisfactory proof of insurance.

7. COMPLIANCE WITH LAWS: The Contractor hereby represents and warrants that:

A. It is qualified to do business in the State of Maryland and that it will take such action as, from time to time hereafter, may be necessary to remain so qualified;

B. It is not in arrears with respect to the payment of any monies due and owing the State of Maryland, or any department or unit thereof, including but not limited to the payment of taxes and employee benefits, and that it shall not become so in arrears during the term of this Contract;

C. It shall comply with all Federal, State and local laws, regulations, and ordinances applicable to its activities and obligations under this Contract; and

D. It shall obtain, at its expense, all licenses, permits, insurance, and governmental approvals, if any, necessary to the performance of its obligations under this Contract.

8. UNIVERSITY WORK RULES: Employees and agents of Contractor and any subcontractors shall while on the premises of the University, comply with all University rules and regulations including, where required by Government Regulations, submission of satisfactory clearance from the U.S. Department of Defense or other Federal Authority concerned.

Contractor shall acquaint itself with conditions governing the delivery, receiving and storage of materials at the work site if applicable to this work, as not to interfere with University operations. Contractor shall not stop, delay or interfere with University work schedule without the prior approval of the University's specified representative. Contractor shall provide and maintain sufficient covering to protect stock and equipment from the action of its work, if applicable.

9. HARMONY: Contractor shall be entirely responsible for working in harmony with all others on the work site when Contractor is working on University premises.

10. WARRANTY: Contractor warrants that material and/or services furnished hereunder will be fit for the purposes intended and will be free from defects in material and workmanship where applicable.

11. MODIFICATIONS IN THE WORK: This Contract may be amended with the consent of both parties. Amendments may not change significantly the scope of the Contract.

12. NON-HIRING OF EMPLOYEES: No official or employee of the State of Maryland, as defined under State Government Article, SS 15-102, Annotated Code of Maryland, whose duties as such official or employee include matter relating to or affecting the subject matter of this contract, shall, during the

pendancy or term of this contract and while serving as an official or employee of the State become or be an employee of the contractor or any entity that is a subcontractor on this contract.

13. DISPUTES: This contract shall be subject to the USM Procurement Policies and Procedures pending resolution of a claim, the Contractor shall proceed diligently with the performance of the contract in accordance with the Procurement Officer's decision.

14. MARYLAND LAW PREVAILS: The laws of the State of Maryland shall govern the interpretation and enforcement of this Contract.

15. NON-DISCRIMINATION IN EMPLOYMENT: the Contractor agrees: (a) not to discriminate in any manner against an employee or applicant for employment because of race, color, religion, creed, age, sex, marital status, national origin, ancestry, or disability of a qualified individual with a disability; (b) to include a provision similar to that contained in subsection (a), above, in any subcontract except a subcontract for standard commercial supplies or raw materials; and (c) to post and to cause subcontractors to post in conspicuous places available to employees and applicants for employment, notices setting forth the substance of this clause.

16. SUSPENSION OF WORK: The Procurement Officer unilaterally may order the Contractor in writing to suspend, delay or interrupt all or any part of the work for such period of time as he may determine to be appropriate for the convenience of the University.

17. PRE-EXISTING REGULATIONS: In accordance with the provisions of Section 11-206 of the State Finance and Procurement Article, Annotated Code of Maryland, the regulations set forth in USM Procurement Policies and Procedures in effect on the date of execution of this contract are applicable to this contract.

18. DELAYS AND EXTENSIONS OF TIME: The Contractor agrees to perform the work continuously and diligently and no charges or claims for damages shall be made by it for any delays or hindrances from any cause whatsoever, during the progress of any portion of the work specified in this contract.

Time extensions will be granted only for excusable delays that arise from unforeseeable causes beyond the control and without the fault or negligence of the Contractor, including but not restricted to, acts of God, acts of the public enemy, acts of the State of Maryland in either its sovereign or contractual capacity, acts of another contractor in the performance of a contract with the State, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, or delays of subcontractors or suppliers arising from unforeseeable causes beyond the control and without the fault or negligence of either the Contractor or the subcontractors or suppliers.

19. COST AND PRICE CERTIFICATION:

A. The Contractor by submitting cost or price information certifies that, to the best of its knowledge, the information submitted is accurate, complete, and current as of a mutually determined specified date prior to the conclusion of any price discussions or negotiations for:

(1) A negotiated contract, if the total contract price is expected to exceed \$100,000, or a smaller amount set by the Procurement Officer; or (2) a change order or contract modification expected to exceed \$100,000, or smaller amount set by the Procurement Officer.

B. The price under this contract and any change order or modification hereunder, including profit fee, shall be adjusted to exclude any significant price increases occurring because the Contractor furnished cost or price information which, as of the date agreed upon between the parties, was inaccurate, incomplete, or not current.

20. TERMINATION FOR DEFAULT: If the Contractor fails to fulfill its obligations under this contract properly and on time, or otherwise violates any provision of the contract, the University may terminate the contract by written notice to the Contractor. The notice shall specify the acts of omissions relied on as cause for termination. All finished or unfinished supplies and services provided by the Contractor, shall at the University's option, become the University's property. The University shall pay the Contractor fair and equitable compensation for satisfactory performance prior to receipt of notice of termination, less the amount of damages caused by Contractor's breach. If the damages are more than the compensation payable to the Contractor, the Contractor will remain liable after termination and the State can affirmatively collect damages. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of USM Procurement Policies and Procedures.

21. TERMINATION FOR CONVENIENCE: The performance of work under this contract may be terminated by the University in accordance with this clause in whole, or from time to time in part, whenever the University shall determine that such termination is in the best interest of the University. The University will pay all reasonable costs associated with this contract that the Contractor has incurred up to the date of termination and all reasonable costs associated with termination of the Contract. However, the Contractor shall not be reimbursed for any anticipatory profits which have not been earned up to the date of termination. Termination hereunder, including the determination of the rights and obligations of the parties, shall be governed by the provisions of the USM Procurement Policies and Procedures.

22. FINANCIAL DISCLOSURE: The Contractor shall comply with the provisions of Section 13-221 of the State Finance and Procurement Article of the Annotated Code of Maryland, which requires that every business that enters into contracts, leases or other agreements with the State of Maryland or its agencies during a calendar year under which the business is to receive in the aggregate \$200,000 or more, shall within 30 days of the time when the aggregate value of these contracts, leases or other agreements reaches \$200,000, file with the Secretary of the State of Maryland certain specified information to include disclosure of beneficial ownership of the businesses.

23. POLITICAL CONTRIBUTION DISCLOSURE: Contractor shall comply with, and require its officers, directors, and partners to comply with, the provisions of Election Law Article, Annotated Code of Maryland, Section 14-101*et seq.* which requires that every person doing public business (as there defined), and every individual whose contributions are attributable to the person entering into such an agreement, during a calendar year in which the person receives cumulative consideration of \$200,000 or more from public business, shall file with the State Administrative Board of Election Laws a statement disclosing contributions in excess of \$500 made during the reporting period to a candidate for elective office in any primary or general election. The statement shall be filed with the State Administrative Board of Election Laws: (1) before a purchase or execution of a lease or contract by the State, a county, an incorporated municipality, or their agencies, and shall cover the preceding two calendar years; and (2) if the contribution is made after the execution of a lease or contract, then twice a year, throughout the lease or contract term on (a) February 5, to cover the 6-month period ending January 31; and (b) August 5, to cover the 6-month period ending July 31.

24. CONTINGENT FEE PROHIBITION: The Contractor, architect, or engineer (as applicable) warrants that it has not employed or retained any person, partnership, corporation, or other entity, other than a bona fide employee or agent working for the Contractor, architect or engineer, to solicit or secure this agreement, and that it has not paid or agreed to pay any person, partnership, corporation, or other entity, other than a bona fide employee or agent, any fee or any other consideration contingent on the making of this agreement.

25. RETENTION OF RECORDS: The Contractor shall retain and maintain all records and documents relating to this Contract for three years after final payment by the University hereunder or any applicable statute of limitations, whichever is longer, and shall make them available for inspection and audit by authorized representatives of the University, including the Procurement Officer or designee, at all reasonable times.

26. MULTI-YEAR CONTRACTS CONTINGENT UPON APPROPRIATIONS: If the General Assembly fails to appropriate funds or if funds are not otherwise made available for continued performance for any fiscal period of this Contract succeeding the first fiscal period, this Contract shall be cancelled automatically as of the beginning of the fiscal year for which funds were not appropriated or otherwise made available; provided, however, that this will not affect either the University's rights or the Contractor's rights under any termination clause in this Contract. The effect of termination of the Contract hereunder will be to discharge both the Contractor and the University from future performance of the Contract, but not from their rights and obligations existing at the time of termination. The Contractor shall be reimbursed for the reasonable value of any nonrecurring costs incurred but not amortized in the price of the Contract. The University shall notify the Contractor as soon as it has knowledge that funds may not be available for the continuation of this Contract for each succeeding fiscal period beyond the first.

27. LIQUIDATED DAMAGES: Time is an essential element of the Contract and it is important that the work be vigorously prosecuted until completion. For each day that any work shall remain uncompleted beyond the time(s) specified elsewhere in the contract, the Contractor shall be liable for liquidated damages in the amount(s) provided for in the solicitation, provided, however, that the due account shall be taken of any adjustment of the specified completion time(s) for completion of work as granted by approved change orders.

28. VARIATIONS IN ESTIMATED QUANTITIES: Where the quantity of a pay item in this Contract is an estimated quantity and where the actual quantity of such pay item varies more than twenty-five percent (25%) above or below the estimated quantity stated in this Contract, an equitable adjustment in the Contract price shall be made upon demand of either party. The equitable adjustment shall be based upon any increase or decrease in costs due solely to the variation above one hundred twenty-five percent (125%) or below seventy-five percent (75%) of the estimated quantity. If the quantity variation is such as to cause an increase in the time necessary for completion, the Procurement Officer shall, upon receipt of a written request for an extension of time within ten (10) days from the beginning of the delay, or within a further period of time which may be granted by the Procurement Officer before the final settlement of the Contract, ascertain the facts and make adjustment for extending the completion date as in his judgment the findings justify.

29. TRUTH-IN-NEGOTIATION CERTIFICATION: (Mandatory for architectural services or engineering services contracts over \$100,000.) The Contractor by submitting cost or price information, including wage rates or other factual unit costs, certifies to the best of its knowledge, information and belief, that:

- A. The wage rates and other factual unit cost supporting the firm's compensation, as set forth in the proposal, are accurate, complete and current as of the contract date;
- B. If any of the items of compensation were increased due to the furnishing of inaccurate, incomplete or non-current wages or other units of cost, the State is entitled to an adjustment in all appropriate items of compensation, including profit or fee, to exclude any significant sum by which the price was increased because of the defective data. The University's right to adjustment includes the right to a price adjustment for defects in costs or pricing data submitted by a prospective or actual subcontractor; and
- C. If additions are made to the original price of the Contract, such additions may be adjusted to include any significant sums where it is determined the price has been increased due to inaccurate, incomplete or non-current wage rates and other factual costs.

30. ETHICS: The vendor is responsible to assure compliance with the Maryland Public Ethics Law, Title 5, General Provisions Article, Annotated Code of Maryland. In the event a violation of the Ethics Law occurs in connection with the Vendor's response of this solicitation or a resulting contract award to the vendor, the University reserves the right to (1) reject the Vendor's bid or proposal or (2) declare an event of default under the contract.

31. RIGHTS IN INVENTIONS: For the consideration payable under this Contract, Contractor agrees to report any invention arising out of the Work required by this Contract to University of Maryland, Baltimore. University of Maryland, Baltimore shall have sole right and authority to seek statutory patent protection under United States and foreign patent laws and to enjoy the benefits of ownership of the invention, whether or not the invention was required of the Vendor as part of the performance of Work. Contractor hereby assigns all right, title and interest in and to inventions made in the course of the Work to University of Maryland, Baltimore and agrees to execute and deliver all documents and do any and all things necessary and proper to effect such assignment.

32. COPYRIGHTS: For the consideration payable under this Contract, the work product required by this Contract shall be considered a work made for hire within the meaning of that term under the copyright laws of the United States, applicable common law and corresponding laws of other countries. University of Maryland, Baltimore shall have sole right and authority to seek statutory copyright protection and to enjoy the benefits of ownership of the work. The party performing the work hereby assigns all right, title and interest in and to the work to the University of Maryland, Baltimore.

33. CONTRACT AFFIDAVIT: The attached Contract Affidavit must be executed by an authorized representative of the Contractor and is incorporated by reference into this Contract.

34. SPECIFICATIONS: All materials, equipment, supplies or services shall conform to federal and State laws and regulations and to the specifications contained in the solicitation.

35. TAX EXEMPTION: UMB is generally exempt from federal excise taxes, Maryland sales and use taxes, District of Columbia sales taxes, and transportation taxes. Exemption certificates shall be completed upon request. Where a contractor is required to furnish and install material in the construction or improvement of real property in performance of a contract, the Contractor shall pay the Maryland Sales Tax and the exemption does not apply.

36. ANTI-BRIBERY: The Contractor warrants that neither it nor any of its officers, directors or partners, nor any employees who are directly involved in obtaining or performing contracts with any public body has been convicted of bribery, attempted bribery, or conspiracy to bribe, under the laws of any state or of

the federal government or has engaged in conduct since July 1, 1997, which would constitute bribery, attempted bribery or conspiracy to bribe under the laws of any state or the federal government.

37. EPA: Materials, supplies, equipment, or services shall comply in all respects with the Federal Noise Control Act of 1972, where applicable.

38. OSHA; MSDS: All materials, supplies, equipment, or services supplied as a result of this Contract shall comply with the applicable U.S. and Maryland Occupational Safety and Health Act Standards. Pursuant to 29 CFR part 1910, where applicable, an MSDS for the products supplied or used in carrying out this Contract must be sent to:

University of Maryland, Baltimore
Assoc. Director for EHS
714 West Lombard Street
Baltimore, MD 21201-1010

39. INTELLECTUAL PROPERTY: Contractor agrees to defend upon request and indemnify and save harmless UMB, its officers, agents and employees with respect to any claim, action, cost or judgment for patent infringement, or trademark or copyright violation arising out of purchase or use of materials, supplies, equipment or services covered by this Contract.

40. DRUG AND ALCOHOL FREE WORKPLACE: The Contractor warrants that the Contractor shall comply with COMAR 21.11.08 Drug and Alcohol Free Workplace, and that the Contractor shall remain in compliance throughout the term of this Contract.

41. MANDATED CONTRACTOR REPORTING OF SUSPECTED CHILD ABUSE & NEGLECT: The University of Maryland, Baltimore (UMB) and the University System of Maryland (USM) are committed to protecting the safety and welfare of children who come into contact with the UMB community. Maryland law contains mandatory reporting requirements for all individuals who suspect child abuse or neglect. *See* Maryland Code Annotated, Family Law Article, Sections 5-701 through 5-708. A copy of the above-referenced USM/UMB Policy and Procedures are available at: http://www.umaryland.edu/offices/accountability/child_abuse/
The Policy and Procedures are incorporated herein.

Contractors performing work on campus also must comply with USM Board of Regents (BOR) VI-1.50 – *Policy on the Reporting of Suspected Child Abuse and Neglect*, as well as the UMB *Procedures for Reporting Suspected Child Abuse and Neglect*. Specifically, contractors performing work on campus must report suspected child abuse or neglect orally or in writing to: (a) the local department of social services or law enforcement agency; and (b) the University President’s Designee (i.e. the UMB Chief Accountability Officer), if the suspected child abuse or neglect: (i) took place in UMB facilities or on UMB property; (ii) was committed by a current or former employee or volunteer of the USM; (iii) occurred in connection with a UMB sponsored, recognized or approved program, visit, activity, or camp, regardless of location; or (iv) took place while the victim was a registered student at UMB.

UMB reserves the right to terminate this contract if Contractor fails to comply with the above-referenced policy or procedures, or if, in the judgment of UMB, termination is necessary to protect the safety and welfare of children who come into contact with the UMB community.

42. ENTIRE CONTRACT: This Contract represents, in its entirety, the mutual understanding of the parties. This Contract supersedes any and all prior understandings and agreements, either written or oral, between the Agency and Contractor. No subsequent agreements or modifications hereof, whether expressed or implied, shall bind the parties unless the same be in writing and signed by the parties.

Contract No.

AGREED TO:

AGREED TO:

University of Maryland, Baltimore

(Company)

Signature

Signature

Title

Title

Date

Date

CONTRACT AFFIDAVIT

A. AUTHORITY

I HEREBY AFFIRM THAT:

I, (print name) _____ possess the legal authority to make this Affidavit.

B. CERTIFICATION OF REGISTRATION OR QUALIFICATION WITH THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION

I FURTHER AFFIRM THAT:

The business named above is a (check applicable items):

- (1) Corporation - ____ domestic or ____ foreign;
- (2) Limited Liability Company - ____ domestic or ____ foreign;
- (3) Partnership - ____ domestic or ____ foreign;
- (4) Statutory Trust - ____ domestic or ____ foreign;
- (5) ____ Sole Proprietorship

and is registered or qualified as required under Maryland Law.

I further affirm that the above business is in good standing both in Maryland and (IF APPLICABLE) in the jurisdiction where it is presently organized, and has filed all of its annual reports, together with filing fees, with the Maryland State Department of Assessments and Taxation. The name and address of its resident agent (IF APPLICABLE) filed with the State Department of Assessments and Taxation is:

Name and Department ID

Number: _____

Address: _____

and that if it does business under a trade name, it has filed a certificate with the State Department of Assessments and Taxation that correctly identifies that true name and address of the principal or owner as:

Name and Department ID Number: _____

Address: _____.

C. FINANCIAL DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, the provisions of State Finance and Procurement Article, §13-221, Annotated Code of Maryland, which require the business to file with the Secretary of State of Maryland

certain specified information, including disclosure of beneficial ownership of the business, within 30 days of the date the aggregate value of any contracts, leases, or other agreements that the business enters into with the State of Maryland or its agencies during a calendar year reaches \$200,000.

D. POLITICAL CONTRIBUTION DISCLOSURE AFFIRMATION

I FURTHER AFFIRM THAT:

I am aware of, and the above business will comply with, Election Law Article, Title 14, Annotated Code of Maryland, which requires that every person that enters into a procurement contract with the State, a county, a municipal corporation, or other political subdivision of the State, during a calendar year in which the person receives a contract with a governmental entity in the amount of \$200,000 or more shall file with the State Board of Elections statements disclosing: (a) any contributions made during the reporting period to a candidate for elective office in any primary or general election; and (b) the name of each candidate to whom one or more contributions in a cumulative amount of \$500 or more were made during the reporting period. The statement shall be filed with the State Board of Elections: (a) before execution of a contract by the State, a county, a municipal corporation, or other political subdivision of the State, and shall cover the 24 months prior to when a contract was awarded; and (b) if the contribution is made after the execution of a contract, then twice a year, throughout the contract term, on: (i) May 31, to cover the six (6) month period ending April 30; and (ii) November 30, to cover the six (6) month period ending October 31.

E. DRUG AND ALCOHOL FREE WORKPLACE

(Applicable to all contracts unless the contract is for a law enforcement agency and the agency head or the agency head's designee has determined that application of COMAR 21.11.08 and this certification would be inappropriate in connection with the law enforcement agency's undercover operations.)

I CERTIFY THAT:

- (1) Terms defined in COMAR 21.11.08 shall have the same meanings when used in this certification.
- (2) By submission of its bid or offer, the business, if other than an individual, certifies and agrees that, with respect to its employees to be employed under a contract resulting from this solicitation, the business shall:
 - (a) Maintain a workplace free of drug and alcohol abuse during the term of the contract;
 - (b) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of drugs, and the abuse of drugs or alcohol is prohibited in the business' workplace and specifying the actions that will be taken against employees for violation of these prohibitions;
 - (c) Prohibit its employees from working under the influence of drugs or alcohol;
 - (d) Not hire or assign to work on the contract anyone who the business knows, or in the exercise of due diligence should know, currently abuses drugs or alcohol and is not actively engaged in a bona fide drug or alcohol abuse assistance or rehabilitation program;
 - (e) Promptly inform the appropriate law enforcement agency of every drug-related crime that occurs in its workplace if the business has observed the violation or otherwise has reliable information that a violation has occurred;
 - (f) Establish drug and alcohol abuse awareness programs to inform its employees about:

- (i) The dangers of drug and alcohol abuse in the workplace;
 - (ii) The business's policy of maintaining a drug and alcohol free workplace;
 - (iii) Any available drug and alcohol counseling, rehabilitation, and employee assistance programs; and
 - (iv) The penalties that may be imposed upon employees who abuse drugs and alcohol in the workplace;
- (g) Provide all employees engaged in the performance of the contract with a copy of the statement required by §E(2)(b), of this regulation;
- (h) Notify its employees in the statement required by §E(2)(b), of this regulation, that as a condition of continued employment on the contract, the employee shall:
- (i) Abide by the terms of the statement; and
 - (ii) Notify the employer of any criminal drug or alcohol abuse conviction for an offense occurring in the workplace not later than 5 days after a conviction;
- (i) Notify the procurement officer within 10 days after receiving notice under §E(2)(h)(ii), of this regulation, or otherwise receiving actual notice of a conviction;
- (j) Within 30 days after receiving notice under §E(2)(h)(ii), of this regulation, or otherwise receiving actual notice of a conviction, impose either of the following sanctions or remedial measures on any employee who is convicted of a drug or alcohol abuse offense occurring in the workplace:
- (i) Take appropriate personnel action against an employee, up to and including termination; or
 - (ii) Require an employee to satisfactorily participate in a bona fide drug or alcohol abuse assistance or rehabilitation program; and
- (k) Make a good faith effort to maintain a drug and alcohol free workplace through implementation of §E(2)(a)-(j), of this regulation.
- (3) If the business is an individual, the individual shall certify and agree as set forth in §E(4), of this regulation, that the individual shall not engage in the unlawful manufacture, distribution, dispensing, possession, or use of drugs or the abuse of drugs or alcohol in the performance of the contract.
- (4) I acknowledge and agree that:
- (a) The award of the contract is conditional upon compliance with COMAR 21.11.08 and this certification;
 - (b) The violation of the provisions of COMAR 21.11.08 or this certification shall be cause to suspend payments under, or terminate the contract for default under COMAR 21.07.01.11 or 21.07.03.15, as applicable; and
 - (c) The violation of the provisions of COMAR 21.11.08 or this certification in connection with the contract may, in the exercise of the discretion of the Board of Public Works, result in suspension and debarment of the business under COMAR 21.08.03.

F. CERTAIN AFFIRMATIONS VALID

I FURTHER AFFIRM THAT:

To the best of my knowledge, information, and belief, each of the affirmations, certifications, or acknowledgements contained in that certain Bid/Proposal Affidavit dated _____, 20____, and executed by me for the purpose of obtaining the contract to which this Exhibit is attached remains true and correct in all respects as if made as of the date of this Contract Affidavit and as if fully set forth herein.

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THIS AFFIDAVIT ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, INFORMATION, AND BELIEF.

Date: _____

By: _____ (printed name of Authorized Representative and affiant)

_____ (signature of Authorized Representative and affiant)

(Revised July, 2020)

APPENDIX D

(Page 1 of 3)

**EXAMPLES OF EXPERIENCE OF MOST RECENT WORK
AND REFERENCE FORM**

Please complete this form and include with proposal.

OFFEROR: _____

The Proposer must complete this form for **three (3)** most recently completed contracts of similar scope and complexity of this RFP. Contracts may be from both private and public sector clients.

Company/Institution Name: _____

Company Address: _____

City: _____ State: _____ Zip Code: _____

Contact Name: _____

Contact Email Address: _____

Contact Phone Number: _____ Contact Fax Number: _____

Contract Location (s): _____

Contract Type: _____

Contract Dollar Value: _____

***Description of Services Performed:**

***Add additional sheet(s) if needed.**

**EXAMPLES OF EXPERIENCE OF MOST RECENT WORK
AND REFERENCE FORM**

Please complete this form and include with proposal.

OFFEROR: _____

The Proposer must complete this form for **three (3)** most recently completed contracts of similar scope and complexity of this RFP. Contracts may be from both private and public sector clients.

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Company Address: _____

City: _____ State: _____ Zip Code: _____

Contact Name: _____

Contact Email Address: _____

Contact Phone Number: _____ Contact Fax Number: _____

Contract Location (s): _____

Contract Type: _____

Contract Dollar Value: _____

*Description of Services Performed:

*Add additional sheet(s) if needed.

EXAMPLES OF EXPERIENCE OF MOST RECENT WORK AND REFERENCE FORM

Please complete this form and include with proposal.

The Proposer must complete this form for **three (3)** most recently completed contracts of similar scope and complexity of this RFP. Contracts may be from both private and public sector clients.

Company/Institution Name: _____

Company Address: _____

City: _____ State: _____ Zip Code: _____

Contact Name: _____

Contact Email Address: _____

Contact Phone Number: _____ Contact Fax Number: _____

Contract Location (s): _____

Contract Type: _____

Contract Dollar Value: _____

*Description of Services Performed:

[illegible]

*Add additional sheet(s) if needed.

APPENDIX E

Please complete this form and include with proposal.

CERTIFICATION REGARDING INVESTMENT ACTIVITIES IN IRAN

Ref: Maryland Board of Public Works Advisory Number 2013-1

1. The undersigned certifies that, in accordance with State Finance & procurement Article §17-705:

(i) it is not identified on the list created by the Board of Public Works as a person engaging in investment activities in Iran as described in §17-702 of State Finance & Procurement Article; and

(ii) it is not engaging in investment activities in Iran as described in State Finance & Procurement Article §17-702.

Or;

2. The undersigned is unable to make the above certification regarding its investment activities in Iran due to the following activities:

Company Name

Signature

Title

Print

Date

Note: List is available at: <http://bpw.maryland.gov>
Click on "Debarments"

APPENDIX F

Please complete this form and include with proposal.

ACKNOWLEDGEMENT OF RECEIPT OF ADDENDA

TECHNICAL PROPOSAL DUE DATE: _____

NAME OF PROPOSER _____

The undersigned, hereby acknowledges the receipt of the following addenda:

Addendum No. _____ Dated _____

Addendum No. _____ Dated _____

Addendum No. _____ Dated _____

Addendum No. _____ Dated _____

As stated in the solicitation documents, this form is included in our Technical Proposal.

Signature

Printed Name

Title

Date

**MANDATED CONTRACTOR REPORTING OF
SUSPECTED CHILD ABUSE & NEGLECT**

The University of Maryland, Baltimore (UMB) and the University System of Maryland (USM) are committed to protecting the safety and welfare of children who come into contact with the UMB community. Maryland law contains mandatory reporting requirements for all individuals who suspect child abuse or neglect. *See* Maryland Code Annotated, Family Law Article, Sections 5-701 through 5-708. A copy of the above-referenced USM/UMB Policy and Procedures are available at: <http://www.umaryland.edu/oac/report-a-concern/report-suspected-child-abuse-or-neglect/> The Policy and Procedures are incorporated herein.

Contractors performing work on campus also must comply with USM Board of Regents (BOR) VI-1.50 – *Policy on the Reporting of Suspected Child Abuse and Neglect*, as well as the UMB *Procedures for Reporting Suspected Child Abuse and Neglect*. Specifically, contractors performing work on campus must report suspected child abuse or neglect orally or in writing to: (a) the local department of social services or law enforcement agency; and (b) the University President’s Designee (i.e. the UMB Chief Accountability Officer), if the suspected child abuse or neglect: (i) took place in UMB facilities or on UMB property; (ii) was committed by a current or former employee or volunteer of the USM; (iii) occurred in connection with a UMB sponsored, recognized or approved program, visit, activity, or camp, regardless of location; or (iv) took place while the victim was a registered student at UMB.

UMB reserves the right to terminate this contract if Contractor fails to comply with the above-referenced policy or procedures, or if, in the judgment of UMB, termination is necessary to protect the safety and welfare of children who come into contact with the UMB community.

APPENDIX H

PRE-PROPOSAL CONFERENCE WEBEX CALL-IN INFORMATION

[Join Webex meeting](#)

Meeting number (access code): 1209 33 5160 Meeting password: CFjFVpR6Z86

Join from a video system or application

Dial 1209335160@umaryland.webex.com

You can also dial 173.243.2.68 and enter your meeting number. **Tap to join from a mobile device (attendees only)**

[+1-202-860-2110,,1209335160##](#) United States Toll (Washington D.C.)

[+1-415-655-0001,,1209335160##](#) US Toll

Join by phone

[+1-202-860-2110](#) United States Toll (Washington D.C.)

[+1-415-655-0001](#) US Toll

[Global call-in numbers](#)

The Pre-Proposal Conference will be recorded. At this time, all participants are required to type their full name, company name, email address, and phone number in the chat section of the meeting.

APPENDIX I

CONTRACT REQUIREMENT FOR CONDUCTING BUSINESS DURING COVID-19

All contractors on UMB property must follow the guidelines on the UMB Recovery – Novel Coronavirus (COVID 19) website at this address: <https://www.umaryland.edu/coronavirus/content/campus-operations/covid-19-visitors-guidance.php>.

ATTACHMENT J

SURVEY QUESTIONNAIRE

INTRODUCTION

Hello, my name is _____ and I am calling from University of Maryland Baltimore. We're not selling anything; we are conducting a survey in the State of Maryland about people's views on gambling.

In order to interview the right person, I need to speak with the member of your household, 18 years or older, who has had the most recent birthday. Would that be you?

IF NO, ASK TO SPEAK TO THAT PERSON. [REPEAT INTRO W/ NEW PERSON]
IF NOT AVAILABLE, ARRANGE CALLBACK.

Your household was randomly selected from all working telephone numbers in Maryland. We are asking citizens over 18 years of age to participate in an important research study to find out people's views on gambling. The study consists of a telephone interview that should take about 20-25 minutes. The survey will be anonymous and confidential. The only risk associated with the study is potential discomfort answering some questions that might be considered sensitive. If I come to questions that you prefer not to answer, please just say so and I will move on to the next question. You will not receive any direct benefit from the study but you will help us to gain a better understanding of gambling in the state. If you have any questions at the research, you can contact the principal investigator, Dr. Kate Tracy, at 410.706.1205. If you have any questions about your rights as a research subject you can contact the University of Maryland Baltimore Human Research Protections Office at 410-706-5037. Your participation is voluntary. You will not lose any benefits to which you may be entitled if you refuse to participate. You may discontinue your participation at any time.

Because your household was selected scientifically to represent thousands of households like yours, your participation is very important to us.

May we begin?

*The interviewer documents on this survey: (1) that the script was read; (2) the individual was offered the opportunity to ask questions; and (3) the individual agreed or declined to participate in the study.

_____ Surveyor initials

May we begin?

Yes GO TO INTRO
No THANK AND END

IVLANG *INTERVIEWER CODE WHICH LANGUAGE INTERVIEW WILL BE CONDUCTED IN.*

1. ENGLISH
2. SPANISH

SECTION A: GAMBLING INVOLVEMENT

SKIP RULES: ASK ALL RESPONDENTS *Lifetime Participation (A1, A2, A3, A4, A5, A6, A7, A8, A9, A10).* IF RESPONDENT DOES NOT ACKNOWLEDGE ANY GAMBLING, GO TO CHECKPOINT A.

IF RESPONDENT ACKNOWLEDGES ANY Lifetime Participation, ASK Past Year Participation (A1A, A2A, A3A, A4A, A5A, A6A, A7A, A8A, A9A, A10A) AND FOLLOW-UP QUESTIONS FOR EACH TYPE OF GAMBLING ACKNOWLEDGED.

First, I need to confirm that you are 18 years old or older?

- 1. Yes, am 18 or older
- 2. No, am not (Not Qualified- Survey ends)
- 9. Refused (Not Qualified- Survey ends)

A1. Have you ever gambled at a **casino**? (READ IF NECESSARY: A casino is a large gambling hall with many different kinds of games, for example, in a resort hotel or in a gambling hall on a riverboat or cruise ship.)

- 1 Yes GO TO A1A
- 2 No GO TO A2
- 8 DON'T KNOW GO TO A2
- 9 REFUSED GO TO A2

A1A. About how often did you gamble at a **casino** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Not at all in the past 12 months (0 times) GO TO A2
- 8 DON'T KNOW GO TO A2
- 9 REFUSED GO TO A2

A1B. (ASK IF A1A = 1-5) When you gamble at a **casino**, what game do you usually play? (DO NOT READ LIST)

- 1 Blackjack
- 2 Table poker (not video poker at machines)
- 3 Video poker
- 4 Slot machines (spinning reel-based machines)
- 5 Keno
- 6 Sports
- 7 Horse or dog race betting
- 8 Bingo
- 9 Pull-tabs
- 10 Other [SPECIFY]
- 98 DON'T KNOW
- 99 REFUSED

A1C. Now please think about the last time, the most recent day, when you bet money at a casino. In what state was the casino located?
DO NOT READ LIST

- 1 New Jersey
- 2 Pennsylvania
- 3 Delaware
- 4 West Virginia
- 5 Connecticut
- 6 Mississippi
- 7 Nevada [Las Vegas, Reno]
- 8 Cruise ship

- 9 Another location [SPECIFY]
- 10 DON'T KNOW
- 11 REFUSED

A1D. Roughly how much money do you spend on casino gambling in a typical month?

ENTER AMOUNT

A2. Have you ever gambled on **a gaming machine outside of a casino** (*READ IF NECESSARY: such as a slot machine, or video poker or keno at a club, bar, convenience store, race track or other location*)? (*INCLUDE VIDEO LOTTERY TERMINALS, OTHER GAMES WHERE ONE PLAYS AGAINST THE MACHINE. DON'T INCLUDE INTERNET GAMBLING, PULLTABS OR GAMES WHERE R ONLY MADE SIDE BETS ON THE OUTCOME OF GAME WITH AN ACQUAINTANCE*)

- 1 Yes GO TO A2A
- 2 No GO TO A3
- 8 DON'T KNOW GO TO A3
- 9 REFUSED GO TO A3

A2A. About how often did you gamble on **a gaming machine outside of a casino** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never GO TO A3
- 8 DON'T KNOW GO TO A3
- 9 REFUSED GO TO A3

A2B. (*ASK IF A2A = 1-5*) When you gamble on **a gaming machine outside of a casino**, where do you usually play? (*DO NOT READ LIST*)

- 1 Race track
- 2 Bar or tavern
- 3 Convenience store
- 4 Restaurant or lounge
- 5 Grocery or convenience store
- 6 Private club or social/fraternal organization
- 7 Truck stop
- 8 Bingo hall
- 9 Pool hall or billiard parlor
- 10 Or somewhere else [SPECIFY]
- 98 DON'T KNOW
- 99 REFUSED

A2C. (*ASK IF A2B = 1*) Now please think about the last time, the most recent day, when you gambled on a gaming machine outside of a casino. In what state was that venue located?
DO NOT READ LIST

- 1 New Jersey
- 2 Pennsylvania or Delaware or West Virginia
- 3 Connecticut

- | | | |
|---|------------------|-----------|
| 4 | Mississippi | |
| 5 | Nevada | |
| 6 | Cruise ship | |
| 7 | Another location | [SPECIFY] |
| 8 | DON'T KNOW | |
| 9 | REFUSED | |

A2D. Roughly how much money do you spend on casino gambling in a typical month?

ENTER AMOUNT

A3. Have you ever spent money on **lottery games** (*READ IF NECESSARY: Games like Scratch-offs, Keno, Pick-3, Pick-4, Mega Millions, Racetrax, Multi-Match or Bonus Match 5*)?

- | | |
|--------------|-----------|
| 1 Yes | GO TO A3A |
| 2 No | GO TO A4 |
| 8 DON'T KNOW | GO TO A4 |
| 9 REFUSED | GO TO A4 |

A3A. About how often did you play the **lottery** in the past 12 months?

- | | |
|---|----------|
| 1 Daily (30+ times per month) | |
| 2 Several times a week (6 – 29 times per month) | |
| 3 Several times a month (3 – 5 times per month) | |
| 4 Once a month or less (6 – 12 times per year) | |
| 5 Only a few days all year (1 – 5 times per year) | |
| 6 Never | GO TO A4 |
| 8 DON'T KNOW | GO TO A4 |
| 9 REFUSED | GO TO A4 |

A3B. (*ASK IF A3A = 1-5*) When you play the lottery, what kind of lottery tickets do you usually buy? (*DO NOT READ LIST*) (*ACCEPT MULTIPLE RESPONSES*)

- | | |
|-----------------|-----------|
| 1 Scratch-offs | |
| 2 Keno | |
| 3 Pick-3 | |
| 4 Pick-4 | |
| 5 Mega Millions | |
| 6 Racetrax | |
| 7 Other | [SPECIFY] |
| 8 DON'T KNOW | |
| 9 REFUSED | |

A3C. Roughly how much money do you spend on lottery tickets in a typical month?

ENTER AMOUNT

A4. Have you ever placed a bet on a **horse race** (*INCLUDE BETTING WITH A BOOKIE*)

- | | |
|--------------|-----------|
| 1 Yes | GO TO A4A |
| 2 No | GO TO A5 |
| 8 DON'T KNOW | GO TO A5 |

9 REFUSED

GO TO A5

A4A. About how often did you bet on a **horse race** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never GO TO A6
- 8 DON'T KNOW GO TO A6
- 9 REFUSED GO TO A6

A4B. (ASK IF A4A = 1-5) When you gamble on horse races, do you usually do so at a ...

- 1 Racetrack in Maryland
- 2 OTB (off-track-betting) facility in Maryland
- 3 OTB facility outside Maryland
- 4 Or somewhere else (SPECIFY)
- 8 DON'T KNOW
- 9 REFUSED

A4C. (ASK IF A4A = 1-5) Roughly how much money do you spend on horse races in a typical month?

ENTER AMOUNT

A5. Have you ever placed a bet on a **dog race** (INCLUDE BETTING WITH A BOOKIE)

- 1 Yes GO TO A5A
- 2 No GO TO A6
- 8 DON'T KNOW GO TO A6
- 9 REFUSED GO TO A6

A5A. About how often did you bet on a **dog race** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never GO TO A6
- 8 DON'T KNOW GO TO A6
- 9 REFUSED GO TO A6

A5B. (ASK IF A5A = 1-5) When you gamble on dog races, do you usually do so at a ...

- 1 Racetrack in Florida
- 2 Racetrack in the Northeast
- 3 An OTB (off-track betting) facility
- 4 Or somewhere else (SPECIFY)
- 8 DON'T KNOW
- 9 REFUSED

A5C. (ASK IF A5A = 1-5) Roughly how much money do you spend on dog races in a typical month?

ENTER AMOUNT

A6. Have you ever played **bingo for money outside of a casino**?

- | | |
|--------------|-----------|
| 1 Yes | GO TO A6A |
| 2 No | GO TO A7 |
| 8 DON'T KNOW | GO TO A7 |
| 9 REFUSED | GO TO A7 |

A6A. About how often have you played **bingo for money outside of a casino** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

A6C. (ASK IF A6A = 1-5) Roughly how much money do you spend on bingo outside of a casino in a typical month?

ENTER AMOUNT

A7. Have you ever gambled on a **private game** such as cards, dice or dominoes in someone's home or on a game of skill such as golf, pool or bowling? *(DO NOT INCLUDE PRIVATE GAMES ON THE INTERNET IF A THIRD PARTY IS TAKING A CUT OR PLAYERS ARE PLAYING AGAINST "THE HOUSE.")*

- | | |
|--------------|-----------|
| 1 Yes | GO TO A7A |
| 2 No | GO TO A8 |
| 8 DON'T KNOW | GO TO A8 |
| 9 REFUSED | GO TO A8 |

A7A. About how often have you gambled on a **private game** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

A7B. (ASK IF A7A = 1-5) Roughly how much do you spend wagering on private games in a typical month?

ENTER AMOUNT

A8. Have you ever bet on the **outcome of sports or other events with friends, co-workers, a bookie or some other person (not including daily fantasy sports)**?

- | | |
|--------------|-----------|
| 1 Yes | GO TO A8A |
| 2 No | GO TO A9 |
| 8 DON'T KNOW | GO TO A9 |
| 9 REFUSED | GO TO A9 |

A8A. About how often have you gambled on **sports** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

A8B. (ASK IF A8A = 1-5) Roughly how much do you spend wagering on sports in a typical month?

ENTER AMOUNT

A9. Next I'd like to ask you about daily fantasy sports. Daily fantasy sports are a specific type of fantasy sport games that are conducted over short time periods such as a week or single day of competition, rather than played over the course of an entire season. Have you played Daily Fantasy Sports?

- | | |
|--------------|-----------|
| 1 Yes | GO TO A9A |
| 2 No | GO TO A10 |
| 8 DON'T KNOW | GO TO A10 |
| 9 REFUSED | GO TO A10 |

A9A. About how often have you played Daily Fantasy Sports in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

A9B. (ASK IF A9A = 1-5) Roughly how much do you spend playing Daily Fantasy Sports in a typical month?

ENTER AMOUNT

A10. Next I'd like to ask you about wagering on the computer over the Internet and World Wide Web. Have you ever bet your money in this way? (INCLUDE LOTTERY TICKETS BOUGHT OVER THE INTERNET.)

- | | |
|--------------|------------|
| 1 Yes | GO TO A10A |
| 2 No | GO TO A11 |
| 8 DON'T KNOW | GO TO A11 |
| 9 REFUSED | GO TO A11 |

A10A. About how often have you gambled on the **Internet** in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

A9B. (ASK IF A10A = 1-5) Roughly how much do you spend gambling on the Internet in a typical month?

ENTER AMOUNT

A11. Have you ever gambled on **any other kind of game** I haven't mentioned? Examples might include raffles, sweepstakes, baby pools, pull-tabs or betting on a dogfight or cockfight.

- | | |
|--------------|--------------------|
| 1 Yes | GO TO A10A |
| 2 No | GO TO CHECKPOINT A |
| 8 DON'T KNOW | GO TO CHECKPOINT A |
| 9 REFUSED | GO TO CHECKPOINT A |

A11A. About how often have you gambled on **any other kind of game** I haven't mentioned in the past 12 months?

- 1 Daily (30+ times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

A11B. (ASK IF A10A = 1-5) Roughly how much do you spend on these other kinds of gambling in a typical month?

ENTER AMOUNT

CHECKPOINT A

SKIP RULE: ASK FOLLOWING QUESTION **ONLY** IF R HAS EVER GAMBLED (ONE OR MORE OF A1–A10 IS “YES”) **AND** DID NOT GAMBLE MORE THAN ONCE A MONTH ON ANY GAME (A1A–A10A NOT IN (1 2 3)). ELSE GO TO CHECKPOINT B.

PROGRAMMING NOTE: IF A1A–A10A IS (1 2 3), AUTOMATICALLY CODE RESPONSE TO A11 AS 5.

A11. Now I'd like you to think about how many days you have **ever** gambled. Was it more than 5 days in your life?

- | | |
|----------------------|--------------------|
| 1 Yes | GO TO CHECKPOINT C |
| 2 No | GO TO CHECKPOINT B |
| 3 DON'T KNOW | GO TO CHECKPOINT B |
| 4 REFUSED | GO TO CHECKPOINT B |
| 5 LOGICAL IMPUTE YES | GO TO CHECKPOINT C |

SECTION J: QUESTIONS FOR NON-GAMBLERS

CHECKPOINT B

SKIP RULE: ASK J1 TO J4 ONLY IF R HAS REPORTED NO GAMBLING EVER (A1–A10 ARE ALL “NO” OR A11 = 2, 3 OR 4). ELSE GO TO CHECKPOINT C.

You have indicated that you have never or seldom gambled. Now I would like to ask you about some possible reasons why you have never gambled. Please tell me whether each of the following reasons is very important, somewhat important, or not at all important to you as a reason for **not** gambling.

J1. Inconvenient or you live too far away

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

J2. Moral or ethical concerns

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

J3. The possibility of losing money

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

J4. Just not interested in gambling

- 4 Very important
- 5 Somewhat important
- 6 Not at all important
- 10 DON'T KNOW
- 11 REFUSED

SECTION B: GENERAL GAMBLING QUESTIONS

CHECKPOINT C

SKIP RULE: ASK FOLLOWING QUESTIONS ONLY IF R IS A GAMBLER (A11 = 1 OR 5); ELSE GO TO CHECKPOINT D.

PROGRAMMING NOTE: IF ONLY ONE OF A1–A10 IS “YES”, AUTOMATICALLY CODE RESPONSE INTO B2, B3 AND B4. ELSE ASK B1.

B1. IF R HAS DONE MORE THAN ONE TYPE OF GAMBLING, ASK: Thinking about the sorts of activities we have discussed, can you tell me which is your favorite gambling activity? (DO NOT READ LIST)

- 1 Blackjack at a casino
- 2 Poker at a casino
- 3 Other table games (e.g., roulette, craps) at a casino
- 4 Video poker at a casino

- 5 Slot machines at a casino
- 6 Bingo at a casino
- 7 Other game at a casino (SPECIFY)
- 8 Gaming machines outside of a casino
- 9 Bingo outside of a casino
- 10 Lottery games
- 11 Horse race or dog race
- 12 Private game (e.g., private poker game)
- 13 Sports
- 14 Poker on internet
- 15 Slots or video poker on the internet
- 16 Sports on the internet
- 17 Other games on the internet
- 18 Other NOT at a casino (SPECIFY)
- 98 DON'T KNOW
- 99 REFUSED

B2. When participating in [FAVORITE TYPE OF GAMBLING], who do you usually gamble with?

- 1 Alone
- 2 Spouse or partner or significant other
- 3 Other family member(s)
- 4 Friend(s), co-worker(s), neighbor(s), club member(s)
- 5 Some other individual or group
- 8 DON'T KNOW
- 9 REFUSED

B3. When participating in [FAVORITE TYPE OF GAMBLING], can you tell me what distance you usually travel, if any? (*PAUSE, READ IF NECESSARY*)

- 1 Don't travel
- 2 5 miles or less
- 3 6 to 15 miles
- 4 16 to 30 miles
- 5 31 to 45 miles
- 6 46 to 60 miles
- 7 More than 60 miles
- 8 DON'T KNOW
- 9 REFUSED

B4. When participating in [FAVORITE TYPE OF GAMBLING], how long do you usually play?

- 1 Less than one hour
- 2 1 to 2 hours
- 3 3 to 5 hours
- 4 6 to 12 hours
- 5 More than 12 hours
- 8 DON'T KNOW
- 9 REFUSED

Next, I would like to ask you about reasons you may have for gambling. Please tell me whether each of the following reasons is very important, somewhat important, or not at all important to you as a reason for gambling. [RANDOMIZE ORDER B5 TO B11]

B5. To be around or with other people

- 1 Very important
- 2 Somewhat important
- 3 Not at all important

- 8 DON'T KNOW
- 9 REFUSED

B6. Because it's convenient or easy to do

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

B7. To win money

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

B8. For entertainment or fun

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

B9. Because it's exciting and challenging

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

B10. Because it is inexpensive entertainment

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

B11. To distract yourself from everyday problems

- 1 Very important
- 2 Somewhat important
- 3 Not at all important
- 8 DON'T KNOW
- 9 REFUSED

B12. How old were you, the first time you gambled any amount of money?

- _____ years
- 97 97 YEARS OLD OR OLDER
- 98 DON'T KNOW
- 99 REFUSED

B13. What kind of game did you play, the first time you gambled?

- 1. Informal bet with relative or friend
- 2. Private game (e.g., private poker game)

3. Blackjack at a casino
4. Poker at a casino
5. Other table games (e.g., roulette, craps) at a casino
6. Video poker at a casino
7. Slot machines at a casino
8. Bingo at a casino
9. Gaming machines outside of a casino
10. Bingo outside of a casino
11. Lottery games
12. Horse race or dog race
13. Sports
14. Poker on internet
15. Slots or video poker on the internet
16. Sports on the internet
17. Other games on the internet
18. Other (SPECIFY)
- 98 DON'T KNOW
- 99 REFUSED

- B17. Compared to other recreational or social activities, how important is gambling to you?
Would you say it is ... (*READ LIST*)
- 1 Very important
 - 2 Somewhat important
 - 3 Not at all important
 - 8 DON'T KNOW
 - 9 REFUSED

SECTION C: NORC DSM-IV SCREEN FOR GAMBLING PROBLEMS

SKIP RULE: ASK FOLLOWING QUESTIONS **ONLY** IF R IS A GAMBLER (A11 = 1 OR 5); ELSE GO TO CHECKPOINT D.

Next, I would like to ask you some questions about how you feel about your gambling. There are no right or wrong answers. We want to know what your experiences have been. Remember that all the information you share is confidential.

- C1. Have there ever been periods lasting 2 weeks or longer when you spent a lot of time thinking about your gambling experiences or planning out future gambling ventures or bets?
- 1 Yes
 - 2 No
 - 8 DON'T KNOW
 - 9 REFUSED
- C1A. IF C1 YES Has this happened in the past year?
- 1 Yes
 - 2 No
 - 8 DON'T KNOW
 - 9 REFUSED
- C2. Have there ever been periods lasting 2 weeks or longer when you spent a lot of time thinking about ways of getting money to gamble with?
- 1 Yes

- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C2A. *IF C2 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C3. Have there ever been periods when you needed to gamble with increasing amounts, or make larger bets than before, in order to get the same feeling of excitement?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C3A. *IF C3 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C4. Have you ever tried to stop, cut down, or control your gambling?

- 1 Yes GO TO C5
- 2 No GO TO C8
- 8 DON'T KNOW GO TO C8
- 9 REFUSED GO TO C8

C5. On one or more of the times when you tried to stop, cut down, or control your gambling, were you restless or irritable?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C5A. *IF C5 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C6. Have you ever tried *but not succeeded* in stopping, cutting down, or controlling your gambling?

- 1 Yes GO TO C7
- 2 No GO TO C8
- 8 DON'T KNOW GO TO C8
- 9 REFUSED GO TO C8

C7. Has this happened three or more times?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C7A. *IF C7 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C8. Have you ever gambled as a way to escape from personal problems?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C8A. *IF C8 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C9. Have you ever gambled to relieve uncomfortable feelings such as guilt, anxiety, helplessness or depression?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C9A. *IF C9 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C10. Has there ever been a period when, if you lost money gambling one day, you would return another day to get even?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C10A. *IF C10 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C11. Have you ever lied to family members, friends, or others about how much you gamble or how much you lost on gambling?

- | | |
|--------------|-----------|
| 1 Yes | GO TO C12 |
| 2 No | GO TO C13 |
| 8 DON'T KNOW | GO TO C13 |
| 9 REFUSED | GO TO C13 |

C12. *IF YES:* Has this happened three or more times?

- 1 Yes
- 2 No

8 DON'T KNOW
9 REFUSED

C12A. *IF C12 YES* Has this happened in the past year?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

C13. Have you ever written a bad check or taken money that didn't belong to you, from family members or anyone else, in order to pay for your gambling?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

C13A. *IF C13 YES* Has this happened in the past year?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

C14. Have you ever done **anything else** that could have gotten you in trouble with the law, in order to pay for your gambling?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

C14A. *IF C14 YES* Has this happened in the past year?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

C15. Has your gambling ever caused serious or repeated problems in your relationships with any of your family members or friends?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

C15A. *IF C15 YES* Has this happened in the past year?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

C16. Has your gambling ever caused you any problems in school or to have trouble with your job, to lose a job, or miss out on an important job or career opportunity?

1 Yes
2 No
8 DON'T KNOW

9 REFUSED

C16A. *IF C16 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C17. Have you ever needed to ask family members or anyone else to loan you money, or otherwise bail you out of a desperate situation that was largely caused by your gambling?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C17A. *IF C17 YES* Has this happened in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C18. Do you feel that you have ever had a problem with betting money or gambling?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C18A. *IF C18 YES* Do you feel that you have had a problem with betting money or gambling in the past year?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

NEW Q - C19. Have you ever sought help for a gambling problem?

- 1 Yes GO TO C19A
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

C19A. What type of help was that?

(DO NOT READ. CODE ALL THAT APPLY)

- 1 Family member
- 2 Friend
- 3 Family doctor
- 4 Gamblers Anonymous
- 5 Treatment program in Maryland
- 6 Treatment program outside Maryland
- 7 Veterans Administration
- 8 Employee assistance program (EAP)
- 9 Psychologist or psychiatrist
- 10 Other counselor

- 11 Minister/priest/rabbi
- 12 Hospital in Maryland
- 13 Hospital outside Maryland
- 14 Other
- 98 DON'T KNOW
- 99 REFUSED

C19B. Were you able to obtain the help you wanted to stop gambling?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

SECTION D. ATTITUDES TOWARDS GAMBLING

CHECKPOINT D

SKIP RULES: ASK ALL RESPONDENTS *Attitudes Towards Gambling.*

The next few questions are things that some people have said about gambling. Please tell me how much **you** agree or disagree with each statement.

D1. There are too many opportunities for gambling nowadays

- 1. Strongly agree
- 2. Agree
- 3. Neither agree nor disagree
- 4. Disagree
- 5. Strongly disagree
- 8. DON'T KNOW
- 9. REFUSE

D2. People should have the right to gamble whenever they want

- 6. Strongly agree
- 7. Agree
- 8. Neither agree nor disagree
- 9. Disagree
- 10. Strongly disagree
- 9. DON'T KNOW
- 10. REFUSE

D3. Gambling should be discouraged

- 11. Strongly agree
- 12. Agree
- 13. Neither agree nor disagree
- 14. Disagree
- 15. Strongly disagree
- 10. DON'T KNOW
- 11. REFUSE

D4. Most people who gamble do so sensibly

- 16. Strongly agree
- 17. Agree
- 18. Neither agree nor disagree
- 19. Disagree
- 20. Strongly disagree

11. DON'T KNOW

12. REFUSE

D5. Gambling is dangerous for family life

21. Strongly agree

22. Agree

23. Neither agree nor disagree

24. Disagree

25. Strongly disagree

12. DON'T KNOW

13. REFUSE

D6. On balance gambling is good for society

26. Strongly agree

27. Agree

28. Neither agree nor disagree

29. Disagree

30. Strongly disagree

13. DON'T KNOW

14. REFUSE

D7. Gambling livens up life

31. Strongly agree

32. Agree

33. Neither agree nor disagree

34. Disagree

35. Strongly disagree

14. DON'T KNOW

15. REFUSE

D8. It would be better if gambling was banned altogether

36. Strongly agree

37. Agree

38. Neither agree nor disagree

39. Disagree

40. Strongly disagree

15. DON'T KNOW

16. REFUSE

SECTION E. AWARENESS OF PROBLEM GAMBLING RESOURCES AND HELP-SEEKING

SKIP RULES: ASK ALL RESPONDENTS *Awareness of Problem Gambling Resources and Help-Seeking.*

Next I'd like to ask you some questions about the types of help that might be available in some communities to assist problem gamblers and other concerned individuals.

E3. Can you tell me whether any of the following services are available in your community?

1. A toll-free helpline that provides crisis help or referral to problem gamblers and others

1 Yes

2 No

8 DON'T KNOW

9 REFUSED

2. Gamblers Anonymous

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

3. Outpatient services for problem gambling, such as private counseling

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

NEW Q - E4. Have you seen any information on problem gambling or how to gamble responsibly:

E4A - on billboards?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

E4B – on TV?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

E4C – on the Radio?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

E4D – online?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

E4E – on posters or flyers?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

E4F – in newspapers?

- 1 Yes

- 2 No
- 8 DON'T KNOW
- 9 REFUSED

SECTION F: ALCOHOL AND DRUGS

SKIP RULES: ASK ALL RESPONDENTS Alcohol and Drug Questions.

Now I have some questions about some other things that some people do. Remember all your answers are totally confidential.

F1. In the last 12 months, how often have you used **cigarettes, chewing tobacco or snuff**?

- 1 Daily (more than 30 times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

F2. In the last 12 months, how often have you had **a drink containing alcohol**?

IF RESPONDENT ASKS, A DRINK IS DEFINED AS: *a can or bottle of beer or malt liquor, a 4-oz glass of wine, a mixed drink or a one and one-half oz shot*

- 1 Daily (more than 30 times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

F3. On a typical day when you drink, how many drinks do you have?

_____ [RECORD NUMBER]
 888 DON'T KNOW
 999 REFUSED

F4. In the last 12 months, how often did you have six or more drinks on one occasion?

- 1 Daily (more than 30 times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

F5. In the last 12 months, how often have you used **illicit drugs** such as marijuana, hashish,

cocaine, methamphetamine, club drugs, hallucinogens, opiates or inhalants?

- 1 Daily (more than 30 times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

F6 In the last 12 months, how often have you used **prescription drugs for non-medical reasons**, including sedatives, tranquilizers or pain killers? (*READ IF NECESSARY: Non-medical reasons means taking your own or someone else's prescription medication only for the experience it causes.*)

- 1 Daily (more than 30 times per month)
- 2 Several times a week (6 – 29 times per month)
- 3 Several times a month (3 – 5 times per month)
- 4 Once a month or less (6 – 12 times per year)
- 5 Only a few days all year (1 – 5 times per year)
- 6 Never
- 8 DON'T KNOW
- 9 REFUSED

SKIP RULES: ASK F8 ONLY IF R HAS REPORTED USING DRUGS MORE THAN ONCE A MONTH (F5, F6 = 1, 2, 3). ELSE GO TO F9.

F8. In the last 12 months, how many times have you gotten into difficulties of any kind because of your drug use?

- 1 None
- 2 1
- 3 2-3
- 4 4-9
- 5 10 times or more
- 8 DON'T KNOW
- 9 REFUSED

F9. Have you ever sought help to stop using alcohol or drugs?

- 1 Yes GO TO F9A
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

F9A. What type of help was that?

(DO NOT READ. CODE ALL THAT APPLY)

- 15 Family member
- 16 Friend
- 17 Family doctor
- 18 Alcoholics or Narcotics Anonymous
- 19 Treatment program in Maryland
- 20 Treatment program outside Maryland
- 21 Veterans Administration
- 22 Employee assistance program (EAP)
- 23 Psychologist or psychiatrist
- 24 Other counselor
- 25 Minister/priest/rabbi

26 Hospital in Maryland
27 Hospital outside Maryland
28 Other
100 DON'T KNOW
101 REFUSED

F9B. Were you able to obtain the help you wanted to stop using alcohol or drugs?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

SECTION G: MENTAL HEALTH

SKIP RULES: ASK ALL RESPONDENTS *Mental Health Questions.*

Now I would like to ask you some questions about your physical and mental health.

G1. How would you describe your general health over the past 12 months? Would you say it was excellent, good, fair or poor?

1 Excellent
2 Good
3 Fair
4 Poor
8 DON'T KNOW
9 REFUSED

G2. In the past 12 months, has someone close to you gambled so much it troubled you?

1 Yes	GO TO G2A
2 No	GO TO G5
8 DON'T KNOW	GO TO G5
9 REFUSED	GO TO G5

G2A. What is their relationship to you? If you are thinking about more than one person, please say each one. *(CODE ALL THAT APPLY)*

1 Spouse/partner/significant other
2 Parent
3 Brother or sister
4 Child (own, adopted, foster)
5 Other relative
6 Other non-related person
8 DON'T KNOW
9 REFUSED

G5. In your lifetime, have you ever had a period of 2 weeks or longer when nearly every day you felt sad, empty or depressed for most of the day?

1 Yes
2 No
8 DON'T KNOW
9 REFUSED

- G6. In your lifetime, have you ever had a period of 2 weeks or longer when you lost interest in most things like work, hobbies, and other things you usually enjoyed?
- 1 Yes
 - 2 No
 - 8 DON'T KNOW
 - 9 REFUSED
- G7. In the past 12 months, have you sought help for problems with your emotions, nerves, or mental health?
- 1 Yes
 - 2 No
 - 8 DON'T KNOW
 - 9 REFUSED
- G8. Right now, how troubled or bothered are you by your emotions, nerves, or mental health? Would you say not at all, somewhat or very much?
- 1 Not at all
 - 2 Somewhat
 - 3 Very much
 - 8 DON'T KNOW
 - 9 REFUSED

SECTION H: OTHER IMPACTS

SKIP RULES: ASK ALL RESPONDENTS *Other Impacts Questions*.

Now I have some questions about your household. By household, I mean all the relatives and other people who live with you who share their money for common living expenses.

- H1. About how much would you say that you and other members of your household owe all together? Please include car loans, student loans, credit card debt, and other loans but not a mortgage if you have one.
- | | |
|-----------------------|----------|
| 1 less than \$1,000 | GO TO H2 |
| 2 \$1,000-\$9,999 | GO TO H2 |
| 3 \$10,000-\$24,999 | GO TO H2 |
| 4 \$25,000-\$49,999 | GO TO H2 |
| 5 \$50,000-\$99,999 | GO TO H2 |
| 6 \$100,000-\$200,000 | GO TO H2 |
| 7 more than \$200,000 | GO TO H2 |
| 8 DON'T OWE ANY MONEY | GO TO H4 |
| 98 DON'T KNOW | GO TO H4 |
| 99 REFUSED | GO TO H4 |
- H2. From which of the following sources did and of your household get the money that you owe? Tell me as many as apply. Did you borrow from...
- 1 Credit cards,
 - 2 A bank or credit union,
 - 3 A loan company,
 - 4 Other family members, or
 - 5 Other people or places?
 - 8 DON'T KNOW
 - 9 REFUSED

- H3. Have you ever filed for bankruptcy?
- | | |
|--------------|-----------|
| 1 Yes | GO TO H3A |
| 2 No | GO TO H4 |
| 8 DON'T KNOW | GO TO H4 |
| 9 REFUSED | GO TO H4 |

- H3A. Was gambling a significant factor or cause of this bankruptcy?
- | |
|--------------|
| 1 Yes |
| 2 No |
| 8 Don't know |
| 9 Refused |

- H4. Have you ever been arrested?
- | | |
|--------------|-----------|
| 1 Yes | GO TO H4A |
| 2 No | GO TO K1 |
| 8 DON'T KNOW | GO TO K1 |
| 9 REFUSED | GO TO K1 |

- H4A. How many times have you been arrested?

_____ [RECORD NUMBER]
97 97 times or more
98 DON'T KNOW
99 REFUSED

- H4B. Was gambling ever a significant factor in [your arrest/any of your arrests]?
- | |
|--------------|
| 1 Yes |
| 2 No |
| 8 Don't know |
| 9 Refused |

- H5. Have you ever been incarcerated in prison or jail for any reason?
- | | |
|--------------|-----------|
| 1 Yes | GO TO H5A |
| 2 No | GO TO K1 |
| 8 DON'T KNOW | GO TO K1 |
| 9 REFUSED | GO TO K1 |

- H5A. Was gambling a significant factor in your incarceration?
- | |
|--------------|
| 1 Yes |
| 2 No |
| 8 Don't know |
| 9 Refused |

SECTION K: DEMOGRAPHICS

SKIP RULES: ASK ALL RESPONDENTS *Demographic Questions*.

The following questions are for statistical purposes only and your answers are all confidential.

- K1. Are you currently married, living as married, widowed, divorced, separated, or have you never been married?
- | |
|---------------------|
| 1 Married |
| 2 Living as married |
| 3 Widowed |

- 4 Divorced
- 5 Separated
- 6 Never married
- 8 REFUSED

K2. Do you consider yourself to be (READ OPTIONS 1-3):

- 1 Heterosexual or Straight
- 2 Lesbian or gay
- 3 Bisexual

Do not read:

- 4 - Other
- 8 - Don't know/Not sure
- 9 - Refused

K3. What is the highest level of education you have completed? (*READ IF NECESSARY*)

- 1 Elementary school
- 2 Some high school
- 3 High school degree or GED
- 4 Less than 2 Years of College
- 5 Associate degree or other degree (vocational, technical or trade school) or
Minimum 2 years of College (minimum 60 credits)
- 6 Bachelors degree
- 7 Masters degree
- 8 Postgraduate degree (PhD, MD or JD)
- 9 Other [SPECIFY]
- 98 DON'T KNOW
- 99 REFUSED

K4. Last week, were you working full-time, part-time or not working?

- 1 Working full-time GO TO K5
- 2 Working part-time GO TO K4A
- 3 Not working last week GO TO K4B
- 8 DON'T KNOW
- 9 REFUSED

K4A. *IF WORKING PART-TIME, ASK:* Have you previously retired from any fulltime jobs?

- 1 Yes
- 2 No
- 8 DON'T KNOW
- 9 REFUSED

K4B. *IF NOT WORKING, ASK:* Are you a student, homemaker, completely retired, disabled, unemployed or something else?

- 1 Student
- 2 Homemaker
- 3 Completely retired
- 4 Disabled
- 5 Unemployed
- 6 Something else
- 8 REFUSED
- 9 DON'T KNOW

K5. In what year were you born?

8888 DON'T KNOW
9999 REFUSED

- K6. Are you Hispanic or Latino?
1 Yes
2 No
8 DON'T KNOW
9 REFUSED
- K7. Which of the following best describes your racial or ethnic group? Are you ...
1 White or Caucasian
2 Black or African American
3 American Indian
4 Asian or Pacific Islander
5 Or something else (SPECIFY)
8 DON'T KNOW
9 REFUSED
- K8. Have you ever been in the Armed Services?
1 Yes
2 No
8 DON'T KNOW
9 REFUSED
- K9. What, if any, is your religious preference?
1 Protestant (Baptist, Lutheran, Methodist, Episcopalian, Anglican, Presbyterian)
2 Roman Catholic
3 Jewish
4 Mormon, LDS
5 Muslim
6 Hindu
7 Orthodox Religion
8 Christian (VOLUNTEERED)(ASK K9A)
9 Believe in God – no specific Denomination (VOLUNTEERED)
10 Agnostic
11 Atheist
12 Other (SPECIFY)
98 DON'T KNOW
99 REFUSED
- K9A. Do you consider yourself to be a born again Christian?
1 Yes
2 No
8 DON'T KNOW
9 REFUSED

The following question concerns income, and is for classification purposes only.

- K10. Can you tell me approximately what your total household income was last year?
IF DON'T KNOW OR REFUSE, SAY: Is that ... AND READ 1-8.
1 Up to \$15,000
2 \$15,001 to \$25,000
3 \$25,001 to \$35,000
4 \$35,001 to \$50,000
5 \$50,001 to \$75,000
6 \$75,001 to \$100,000

- 7 \$100,001 to \$125,000
- 8 \$125,001 to \$150,000
- 9 Over \$150,000
- 98 DON'T KNOW
- 99 REFUSED

K11. How long have you lived in Maryland?
 _____ YEARS [IF LESS THAN ONE YEAR, CODE 0 HERE]
 _____ MONTHS
 DON'T KNOW = 98
 REFUSED = 99

K12. What is your home zip code? *PROBE IF NECESSARY: Where you lived for the most time since [CURRENT MONTH] [PRIOR YEAR].*
 _____ ZIP CODE
 88888 DON'T KNOW
 99999 REFUSED

K13. What language do you mainly speak at home? *IF R SAYS THEY LIVE ALONE, SAY "WHAT LANGUAGE DO YOU USE WHEN YOU'RE THINKING TO YOURSELF ABOUT SOMETHING?"*
 1 English
 2 Spanish
 3 Other (SPECIFY) _____
 98 DON'T KNOW
 99 REFUSED

K14. *"I am required to ask, what is your gender?"*
 1 Male
 2 Female
 3 Transgender

That was the last question. Thank you very much for your time and cooperation.

PRESENTACIÓN

Hola, mi nombre es _____ y me comunico de la University of Maryland Baltimore. No estamos vendiendo nada; estamos realizando una encuesta en el estado de Maryland acerca de la opinión de las personas respecto de las apuestas.

Para entrevistar a la persona correcta, necesito hablar con el miembro de su familia, mayor de años, que haya cumplido años más recientemente. ¿Sería usted?

DE NO SER ASÍ NO, PIDA HABLAR CON ESA PERSONA. [REPITA LA INTRODUCCIÓN CON LA OTRA PERSONA]

SIN NO SE ENCUENTRA DISPONIBLE, COORDINE UN MOMENTO PARA VOLVER A LLAMAR.

Su casa fue seleccionada de manera aleatoria entre todos los números telefónicos de Maryland. Les pedimos a los ciudadanos mayores de 18 años que participen en un importante estudio de investigación para determinar la opinión de las personas respecto de las apuestas. El estudio consiste de una entrevista telefónica que debería tomar entre 20 y 25 minutos. La encuesta será anónima y confidencial. El único riesgo relacionado con el estudio es la potencial incomodidad que pudiera sentir al responder algunas preguntas que podrían ser consideradas delicadas. Si llegara a hacerle preguntas que usted preferiría no responder, solo dígalos y pasará a la siguiente. No recibirá ningún beneficio directo del estudio, pero nos ayudará a comprender mejor la visión de las apuestas en el estado. Si tiene preguntas acerca de la investigación, puede contactarse con la Oficina de Protección de Investigaciones Humanas de la University of Maryland Baltimore al 410-706-5037. Su participación es voluntaria. No perderá ningún beneficio que pudiera corresponderle si se niega a participar. Podrá dejar de participar en cualquier momento.

Dado que su hogar fue seleccionado científicamente para representar a miles de hogares como el suyo, su participación es muy importante para nosotros.

¿Comenzamos?

*El entrevistador documenta en la encuesta: (1) que se leyó el guión; (2) que a la persona se le dio la oportunidad de hacer preguntas y (3) que la persona aceptó o se negó a participar en el estudio.

_____ Iniciales del entrevistador

¿Comenzamos?

Sí IR A LA INTRODUCCIÓN

No AGRADEZCA Y FINALICE

IVLANG CÓDIGO DEL ENTREVISTADOR QUE INDICA EL IDIOMA EN EL QUE REALIZARÁ LA ENTREVISTA.

1. INGLÉS
2. ESPAÑOL

SECCIÓN A: RELACIÓN CON EL JUEGO DE AZAR

OMITIR REGLAS: PREGÚNTELES A TODOS LOS ENCUESTADOS *Participación durante su vida (A1, A2, A3, A4, A5, A6, A7, A8, A9, A10). SI EL ENCUESTADO NO RECONOCE HABER PARTICIPADO EN ALGÚN JUEGO DE AZAR, SIGA HASTA EL PUNTO DE CONTROL A.*

SI EL ENCUESTADO RECONOCE ALGUNA participación durante su vida, PREGUNTE por la participación en el último año (A1A, A2A, A3A, A4A, A5A, A6A, A7A, A8A, A9A, A10A) Y LAS PREGUNTAS DE SEGUIMIENTO DE CADA CLASE DE JUEGO DE AZAR QUE RECONOCE.

Primero, debo confirmar que tiene 18 años o más.

1. Sí, tengo 18 o más.
2. No. (No está calificado, fin de la encuesta).
9. Se rehusó. (No está calificado, fin de la encuesta).
- A1. ¿Alguna vez jugó en un **casino**? (*LEA SI FUERA NECESARIO*: Un casino es una gran área de juegos de azar con muchas clases de juegos diferentes, por ejemplo, en un complejo hotelero o en un sector de juegos de azar de un barco o crucero).

- | | | |
|---|-----------|------------|
| 1 | Sí | VAYA A A1A |
| 2 | No | VAYA A A2 |
| 8 | NO SÉ | VAYA A A2 |
| 9 | SE REHUSÓ | VAYA A A2 |

A1A. ¿Aproximadamente con qué frecuencia jugó en un **casino** en los últimos 12 meses?

- | | | |
|---|--|-----------|
| 1 | A diario (> 30 veces por mes) | |
| 2 | Varias veces por semana (6-29 veces por mes) | |
| 3 | Varias veces por mes (3-5 veces por mes) | |
| 4 | Una vez por mes o menos (6-12 veces por año) | |
| 5 | Solo algunos días al año (1-5 veces por año) | |
| 6 | Nunca en los últimos 12 meses (0 veces) | VAYA A A2 |
| 8 | NO SÉ | VAYA A A2 |
| 9 | SE REHUSÓ | VAYA A A2 |

A1B. (*PREGUNTE SI A1A = 1-5*) Cuando juega en un **casino**, ¿a qué suele jugar? (*NO LEA LA LISTA*)

- 1 Blackjack
- 2 Póquer de mesa (no videopóquer en las máquinas)
- 3 Videopóquer
- 4 Tragamonedas (máquina mecánica a palanca)
- 5 Keno
- 6 Deportes
- 7 Apuestas de carreras de caballos o perros
- 8 Bingo
- 9 Boleto de lotería tipo "despega y gana"
- 10 Otro (ESPECIFICAR)
- 98 NO SÉ
- 99 SE REHUSÓ

A1C. Ahora piense en la última vez, el día más reciente en el que apostó dinero en el casino. ¿En qué estado se encontraba el casino?
NO LEA LA LISTA

- 1 New Jersey
- 2 Pennsylvania
- 3 Delaware
- 4 West Virginia
- 5 Connecticut

- 6 Mississippi
- 7 Nevada (Las Vegas, Reno)
- 8 Crucero
- 9 Otro lugar (ESPECIFICAR)
- 10 NO SÉ
- 11 SE REHUSÓ

A1D. Aproximadamente, ¿cuánto dinero gasta en los juegos de azar del casino en un mes típico?

INGRESE MONTO

A2. ¿Alguna vez jugó en **una máquina de juego de azar fuera del casino** (LEA SI FUERA NECESARIO: como por ejemplo, una máquina tragamonedas, videopóquer o keno en un club, bar, supermercado, hipódromo u otro lugar)? (INCLUYA LAS TERMINALES DE VIDEOLOTERÍA, OTROS JUEGOS EN LOS QUE UNO JUEGA CONTRA LA MÁQUINA. NO INCLUYA LOS JUEGOS DE AZAR POR INTERNET, LOS BOLETOS DE LOTERÍA TIPO "DESPEGA Y GANA" O JUEGOS EN LOS QUE EL ENCUESTADO SOLO REALICE APUESTAS AL RESULTADO DEL JUEGO CON UN CONOCIDO).

- 1 Sí VAYA A A2A
- 2 No VAYA A A3
- 8 NO SÉ VAYA A A3
- 9 SE REHUSÓ VAYA A A3

A2A. ¿Aproximadamente con qué frecuencia jugó en **una máquina de juego de azar fuera de un casino** en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca VAYA A A3
- 8 NO SÉ VAYA A A3
- 9 SE REHUSÓ VAYA A A3

A2B. (PREGUNTE SI A2A = 1-5) Cuando juega en una **máquina de juego de azar fuera de un casino**, ¿dónde suele jugar? (NO LEA LA LISTA)

- 1 Hipódromo
- 2 Bar o taberna
- 3 Supermercado
- 4 Restaurante o salón
- 5 Tienda o supermercado
- 6 Club privado u organización social/fraternal
- 7 Parada de camiones
- 8 Vestíbulo del bingo
- 9 Vestíbulo de un pool o salón de billar
- 10 O en otro lugar (ESPECIFICAR)
- 98 NO SÉ
- 99 SE REHUSÓ

A2C. (PREGUNTE SI A2B = 1) Ahora piense en la última vez, el día más reciente que jugó en una máquina de juego de azar fuera de un casino. ¿En qué estado se encontraba el lugar?
NO LEA LA LISTA

- | | |
|---|--|
| 1 | New Jersey |
| 2 | Pennsylvania, Delaware o West Virginia |
| 3 | Connecticut |
| 4 | Mississippi |
| 5 | Nevada |
| 6 | Crucero |
| 7 | Otro lugar (ESPECIFICAR) |
| 8 | NO SÉ |
| 9 | SE REHUSÓ |

A2D. Aproximadamente, ¿cuánto dinero gasta en los juegos de azar del casino en un mes típico?

INGRESE MONTO

A3. ¿Alguna gastó dinero en **juegos de lotería** (*LEA SI FUERA NECESARIO: Juegos como por ejemplo boleto de lotería tipo "rasca y gana", Keno, Pick-3, Pick-4, Mega Millions, Racetrax, Multi-Match o Bonus Match 5*)?

- | | | |
|---|-----------|------------|
| 1 | Sí | VAYA A A3A |
| 2 | No | VAYA A A4 |
| 8 | NO SÉ | VAYA A A4 |
| 9 | SE REHUSÓ | VAYA A A4 |

A3A. ¿Aproximadamente con qué frecuencia jugó a la **lotería** en los últimos 12 meses?

- | | | |
|---|--|-----------|
| 1 | A diario (> 30 veces por mes) | |
| 2 | Varias veces por semana (6-29 veces por mes) | |
| 3 | Varias veces por mes (3-5 veces por mes) | |
| 4 | Una vez por mes o menos (6-12 veces por año) | |
| 5 | Solo algunos días al año (1-5 veces por año) | |
| 6 | Nunca | VAYA A A4 |
| 8 | NO SÉ | VAYA A A4 |
| 9 | SE REHUSÓ | VAYA A A4 |

A3B. *PREGUNTE SI A3A = 1-5*) Cuando juega a la lotería, ¿qué clase de boletos de lotería compra? (*NO LEA LA LISTA*) (*SE ACEPTAN VARIAS RESPUESTAS*)

- | | |
|---|---------------------------------------|
| 1 | Boleto de lotería tipo "rasca y gana" |
| 2 | Keno |
| 3 | Pick-3 |
| 4 | Pick-4 |
| 5 | Mega Millions |
| 6 | Racetrax |
| 7 | Otro (ESPECIFICAR) |
| 8 | NO SÉ |
| 9 | SE REHUSÓ |

A3C. Aproximadamente, ¿cuánto dinero gasta en boletos de lotería en un mes típico?

INGRESE MONTO

A4. ¿Alguna vez hizo una apuesta en una **carrera de caballos**? (INCLUSO APOSTAR A TRAVÉS DE UN CORREDOR DE APUESTAS)

- 1 Sí VAYA A A4A
- 2 No VAYA A A5
- 8 NO SÉ VAYA A A5
- 9 SE REHUSÓ VAYA A A5

A4A. ¿Aproximadamente con qué frecuencia apostó en una **carrera de caballos** en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca VAYA A A6
- 8 NO SÉ VAYA A A6
- 9 SE REHUSÓ VAYA A A6

A4B. (PREGUNTE SI A4A = 1-5) Cuando apuesta en carreras de caballos, ¿por lo general lo hace en...

- 1 un hipódromo de Maryland?
- 2 un local para realizar apuestas fuera del hipódromo en Maryland?
- 3 un local para realizar apuestas fuera del hipódromo en otro lugar que no sea Maryland?
- 4 o en otro lugar? (ESPECIFICAR)
- 8 NO SÉ
- 9 SE REHUSÓ

A4C. (PREGUNTE SI A4A = 1-5) Aproximadamente, ¿cuánto dinero gasta en carreras de caballos en un mes típico?

INGRESE MONTO

A5. ¿Alguna vez hizo una apuesta en una **carrera de perros**? (INCLUSO APOSTAR A TRAVÉS DE UN CORREDOR DE APUESTAS)

- 1 Sí VAYA A A5A
- 2 No VAYA A A6
- 8 NO SÉ VAYA A A6
- 9 SE REHUSÓ VAYA A A6

A5A. ¿Aproximadamente con qué frecuencia apostó en una **carrera de perros** en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca VAYA A A6
- 8 NO SÉ VAYA A A6
- 9 SE REHUSÓ VAYA A A6

A5B. (PREGUNTE SI A5A = 1-5) Cuando apuesta en carreras de perros, ¿por

lo general lo hace en...

- 1 una pista de carreras en Florida?
- 2 una pista de carreras en el noreste?
- 3 un local para realizar apuestas fuera de la pista de carreras?
- 4 o en otro lugar? (ESPECIFICAR)
- 8 NO SÉ
- 9 SE REHUSÓ

A5C. (PREGUNTE SI A5A = 1-5) Aproximadamente, ¿cuánto dinero gasta en carreras de perros en un mes típico?

INGRESE MONTO

A6. ¿Alguna vez jugó al **bingo por dinero fuera del casino**?

- 1 Sí VAYA A A6A
- 2 No VAYA A A7
- 8 NO SÉ VAYA A A7
- 9 SE REHUSÓ VAYA A A7

A6A. ¿Aproximadamente con qué frecuencia jugó al **bingo por dinero fuera del casino** en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

A6C. (PREGUNTE SI A6A = 1-5) Aproximadamente, ¿cuánto dinero gasta en bingo fuera del casino en un mes típico?

INGRESE MONTO

A7. ¿Alguna vez apostó en un **juego privado**, como por ejemplo cartas, dados o dominó en la casa de alguien o en un juego de habilidades como golf, pool o bowling? (NO INCLUYA JUEGOS PRIVADOS EN INTERNET SI UN TERCERO SE LLEVA UN PORCENTAJE O SI LOS JUGADORES JUEGAN CONTRA "LA CASA").

- 1 Sí VAYA A A7A
- 2 No VAYA A A8
- 8 NO SÉ VAYA A A8
- 9 SE REHUSÓ VAYA A A8

A7A. ¿Aproximadamente con qué frecuencia apostó en un **juego privado** en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ

9 SE REHUSÓ

A7B. (PREGUNTE SI A7A = 1-5) Aproximadamente, ¿cuánto dinero gasta en apuestas en juegos privados en un mes típico?

INGRESE MONTO

A8. ¿Alguna vez apostó el **resultado de algún evento deportivo u otro con amigos, compañeros de trabajo, un corredor de apuesta o alguna otra persona (sin incluir deportes de fantasía diarios)**?

- 1 Sí VAYA A A8A
- 2 No VAYA A A9
- 8 NO SÉ VAYA A A9
- 9 SE REHUSÓ VAYA A A9

A8A. ¿Aproximadamente con qué frecuencia apostó en **deportes** en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

A8B. (PREGUNTE SI A8A = 1-5) Aproximadamente, ¿cuánto dinero gasta en deportes en un mes típico?

INGRESE MONTO

A9. A continuación, quisiera preguntarle por los deportes de fantasía diarios, que son una clase específica de juegos de deportes de fantasía que se llevan a cabo en períodos breves, por ejemplo, en una semana o en un solo día de competición, en lugar de jugarse en el transcurso de toda una temporada. ¿Alguna vez jugó un deporte de fantasía diario?

- 1 Sí VAYA A A9A
- 2 No VAYA A A10
- 8 NO SÉ VAYA A A10
- 9 SE REHUSÓ VAYA A A10

A9A. ¿Aproximadamente con qué frecuencia jugó en deportes de fantasía diarios en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

A9B. (PREGUNTE SI A9A = 1-5) Aproximadamente, ¿cuánto dinero gasta jugando deportes de fantasía diarios en un mes típico?

INGRESE MONTO

A10. A continuación, deseo preguntarle acerca de las apuestas por computadora en Internet y en la Web. ¿Alguna vez apostó dinero de esta manera? (INCLUIR LOS BOLETOS DE LOTERÍA QUE COMPRÓ POR INTERNET).

- 1 Sí VAYA A A10A
- 2 No VAYA A A11
- 8 NO SÉ VAYA A A11
- 9 SE REHUSÓ VAYA A A11

A10A. ¿Aproximadamente con qué frecuencia apostó en **Internet** en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

A9B. (PREGUNTE SI A10A = 1-5) Aproximadamente, ¿cuánto dinero gasta en juegos al azar en Internet en un mes típico?

INGRESE MONTO

A11. ¿Alguna vez apostó en **cualquier otra clase de juego** que no haya mencionado? Algunos ejemplos podrían ser rifas, sorteos, apuestas por el nacimiento de un bebé, boleto de lotería tipo "despega y gana", o apostar en una pelea de perros o riña de gallos.

- 1 Sí VAYA A A10A
- 2 No SIGA HASTA EL PUNTO DE CONTROL A
- 8 NO SÉ SIGA HASTA EL PUNTO DE CONTROL A
- 9 SE REHUSÓ SIGA HASTA EL PUNTO DE CONTROL A

A11A. ¿Aproximadamente con qué frecuencia apostó en **cualquier otra clase de juego** que no mencioné en los últimos 12 meses?

- 1 A diario (> 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

A11B. (PREGUNTE SI A10A = 1-5) Aproximadamente, ¿cuánto dinero gasta en otras clases de juegos de azar en un mes típico?

INGRESE MONTO

PUNTO DE CONTROL A

OMITIR REGLA: CONTINÚE CON LA SIGUIENTE PREGUNTA **SOLO** SI EL ENCUESTADO ALGUNA VEZ APOSTÓ (UNO O MÁS A1–A10 ES “SÍ”) **Y** NO APOSTÓ MÁS DE UNA VEZ POR MES EN NINGÚN JUEGO (A1A—A10A NO EN [1 2 3]). SINO, SIGA HASTA EL PUNTO DE CONTROL B.

NOTA DE PROGRAMACIÓN: SI A1A–A10A ES (1 2 3), EL CÓDIGO DE RESPUESTA SE TRANSFORMA AUTOMÁTICAMENTE A A11 COMO 5.

A11. Ahora quisiera que piense en cuántos días **apostó**. ¿Fue más de 5 días de su vida?

- | | |
|------------------------|----------------------------------|
| 1 Sí | SIGA HASTA EL PUNTO DE CONTROL C |
| 2 No | SIGA HASTA EL PUNTO DE CONTROL B |
| 3 NO SÉ | SIGA HASTA EL PUNTO DE CONTROL B |
| 4 SE REHUSÓ | SIGA HASTA EL PUNTO DE CONTROL B |
| 5 IMPUTACIÓN LÓGICA SÍ | SIGA HASTA EL PUNTO DE CONTROL C |

SECCIÓN J: PREGUNTAS PARA LOS QUE NO APUESTAN

PUNTO DE CONTROL B

OMITIR REGLA: PREGUNTE J1 A J4 **SOLO** SI EL ENCUESTADO INFORMÓ NUNCA HABER APOSTADO (A1–A10 SON TODOS “NO” **O** A11 = 2, 3 O 4). SINO, SIGA HASTA EL PUNTO DE CONTROL C.

Indicó que nunca apostó que rara vez lo hizo. Ahora quisiera preguntarle algunos motivos posibles por los que nunca apostó. Coménteme si alguno de los siguientes motivos es muy importante, un poco importante o no es para nada importante para usted como motivo para **no** apostar.

J1. No le resulta práctico o vive demasiado lejos.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

J2. Problemas morales o éticos.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

J3. La posibilidad de perder dinero.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

J4. Simplemente no le interesa apostar.

- 4 Muy importante.
- 5 Relativamente importante.
- 6 No es para nada importante.

- 10 NO SÉ
- 11 SE REHUSÓ

SECCIÓN B: PREGUNTAS DE JUEGOS DE AZAR GENERALES

PUNTO DE CONTROL C

OMITIR REGLA: REALICE LAS SIGUIENTES PREGUNTAS SOLO SI EL ENCUESTADO ES JUGADOR (A11 = 1 O 5); SINO SIGA HASTA EL PUNTO DE CONTROL D.

NOTA DE PROGRAMACIÓN: SI SOLO UNO DE A1–A10 ES “SÍ”, EL CÓDIGO DE RESPUESTA SE TRANSFORMA AUTOMÁTICAMENTE A B2, B3 Y B4. SINO PREGUNTE B1.

B1. SI EL ENCUESTADO PARTICIPÓ EN MÁS DE UN JUEGO DE AZAR, PREGUNTE:
Piense en las clases de actividades que explicamos, puede indicarme ¿cuál es su actividad de juego de azar preferida? (NO LEA LA LISTA)

- 1 Blackjack en un casino
- 2 Póquer en un casino
- 3 Otros juegos de mesa (p. ej. ruleta, dados) de un casino
- 4 Videopóquer de un casino
- 5 Tragamonedas de un casino
- 6 Bingo de un casino
- 7 Otro juego de un casino (ESPECIFICAR)
- 8 Máquinas de juegos fuera de un casino
- 9 Bingo fuera de un casino
- 10 Juegos de lotería
- 11 Carreras de caballos o de perros
- 12 Juego privado (p. ej. juego de póquer privado)
- 13 Deportes
- 14 Póquer en Internet
- 15 Tragamonedas o videopóquer en Internet
- 16 Deportes en Internet
- 17 Otros juegos en Internet
- 18 Otro NO en un casino (ESPECIFICAR)
- 98 NO SÉ
- 99 SE REHUSÓ

B2. Cuando participa en (LA CLASE DE JUEGO DE AZAR FAVORITA), ¿con quién suele apostar?

- 1 Solo/a
- 2 Cónyuge o pareja
- 3 Otro familiar
- 4 Amigo/s, compañero/s de trabajo, vecino/s, miembro/s de un club
- 5 Alguna otra persona o grupo
- 8 NO SÉ
- 9 SE REHUSÓ

B3. Cuando participa en (LA CLASE DE JUEGO DE AZAR FAVORITA), ¿me puede decir qué distancia suele viajar, si viaja? (PAUSA, LEA SI FUERA NECESARIO)

- 1 No viaja
- 2 5 millas o menos
- 3 6 a 15 millas
- 4 16 a 30 millas
- 5 31 a 45 millas
- 6 46 a 60 millas
- 7 Más de 60 millas
- 8 NO SÉ

9 SE REHUSÓ

B4. Cuando participa en (LA CLASE DE JUEGO DE AZAR FAVORITA), ¿cuánto tiempo suele jugar?

- 1 Menos de una hora
- 2 1 a 2 horas
- 3 3 a 5 horas
- 4 6 a 12 horas
- 5 Más de 12 horas
- 8 NO SÉ
- 9 SE REHUSÓ

A continuación, quisiera preguntarle los motivos que tiene para apostar. Coménteme si alguno de los siguientes motivos es muy importante, un poco importante o no es para nada importante para usted como motivo para apostar. (ALTERNAR EL ORDEN DE B5 A B11)

B5. Para rodearme de otras personas o para estar con ellas

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

B6. Porque es práctico o fácil de hacer.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

B7. Para ganar dinero

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

B8. Para entretenerme o por diversión.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

B9. Porque es emocionante y desafiante.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

B10. Porque es un entretenimiento económico.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ

9 SE REHUSÓ

B11. Para distraerse de los problemas diarios.

- 1 Muy importante.
- 2 Relativamente importante.
- 3 No es para nada importante.
- 8 NO SÉ
- 9 SE REHUSÓ

B12. ¿Qué edad tenía la primera vez que apostó alguna cantidad de dinero?

- años
- 97 97 AÑOS O MÁS
 - 98 NO SÉ
 - 99 SE REHUSÓ

B13. ¿A qué clase de juego jugó la primera vez?

- 1. Apuesta informal con un familiar o amigo.
- 2. Juego privado (p. ej. juego de póquer privado)
- 3. Blackjack en un casino
- 4. Póquer en un casino
- 5. Otros juegos de mesa (p. ej. ruleta, dados) de un casino
- 6. Videopóquer de un casino
- 7. Tragamonedas de un casino
- 8. Bingo de un casino
- 9. Máquinas de juegos fuera de un casino
- 10. Bingo fuera de un casino
- 11. Juegos de lotería
- 12. Carreras de caballos o de perros
- 13. Deportes
- 14. Póquer en Internet
- 15. Tragamonedas o videopóquer en Internet
- 16. Deportes en Internet
- 17. Otros juegos en Internet
- 18. Otro (ESPECIFICAR)
- 98 NO SÉ
- 99 SE REHUSÓ

B17. En comparación con otras actividades recreativas o sociales, ¿qué importancia tiene para usted apostar? ¿Podría decir que es... (LEA LA LISTA)

- 1 muy importante?
- 2 relativamente importante?
- 3 no es para nada importante?
- 8 NO SÉ
- 9 SE REHUSÓ

SECCIÓN C: LA EVALUACIÓN DE DSM-IV (Diagnostic and Statistical Manual of Mental Disorders, 4th Edition) DEL NORC (National Opinion Research Center) PARA LOS PROBLEMAS DE JUEGOS DE AZAR

OMITIR REGLA: REALICE LAS SIGUIENTES PREGUNTAS SOLO SI EL ENCUESTADO ES JUGADOR (A11 = 1 O 5); SINO SIGA HASTA EL PUNTO DE CONTROL D.

A continuación, tengo algunas preguntas acerca de cómo se siente con respecto a apostar. No existen respuestas correctas o incorrectas. Queremos saber cuáles fueron sus experiencias. Recuerde que toda la información que comparte es confidencial.

- C1. ¿Alguna vez tuvo períodos de 2 semanas o más de duración en los que pasara mucho tiempo pensando en sus experiencias de apuestas o planeando nuevos eventos de juegos de azar o apuestas?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

- C1A. SI C1 ES SÍ ¿Fue el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

- C2. ¿Alguna vez tuvo períodos de 2 semanas o más de duración en los que pasara mucho tiempo pensando maneras de obtener dinero para apostar?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

- C2A. SI C2 ES SÍ ¿Fue el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

- C3. ¿Hubo períodos en los que necesitara aumentar el dinero de las apuestas o realizar apuestas más grandes que antes para poder lograr la misma emoción?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

- C3A. SI C3 ES SÍ ¿Fue el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

- C4. ¿Alguna vez intentó dejar, reducir o controlar sus apuestas?

1 Sí VAYA A C5
2 No VAYA A C8
8 NO SÉ VAYA A C8
9 SE REHUSÓ VAYA A C8

- C5. Una o más veces en las que intentó dejar, reducir o controlar sus apuestas, ¿estaba más intranquilo o irritable?

1 Sí
2 No

8 NO SÉ
9 SE REHUSÓ

- C5A. *SI C5 ES SÍ* ¿Fue el año pasado?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- C6. ¿Alguna vez intentó *sin éxito* dejar, reducir o controlar sus apuestas?
- 1 Sí VAYA A C7
2 No VAYA A C8
8 NO SÉ VAYA A C8
9 SE REHUSÓ VAYA A C8
- C7. ¿Sucedió tres o más veces?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- C7A. *SI C7 ES SÍ* ¿Fue el año pasado?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- C8. ¿Alguna vez jugó para escapar de los problemas personales?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- C8A. *SI C8 ES SÍ* ¿Fue el año pasado?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- C9. ¿Alguna vez jugó para aliviar sensaciones incómodas, como por ejemplo, la culpa, la ansiedad, la impotencia o la depresión?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- C9A. *SI C9 ES SÍ* ¿Fue el año pasado?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- C10. ¿Existió algún período en el que si perdía dinero en apuestas un día, volvía al día

siguiente para compensarlo?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C10A. SI C10 ES SÍ ¿Fue el año pasado?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C11. ¿Alguna vez le mintió a familiares, amigos o a los demás acerca de cuánto apostaba o cuánto perdía apostando?

- 1 Sí VAYA A C12
- 2 No VAYA A C13
- 8 NO SÉ VAYA A C13
- 9 SE REHUSÓ VAYA A C13

C12. SI FUE ASÍ: ¿Sucedió tres o más veces?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C12A. SI C12 ES SÍ ¿Fue el año pasado?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C13. ¿Alguna vez emitió un cheque sin fondos o tomó dinero que no le pertenecía de familiares o de alguien para poder apostar?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C13A. SI C13 ES SÍ ¿Fue el año pasado?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C14. ¿Alguna vez cometió **otro acto** que pudiera traerle problemas legales para poder apostar?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C14A. SI C14 ES SÍ ¿Fue el año pasado?

- 1 Sí
- 2 No

8 NO SÉ
9 SE REHUSÓ

C15. ¿Apostar alguna vez le causó problemas graves o reiterados en sus relaciones con algún familiar o amigo?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C15A. *SI C15 ES SÍ* ¿Fue el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C16. ¿Apostar alguna vez le causó problemas en la escuela o problemas en el trabajo, hasta perder un trabajo o perderse una oportunidad laboral importante?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C16A. *SI C16 ES SÍ* ¿Fue el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C17. ¿Alguna vez tuvo que pedirles a familiares o a alguien que le preste dinero o que le pague la fianza por una situación desesperada que fue principalmente a causa de apuestas?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C17A. *SI C17 ES SÍ* ¿Fue el año pasado?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C18. ¿Considera que alguna vez tuvo un problema con respecto a apostar dinero o a causa de juegos de azar?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

C18A. *SI C18 ES SÍ* ¿Considera que alguna vez tuvo un problema con respecto a apostar dinero o a causa de juegos de azar el año pasado?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

PREGUNTA NUEVA: C19. ¿Alguna vez buscó ayuda por su problema con el juego?

- 1 Sí VAYA A C19A
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

C19A. ¿Qué clase de ayuda fue?

(NO LEA. *INDIQUE EL CÓDIGO DE TODAS LAS QUE CORRESPONDAN*).

- 1 Familiar
- 2 Amigo
- 3 Médico de cabecera
- 4 Asociación de jugadores anónimos
- 5 Programa de tratamiento de Maryland
- 6 Programa de tratamiento fuera de Maryland
- 7 Administración de veteranos
- 8 Programa de asistencia para empleados (EAP)
- 9 Psicólogo o psiquiatra
- 10 Otro asesor
- 11 Pastor/Sacerdote/Rabino
- 12 Hospital de Maryland
- 13 Hospital fuera de Maryland
- 14 Otro
- 98 NO SÉ
- 99 SE REHUSÓ

C19B. ¿Obtuvo la ayuda que necesitaba para dejar de apostar?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

SECCIÓN D. ACTITUDES CON RESPECTO AL JUEGO DE AZAR

PUNTO DE CONTROL D

OMITIR REGLAS: *PREGUNTE A TODOS LOS ENCUESTADORES Actitudes con respecto al juego de azar.*

Las siguientes frases las dijeron algunas personas acerca de los juegos de azar. Coménteme si usted está de acuerdo o no con cada frase.

D1. Existen muchas oportunidades de apostar hoy en día.

- 1. Estoy totalmente de acuerdo.
- 2. Estoy de acuerdo.
- 3. No estoy de acuerdo ni en desacuerdo.
- 4. No estoy de acuerdo.
- 5. Estoy totalmente en desacuerdo.
- 8. NO SÉ
- 9. SE REHÚSA

D2. Las personas deberían tener derecho a apostar cuando lo deseen.

- 6. Estoy totalmente de acuerdo.
- 7. Estoy de acuerdo.
- 8. No estoy de acuerdo ni en desacuerdo.
- 9. No estoy de acuerdo.
- 10. Estoy totalmente en desacuerdo.
- 9. NO SÉ
- 10. SE REHÚSA

D3. No se debería incentivar el juego de azar.

- 11. Estoy totalmente de acuerdo.
- 12. Estoy de acuerdo.
- 13. No estoy de acuerdo ni en desacuerdo.
- 14. No estoy de acuerdo.
- 15. Estoy totalmente en desacuerdo.
- 10. NO SÉ
- 11. SE REHÚSA

D4. La mayoría de las personas que apuestan lo hacen de manera sensata.

- 16. Estoy totalmente de acuerdo.
- 17. Estoy de acuerdo.
- 18. No estoy de acuerdo ni en desacuerdo.
- 19. No estoy de acuerdo.
- 20. Estoy totalmente en desacuerdo.
- 11. NO SÉ
- 12. SE REHÚSA

D5. Los juegos de azar son peligrosos para la vida familiar.

- 21. Estoy totalmente de acuerdo.
- 22. Estoy de acuerdo.
- 23. No estoy de acuerdo ni en desacuerdo.
- 24. No estoy de acuerdo.
- 25. Estoy totalmente en desacuerdo.
- 12. NO SÉ
- 13. SE REHÚSA

D6. De manera equilibrada, los juegos de azar son buenos para la sociedad.

- 26. Estoy totalmente de acuerdo.
- 27. Estoy de acuerdo.
- 28. No estoy de acuerdo ni en desacuerdo.
- 29. No estoy de acuerdo.
- 30. Estoy totalmente en desacuerdo.
- 13. NO SÉ
- 14. SE REHÚSA

D7. Los juegos de azar alegran la vida,

- 31. Estoy totalmente de acuerdo.
- 32. Estoy de acuerdo.
- 33. No estoy de acuerdo ni en desacuerdo.
- 34. No estoy de acuerdo.
- 35. Estoy totalmente en desacuerdo.
- 14. NO SÉ
- 15. SE REHÚSA

D8. Sería mejor que los juegos de azar se prohibieran por completo.

- 36. Estoy totalmente de acuerdo.
- 37. Estoy de acuerdo.
- 38. No estoy de acuerdo ni en desacuerdo.
- 39. No estoy de acuerdo.
- 40. Estoy totalmente en desacuerdo.
- 15. NO SÉ
- 16. SE REHÚSA

SECCIÓN E. CONCIENCIACIÓN DE LOS RECURSOS Y LA BÚSQUEDA DE AYUDA PARA LOS PROBLEMAS CON LOS JUEGOS DE AZAR

OMITIR REGLAS: *PREGUNTAR A TODOS LOS ENCUESTADOS* Concienciación de los recursos y la búsqueda de ayuda para los problemas con los juegos de azar.

A continuación desearía hacerle algunas preguntas acerca de la clase de ayuda que podría estar disponible en algunas comunidades para ayudar a los jugadores que tienen problemas y demás personas preocupadas.

E3. ¿Podría decirme si alguno de los siguientes servicios está disponible en su comunidad?

1. Una línea de ayuda gratuita que brinda ayuda para crisis o derivaciones para jugadores con problemas y demás personas.
 - 1 Sí
 - 2 No
 - 8 NO SÉ
 - 9 SE REHUSÓ

2. Asociación de jugadores anónimos
 - 1 Sí
 - 2 No
 - 8 NO SÉ
 - 9 SE REHUSÓ

3. Servicios ambulatorios para problemas con juegos de azar, como por ejemplo, tratamiento privado.
 - 1 Sí
 - 2 No
 - 8 NO SÉ
 - 9 SE REHUSÓ

PREGUNTA NUEVA: E4. ¿Obtuvo información acerca de los problemas con juegos de azar o cómo apostar de manera responsable:

E4A - de carteleras?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

E4B – de la TV?

- 1 Sí
- 2 No

8 NO SÉ
9 SE REHUSÓ

E4C – de la radio?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

E4D – en línea?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

E4E – en afiches o volantes?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

E4F – en periódicos?

1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ

SECCIÓN F: ALCOHOL Y DROGAS

OMITIR REGLAS: *PREGUNTE A TODOS LOS ENCUESTADORES Preguntas con respecto al alcohol y las drogas.*

Ahora tengo algunas preguntas acerca de otras cosas que hacen las personas.
Recuerde que todas las respuestas son totalmente confidenciales.

F1. En los últimos 12 meses, ¿con qué frecuencia usó **cigarrillos, masticó tabaco o inhaló rapé?**

1 A diario (más de 30 veces por mes)
2 Varias veces por semana (6-29 veces por mes)
3 Varias veces por mes (3-5 veces por mes)
4 Una vez por mes o menos (6-12 veces por año)
5 Solo algunos días al año (1-5 veces por año)
6 Nunca
8 NO SÉ
9 SE REHUSÓ

F2. En los últimos 12 meses, ¿con qué frecuencia bebió **un trago de alcohol?**
SI EL ENCUESTADO PREGUNTA, UN TRATO SE DEFINE COMO: *una lata o botella de cerveza o licor de malta, una copa de vino de 4 oz, un trago mezclado o un chupito de*

una onza o una onza y media.

- 1 A diario (más de 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

F3. Un día típico en el que bebe, ¿cuántos tragos ingiere?

_____ (ANOTE LA CANTIDAD)
888 NO SÉ
999 SE REHUSÓ

F4. En los últimos 12 meses, ¿con qué frecuencia bebió seis o más tragos en una ocasión?

- 1 A diario (más de 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

F5. En los últimos 12 meses, ¿con qué frecuencia usó **drogas ilícitas**, como por ejemplo, marihuana, hachís, cocaína, metanfetamina, drogas sintéticas, alucinógenos, opiáceos o inhalantes?

- 1 A diario (más de 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

F6. En los últimos 12 meses, ¿con qué frecuencia usó **medicamentos recetados por motivos que no fueran médicos**, incluso sedantes, calmantes o analgésicos? (*LEA SI FUERA NECESARIO: Los motivos que no fueran médicos significa tomar sus propios medicamentos recetados o los de otra persona solo por la sensación que le provocan*).

- 1 A diario (más de 30 veces por mes)
- 2 Varias veces por semana (6-29 veces por mes)
- 3 Varias veces por mes (3-5 veces por mes)
- 4 Una vez por mes o menos (6-12 veces por año)
- 5 Solo algunos días al año (1-5 veces por año)
- 6 Nunca
- 8 NO SÉ
- 9 SE REHUSÓ

OMITIR REGLAS: PREGUNTE F8 SOLO SI EL ENCUESTADO INFORMÓ HABER USADO DROGAS MÁS DE UNA VEZ POR MES (F5, F6 = 1, 2, 3). SINO, SIGA HASTA LA F9.

- F8. En los últimos 12 meses, ¿cuántas veces se metió en dificultades de alguna clase por el consumo de drogas?
- 1 Ninguna
 - 2 1
 - 3 2-3
 - 4 4-9
 - 5 10 veces o más
 - 8 NO SÉ
 - 9 SE REHUSÓ
- F9. ¿Alguna vez buscó ayuda para dejar de consumir alcohol o drogas?
- 1 Sí VAYA A F9A
 - 2 No
 - 8 NO SÉ
 - 9 SE REHUSÓ
- F9A. ¿Qué clase de ayuda fue?
(NO LEA. INDIQUE EL CÓDIGO DE TODAS LAS QUE CORRESPONDAN).
- 15 Familiar
 - 16 Amigo
 - 17 Médico de cabecera
 - 18 Alcohólicos o Drogadictos Anónimos
 - 19 Programa de tratamiento de Maryland
 - 20 Programa de tratamiento fuera de Maryland
 - 21 Administración de veteranos
 - 22 Programa de asistencia para empleados (EAP)
 - 23 Psicólogo o psiquiatra
 - 24 Otro asesor
 - 25 Pastor/Sacerdote/Rabino
 - 26 Hospital de Maryland
 - 27 Hospital fuera de Maryland
 - 28 Otro
 - 100 NO SÉ
 - 101 SE REHUSÓ
- F9B. ¿Obtuvo la ayuda que necesitaba para dejar de consumir alcohol o drogas?
- 1 Sí
 - 2 No
 - 8 NO SÉ
 - 9 SE REHUSÓ

SECCIÓN G: SALUD MENTAL

OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADORES Preguntas con respecto a la salud mental.

Ahora, tengo algunas preguntas acerca de su salud física y mental.

- G1. ¿Cómo describiría su salud en general en los últimos 12 meses? ¿Diría que fue excelente, buena, regular o mala?
- 1 Excelente
 - 2 Buena
 - 3 Regular

4 Mala
8 NO SÉ
9 SE REHUSÓ

- G2. En los últimos 12 meses, ¿alguien cercano a usted apostó tanto que le generó un problema?
- 1 Sí VAYA A G2A
2 No VAYA A G5
8 NO SÉ VAYA A G5
9 SE REHUSÓ VAYA A G5
- G2A. ¿Qué parentesco tiene con usted? Si está pensando en más de una persona, indique cada una. (*INDIQUE EL CÓDIGO DE TODAS LAS QUE CORRESPONDAN*).
- 1 Cónyuge o pareja
2 Padre
3 Hermano o hermana
4 Hijo (propio, adoptado, en tutela temporal)
5 Otro familiar
6 Otra persona no relacionada
8 NO SÉ
9 SE REHUSÓ
- G5. ¿Alguna vez en su vida tuvo un período de 2 semanas o más en el que cada día se sintiera triste, vacío o deprimido durante la mayor parte del día?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- G6. ¿Alguna vez en su vida tuvo un período de 2 semanas o más en el que perdiera el interés por la mayor parte de las cosas, como por ejemplo, el trabajo, los pasatiempos y otras cosas que, por lo general, disfrutaba?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- G7. En los últimos 12 meses, ¿buscó ayuda por problemas con sus emociones, nervios o salud mental?
- 1 Sí
2 No
8 NO SÉ
9 SE REHUSÓ
- G8. En este momento, ¿cuánto le molestan sus emociones, nervios o salud mental? ¿Diría que para nada, un poco o mucho?
- 1 Para nada.
2 Un poco.
3 Mucho.
8 NO SÉ
9 SE REHUSÓ

SECCIÓN H: OTROS EFECTOS

OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADOS Preguntas con respecto a otras consecuencias.

Ahora tengo algunas preguntas acerca de su grupo familiar. Mediante grupo familiar me refiero a todos los familiares y otras personas que viven con usted y que comparten dinero para los gastos de vivienda comunes.

- H1. Aproximadamente, ¿cuánto considera que usted y otros integrantes de su grupo familiar deben en total? Incluya los préstamos de automóviles, los préstamos estudiantiles, las deudas de tarjetas de crédito y otros préstamos, pero no de hipoteca, si tiene.

1	menos de \$1.000	VAYA A H2
2	\$1.000-\$9.999	VAYA A H2
3	\$10.000-\$24.999	VAYA A H2
4	\$25.000-\$49.999	VAYA A H2
5	\$50.000-\$99.999	VAYA A H2
6	\$100.000-\$200.000	VAYA A H2
7	más de \$200.000	VAYA A H2
8	NO DEBE DINERO	VAYA A H4
98	NO SÉ	VAYA A H4
99	SE REHUSÓ	VAYA A H4

- H2. ¿De cuál de las siguientes fuentes obtuvo el dinero que debe su grupo familiar? Mencione todas las que correspondan. ¿Pidió prestado de...

- 1 tarjetas de crédito,
- 2 un banco o cooperativa de crédito,
- 3 una empresa de préstamos,
- 4 otros familiares,
- 5 otras personas o entidades?
- 8 NO SÉ
- 9 SE REHUSÓ

- H3. ¿Alguna vez presentó quiebra?

1	Sí	VAYA A H3A
2	No	VAYA A H4
8	NO SÉ	VAYA A H4
9	SE REHUSÓ	VAYA A H4

- H3A. ¿Los juegos de azar fueron un factor significativo o causa de esta quiebra?

- 1 Sí
- 2 No
- 8 No sé
- 9 Se rehusó

- H4. ¿Alguna vez lo arrestaron?

1	Sí	VAYA A H4A
2	No	VAYA A K1
8	NO SÉ	VAYA A K1
9	SE REHUSÓ	VAYA A K1

- H4A. ¿Cuántas veces lo arrestaron?

_____ (ANOTE LA CANTIDAD)
97 97 veces o más
98 NO SÉ
99 SE REHUSÓ

H4B. ¿Los juegos de azar fueron un factor significativo en (su arresto/cualquiera de sus arrestos)?

- 1 Sí
- 2 No
- 8 No sé
- 9 Se rehusó

H5. ¿Alguna vez lo sentenciaron a prisión o la cárcel por algún motivo?

- | | |
|-------------|------------|
| 1 Sí | VAYA A H5A |
| 2 No | VAYA A K1 |
| 8 NO SÉ | VAYA A K1 |
| 9 SE REHUSÓ | VAYA A K1 |

H5A. ¿Los juegos de azar fueron un factor significativo en su encarcelación?

- 1 Sí
- 2 No
- 8 No sé
- 9 Se rehusó

SECCIÓN K: DATOS DEMOGRÁFICOS

OMITIR REGLAS: PREGUNTE A TODOS LOS ENCUESTADOS Preguntas con respecto a datos demográficos.

Las siguientes preguntas solo tienen un fin estadístico y sus respuestas son completamente confidenciales.

K1. ¿Está casado, convive, es viudo, está divorciado, separado o nunca se casó?

- 1 Casado/a
- 2 Convive
- 3 Es viudo/a
- 4 Está divorciado/a
- 5 Está separado/a
- 6 Nunca se casó
- 8 SE REHUSÓ

K2. ¿Se considera (LEA LAS OPCIONES 1-3):

- 1 heterosexual?
- 2 lesbiana u homosexual?
- 3 bisexual?

No lea:

- 4 Otro
- 8 No sé/No estoy seguro/a
- 9 Se rehusó

K3. ¿Cuál es el mayor nivel de educación que alcanzó? (LEA SI FUERA NECESARIO)

- 1 Primaria
- 2 Un poco de secundaria

- 3 Secundaria o diploma de educación general
- 4 Menos de 2 años de universidad
- 5 Tecnicatura u otro título (instituto vocacional, técnico o profesional) o al menos 2 años de universidad (al menos 60 créditos)
- 6 Licenciatura
- 7 Maestría
- 8 Título de posgrado (PhD, MD o JD)
- 9 Otro (ESPECIFICAR)
- 98 NO SÉ
- 99 SE REHUSÓ

K4. La semana pasada ¿trabajó tiempo completo, tiempo parcial o no trabajó?

- 1 Trabajó tiempo completo VAYA A K5
- 2 Trabajó tiempo parcial VAYA A K4A
- 3 No trabajó la semana pasada VAYA A K4B
- 8 NO SÉ
- 9 SE REHUSÓ

K4A. *SI TRABAJÓ TIEMPO PARCIAL, PREGUNTE:* ¿Se jubiló de algún trabajo de tiempo completo?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

K4B. *SI NO TRABAJÓ, PREGUNTE:* ¿Es estudiante, ama de casa, se jubiló por completo, es discapacitado, está desempleado o algún otro?

- 1 Estudiante
- 2 Ama de casa
- 3 Jubilado completo
- 4 Discapacitado/a
- 5 Desempleado/a
- 6 Otro
- 8 SE REHUSÓ
- 9 NO SÉ

K5. ¿En qué año nació?

- 8888 NO SÉ
- 9999 SE REHUSÓ

K6. ¿Es hispano o latino?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

K7. ¿Cuál de los siguientes describe mejor su grupo racial o étnico? ¿Es...

- 1 blanco/a o caucásico/a?
- 2 negro/a o afroamericano/a?
- 3 indígena estadounidense?
- 4 asiático o isleño del Pacífico?
- 5 u otro? (ESPECIFICAR)
- 8 NO SÉ
- 9 SE REHUSÓ

K8. ¿Alguna vez perteneció a las Fuerzas Armadas?

- 1 Sí
- 2 No
- 8 NO SÉ
- 9 SE REHUSÓ

- K9. ¿Cuál es su preferencia religiosa?
- 1 Protestante (bautista, luterano, metodista, episcopalista, anglicano, presbiteriano)
 - 2 Católico/a romano/a
 - 3 Judío/a
 - 4 Mormón/na, santo/a de los últimos días
 - 5 Musulmán/na
 - 6 Hindú
 - 7 Religión ortodoxa
 - 8 Cristiano/a (VOLUNTARIAMENTE) (PREGUNTAR K9A)
 - 9 Cree en Dios, sin denominación específica (VOLUNTARIAMENTE)
 - 10 Agnóstico/a
 - 11 Ateo/a
 - 12 Otro (ESPECIFICAR)
 - 98 NO SÉ
 - 99 SE REHUSÓ

- K9A. ¿Se considera un cristiano renacido?
- 1 Sí
 - 2 No
 - 8 NO SÉ
 - 9 SE REHUSÓ

La siguiente pregunta se relaciona con los ingresos y es solo para fines de clasificación.

- K10. ¿Puede decirme aproximadamente cuál fue el total de los ingresos de su grupo familiar el año pasado?

SI NO LO SABE O SE REHÚSA, DIGA: Fue... Y LEA 1-8.

- 1 de hasta \$15.000
- 2 \$15.001 a \$25.000
- 3 \$25.001 a \$35.000
- 4 \$35.001 a \$50.000
- 5 \$50.001 a \$75.000
- 6 \$75.001 a \$100.000
- 7 \$100.001 a \$125.000
- 8 \$125.001 a \$150.000
- 9 Más de \$150.000
- 98 NO SÉ
- 99 SE REHUSÓ

- K11. ¿Hace cuánto tiempo vive en Maryland?
- _____ AÑOS (SI ES MENOS DE UN AÑO, INGRESE AQUÍ EL CÓDIGO 0)
- _____ MESES
- NO SÉ = 98
- SE REHUSÓ = 99

- K12. ¿Cuál es su código postal? *PRUEBE SI FUERA NECESARIO: ¿Dónde vivió la mayor parte del tiempo desde (MES ACTUAL) (AÑO ANTERIOR).*

_____ CÓDIGO POSTAL

88888 NO SÉ
99999 SE REHUSÓ

K13. ¿Qué idioma habla principalmente en su hogar? *SI EL ENCUESTADO DICE QUE VIVE SOLO, DIGA “¿QUÉ IDIOMA USA CUANDO PIENSA EN ALGO PARA USTED?”.*

- 1 Inglés
- 2 Español
- 3 Otro (ESPECIFICAR) _____
- 98 NO SÉ
- 99 SE REHUSÓ

K14. *”Me piden que pregunte, ¿cuál es su sexo?”*

- 1 Hombre
- 2 Mujer
- 3 Transgénero

Esa fue la última pregunta. ¡Muchas gracias por su tiempo y cooperación!